BID DOCUMENTS & SPECIFICATIONS

CITY OF LAS VEGAS
2021 STREET AND DRAINAGE IMPROVEMENTS
HOT SPRINGS BOULEVARD FROM
MILLS AVENUE TO MORA STREET
CDBG #21-C-NR-I-01-G-03
STANTEC PROJECT #181711182

LAS VEGAS, NEW MEXICO

PRE-BID DATE: July 6, 2023 @ 11:00 a.m.

Phone Conference Call in available City of Las Vegas, Department Conference Room Call in No: (833) 436-6264; 1700 N. Grand Avenue Las Vegas, NM 87701

BID OPENING DATE: July 21, 2023 @ 2:00 p.m.

Sealed Bids submitted to: City of Las Vegas, City Council Chambers, 1700 North Grand Ave., Las Vegas, NM 87701 and shortly thereafter opened and read aloud. Phone Conference Call in available Call in No: (833) 436-6264; Conference ID: 112 366 253#

Prepared for:

City of Las Vegas 1700 N. Grand Avenue Las Vegas, NM 87701 Telephone: 505-454-1401 Facsimile: 505-425-7335

By:

Stantec Consulting Services Inc. 6100 Seagull Street NE, Suite 102B

Albuquerque, NM 87109 Telephone: 505-275-0022 Facsimile: 505-275-0222

June 16, 2023

CONSULTING ENGINEERS & PLANNERS

Note: These documents are issued by the Owner for use for Small Cities Community Development Block Grant construction projects and include pertinent federal and state procurement statutes and regulations. The document format promulgated by the Construction Specifications Institute, CSI Document MP-2-1 and was used as a guideline in formulating the organization of these documents. These documents have important legal consequences; consultation with an attorney is encouraged with respect to its modification or completion and approval by the Funding Agency with respect to its modification. Any approved modifications are to be included at the end of each section. This Document has been formatted and pages numbered so copies can be run on both sides (front and back).





ENGINEER OF RECORD:

Stantec Consulting Services Inc. 6100 Seagull Street NE, Suite 102B Albuquerque, NM 87109 (505) 275-0022

The technical material and data contained in the specifications were prepared under the supervision and direction of the undersigned, whose seal as a Professional Engineer, licensed to practice in the State of New Mexico, is affixed below.

(SEAL)

Wayland Oliver, P.E. #18112 Engineer of Record

License No.

6/16/2023

SSIONAL ENGI

APPROVED: Louie A. Trujillo, Mayor June 16, 2023 Owner Date

All questions about the meaning or intent of these documents shall be submitted only to the Engineer of Record, stated above, in writing.

4A-2 Rev 11-19

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NEW MEXICO DEPARTMENT OF TRANSPORTATION 2019 EDITION (CURRENT EDITION) OF THE STANDARD SPECIFICATIONS FOR HIGHWAY AND BRIDGE CONSTRUCTION SHALL GOVERN THIS PROJECT.

THE FOLLOWING NOTICE TO CONTRACTORS, NMDOT 2019 EDITION STANDARD SPECIFICATIONS FOR HIGHWAYS AND BRIDGE CONSTRUCT/ON AND OWNERS SPECIAL PROVISIONS SHALL SUPPLEMENT THE ABOVE SPECIFICATION. WHERE BOTH NMDOT AND OWNERS SPECIAL PROVISIONS ARE USED AND ARE IN CONFLICT WITH EACH OTHER, THE OWNERS SPECIAL PROVISIONS SHALL GOVERN.

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|-------------|----------------------------|--------------------------------------|--|--|
| Section 416 | 01/21/2021 | Minor Paving | | |
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| Section 902 | 01/11/2019 | Quality Control | | |
| Section 905 | 01/21/2021 | Quality Assurance for Minor Paving | | |
| Section 906 | 11/05/2019 | Minimum Testing Requirements (MTR'S) | | |

PRE-BID INFORMATION

PRE-BID INFORMATION Section 00010

1.0 PREQUALIFICATION FORMS

Section 00011

[Authority for prequalification - 113-1-134 NMSA 1978, insert "Not Required" if not used for this project]

2.0 DEBARRED OR SUSPENDED CONTRACTORS

Section 00012

2.1 A business (contractor, subcontractor or supplier) that has either been debarred or suspended pursuant to the requirements of Sections □13-1-177 through □13-1-180, and □Sections 13-4-11 through □13-4-17 NMSA 1978 as amended, shall not be permitted to do business with the Owner and shall not be considered for award of contract during the period for which it is debarred or suspended with the Owner.

3.0 FEDERAL AND STATE LAWS AND REGULATIONS

Section 00013

3.1 State administered Community Development Block Grant monies are Federal funds. Section 13-1-30B NMSA 1978 of the Procurement Code stipulates: "When a procurement involves the expenditure of federal funds, the procurement shall be conducted in accordance with mandatory applicable federal law and regulations. When mandatory applicable federal law or regulations are inconsistent with the provisions of the Procurement Code, compliance with federal law or regulations shall be compliance with the Procurement Code." The applicable governing federal procurement standards are defined in OMB Circular A-102, Attachment O. When federal and state procurement policies are different, the more restrictive policies apply so long as they are consistent with Circular A-102 standards.

3.2 For purposes of these Bidding Documents, the term "Grantee" means the Owner. The term "Funding Agency" means the Local Government Division, Department of Finance and Administration, Bataan Memorial Building, Suite 202, Santa Fe, New Mexico 87501, 505-827-8051.

4.0 REGISTRATION OF CONTRACTORS AND SUBCONTRACTORS

Section 00014

- 4.1 A contractor or subcontractor that submits a bid valued at more than \$60,000 for a public works project subject to the Public Works Minimum Wage Act shall be registered with the Labor and Industrial Division of the New Mexico Department of Workforce Solutions (NMDWS).
- 4.2 The contractor submitting a bid and all subcontractors must have a Dun and Bradstreet Number (<u>DUNS</u>) and registration with System of Awards Management (<u>SAM</u>).
- 4.3 A contractor or subcontractor that submits a bid regardless of the contract amount must be licensed and registered, and cannot be debarred. For any contracts \$60,000 and over the contractor or subcontractor must be current with annual Labor Enforcement Fund payments.
- 4.4 The Owner shall not accept a bid on a public works project from a Contractor that does not provide proof of required registration for itself (§13-4-13.1 NMSA 1978). Contractors, prime contractors and subcontractors shall be registered with the NMDWS.
- 4.5 See Bid Form and Subcontractor Listing form for Registration Numbers to be provided.

NOTICE OF INVITATION FOR BID

PRE-BID INFORMATION Section 00021

Competitive sealed bids will be received by the City of Las Vegas, Clerk's Office, 1700 N. Grand Avenue, Las Vegas, NM 87701, for Hot Springs Boulevard from Mills Avenue to Mora Street, 2021Street and Drainage Improvements, for IFB No. n/a.

Project No.: <u>CDBG #21-C-NR-I-01-G-03</u>

Project: CITY OF LAS VEGAS

2021 STREET AND DRAINAGE IMPROVEMENTS
HOT SPRINGS BOULEVARD FROM
MILLS AVENUE TO MORA STREET
CDBG #21-C-NR-I-01-G-03
STANTEC PROJECT #181711182

<u>until July 21, 2023</u>, at <u>2:00 p.m.</u> at which time bids will be received at 1700 North Grand Avenue, Las Vegas, NM 87701 and shortly thereafter opened and publicly read aloud. Phone Conference Call in available Call in No: (833) 436-6264; Conference ID: 112 366 253#

The BIDDING FORMS AND TECHNICAL SPECIFICATIONS may be examined at the following location: City Clerk's Office at 1700 North Grand Avenue, Las Vegas, NM 87701 or www.lasvegasnm.gov

Complete sets of the bidding documents may be obtained at the office of the Engineer by contacting deanna.mascarenas@stantec.com or by calling 505-349-8453. All bidding documents will be issued electronically, upon request, with no deposit required. Hard copies will be available, upon request, for a deposit of \$65.00, refundable upon return of documents within fifteen (15) days of bid opening, in good condition.

Prospective bidders must attend a Pre-Bid Conference which will be held at City of Las Vegas, Department Conference Room, 1700 N. Grand Avenue, Las Vegas, NM 87701 on July 6, 2023, at 11:00 a.m. Phone Conference Call in available Call in No: (833) 436-6264; Conference ID: 304 019 769#

This Project is funded in whole or in part by a grant from the state of New Mexico Small Cities Community Development Block Grant Program and is subject to requirements of the United States Department of Housing and Urban Development and the funding agency.

Helen Vigil, Purchasing Officer

Date: June 8, 2023

Purchasing Agent:

(FOR OWNER USE ONLY)

Newspaper:Las Vegas OpticPublish:June 23, 2023Newspaper:Albuquerque JournalPublish:June 17, 2023Newspaper:www.lasvegasnm.govPublish:June 17, 2023

(Note: This Notice is issued pursuant to the requirements of $\ensuremath{\mathbb{I}} 13\mbox{-}1\mbox{-}104$ NMSA 1978

INVITATION FOR BID

PRE-BID INFORMATION Section 00022

Project:

City of Las Vegas 2021 Street and Drainage Improvements Hot Springs Boulevard from Mills Avenue to Mora Streets CDBG #21-C-NR-I-01-G-03 Project No.: Stantec #181711182

Engineer of Record:

Stantec Consulting Services Inc. 6100 Seagull Street NE, Suite 102B Albuquerque, NM 87109 505-275-022

Owner:

City of Las Vegas, Clerk's Office 1700 N. Grand Avenue Las Vegas, NM 87701

IMPORTANT: Bids must be submitted in a sealed envelope with the bid number and opening date clearly indicated on the bottom left-hand side of the front of the envelope.

Sealed Bids will be received until the abovespecified date and local time, then publicly opened and read aloud. All hand-delivered bids must be received at the Office of the Purchasing Agent, address shown above.

This Bid is subject to the requirements of the Bidding Documents as defined in the "Instructions to Bidders," Section 00100. The Bid Form must be accompanied by a surety bond, subcontractor listing form, and documents specified in the "Instructions to Bidders."

Bidding Documents may be obtained at the office of the Architect/ Engineer of Record upon payment of \$65.00 for each complete set. Checks should be made payable to Stantec. Incomplete sets will not be issued. The successful Bidder will receive refund of his deposit, and any unsuccessful Bidder who returns the Bidding Documents in good and complete condition within 15 days of the Bid Opening will also receive refund of this deposit. No deposits will be returned after the 15 day period.

INVITATION FOR BID CONSTRUCTION CONTRACT

Bid Number: 2023-23

Sealed bids shall be submitted to:

City Council Chambers

Helen Vigil, Purchasing Officer City of Las Vegas, Clerk's Office 1700 North Grand Avenue Las Vegas, NM 87701

Bids will be publicly opened after the Bid Deadline:

Date: <u>July 21, 2023</u>, Time: <u>2:00 p.m.</u> Phone Conference Call in available Call in No: (833) 436-6264;

Phone Conference Call in available Call in No: (833)
Conference ID: 112.366.253#_____

Proposed Bid Schedule:

Date Published: June 17, 2023 Albuquerque Journal Prebid meeting:_July 6, 2023 at 11:00 a.m. Bid Opening:__ July 21, 2023 at 2:00 p.m.

BIDDING DOCUMENTS MAY BE REVIEWED AT

Engineer of Record Stantec Consulting Services Call 505-349-8453 or Email: Deanna.mascarenas@stantec.com

City Clerk's Office at 1700 North Grand Avenue, Las Vegas, NM 87701 or www.lasvegasnm.gov

> Construction Reporter 1609 Second Street NW Albuquerque, New Mexico 87102 505-243-9793, Fax 505-242-4758

McGraw-Hill Construction Dodge 4300 Beltway Place, Suite 180 Arlington, TX 76018 800-393-6343, Fax 800-625-3488

Bids shall be presented in the form of a total Base Bid proposal under a Lump Sum Contract plus any additive or deductive alternates that are selected by the Owner. A bid must be submitted on all bid items and alternates; segregated bids will not be accepted. [Base Bid price shall not include state gross receipts or local options taxes. However, estimated tax shall be shown on the bid. In submitting this bid, each Bidder must satisfy all terms and conditions of the Bidding Documents]

All work covered by this Invitation for Bid shall be in accordance with applicable state laws and is subject to the minimum wage rate determination issued by the office of the Labor Commissioner for this project.

Bid security in the form of a surety bond executed by a surety company authorized to do business in the state of New Mexico in the amount of 5% of the total bid, or the equivalent in cash by means of a cashier's check or in a form satisfactory to the Owner, must accompany each bid in accordance with the Instructions to Bidders.

A 100% performance bond and a 100% payment and materials bond executed by a surety company authorized to do business in this state and said surety to be approved in federal circular 570 as published by the United States treasury department shall be required from the successful Bidder.

A completed Subcontractor Listing Form must accompany each bid.

The Bidding Documents contain a time for completion of the work and further impose liquidated damages for failure to complete the work within that time period.

No Bidder may withdraw his bid for <u>90</u> days after the actual date of the opening thereof. The Owner intends to award this Project to the lowest responsible Bidder. The Owner reserves the right to reject any and all bids, to waive technical irregularities, and to award the contract to the Bidder whose bid it deems to be in the best interest of the Owner.

The Bidder's attention is directed to the requirements of the Contract Documents for adherence to applicable federal, state and local statutes, regulations and ordinances; including but not limited to, requirements as to conditions of employment to be observed, minimum wage rates to be paid under the Contract, Section 3, Segregated Facilities, Section 109, Executive Order 11246, and bonding and insurance requirements.

This Project is funded in whole or in part by a grant from the state of New Mexico Small Cities Community Development Block Grant Program and is subject to requirements of the United States Department of Housing and Urban Development and the funding agency.

All potential bidders must attend a Pre-bid Conference which will be held on:

Date: July 6, 2023 Time: 11:00 a.m.

Location: City of Las Vegas, Department Conference Room, 1700 N. Grand Ave., Las Vegas, NM 87701

Phone Conference Call in available Call in No: (833) 436-6264; Conference ID: 304 019 769#

INSTRUCTIONS TO BIDDERS

INSTRUCTIONS TO BIDDERS Section 00100

1.0 DEFINITIONS AND TERMS

- 1.1 Terms used in these Bidding Documents which are defined in the Instructions to Bidders and in the Conditions of the Contract for Construction (General, Supplementary, and Other Conditions) have the meanings assigned to them in those documents.
- 1.1.1 Addendum means a written or graphic instrument issued prior to the opening of Bids which clarifies, corrects, or changes the Bidding Documents or Contract Documents. Plural: Addenda.
- 1.1.2 Alternate Bid means the amount stated in the Bid as the sum to be added from the amount of the Base Bid if the corresponding change in the project scope, materials, and/or methods of construction is awarded by the Owner.
- 1.1.3 Base Bid means the amount stated in the Bid as the sum for which the Bidder offers to perform the work, excluding alternate Bids.
- 1.1.4 *Bid* means the offer of the bidder submitted on the prescribed form setting forth the prices for the work to be performed in conformance with the Bidding Documents. This amount does not include gross receipts or local options taxes.
- 1.1.5 *Bid Lot* means a major item of work for which a separate quotation or proposal is requested.
- 1.1.6 *Bidder* means the one who submits a Bid directly to the Owner, as distinct from a subcontractor who submits a bid to a contractor.
- 1.1.7 *Bidding Documents* means the Bidding Requirements and the Contract Documents.
- 1.1.8 *Bid Form.* A form which shall include space in which the bid price shall be inserted and which the bidder shall sign and submit along with all other necessary submissions. A Bidder may submit a reasonable facsimile of the Bid Form. Oral, telephonic, and telegraphic bids are invalid and will not be considered.
- 1.1.9 *Bidding Requirements* means the Notice of Invitation for Bid, Pre-bid Information, Instructions to Bidders, Information Available for Bidders, the Bid Form, Supplements to the Bid Form, and portions of

Addenda relating to any of these.

- 1.1.10 *Invitation for Bid (IFB)* means all documents including those attached or incorporated by reference or utilized for soliciting sealed bids.
- 1.1.11 Responsible Bidder means a Bidder who submits a Responsive Bid and who has furnished, when required, information and data to prove that his financial resources, production or service facilities, personnel, service reputation, and experience are adequate to make satisfactory delivery of the services, construction, or items of tangible personal property described in the Invitation for Bid.
- 1.1.12 Responsive Bid means a bid that conforms in all material respects to the requirements set forth in the Invitation for Bid.
- 1.1.13 Successful Bidder means the <u>lowest</u> responsible Bidder to whom the Owner, on the basis of the Owner's evaluation, makes an award. A Successful Bidder does not become the contractor until an agreement with the Owner is signed.

2.0 EXAMINATION OF BIDDING DOCUMENTS AND SITE

- 2.1 Before submitting a Bid, each Bidder must:
- 2.1.1 Examine the bidding Documents thoroughly;
- 2.1.2 Visit the site to familiarize himself with local conditions that may in any manner affects cost, progress, or performance;
- 2.1.3 Familiarize himself with federal, state, and local laws, ordinances, rules, and regulations that may in any manner affect cost, progress, or performance of the Work; and
- 2.1.4 Study and carefully correlate the Bidder's observations with the Bidding Documents.
- 2.2 On request, the Owner will provide each Bidder access to the site to conduct such investigations and tests as each Bidder deems necessary for submission of his Bid.
- 2.3 The land upon which the Work is to be performed, rights-of-way for access thereto, and other lands designated for use by the Contractor in performing the work are identified in the Bidding Documents.

2.4 The submission of a Bid will constitute an incontrovertible representation by the Bidder that he has complied with every requirement of this Part and that the Bidding Documents are sufficient in scope and detail to indicate and convey understanding of all terms and conditions for performance of the Work.

3.0 BIDDING DOCUMENTS

- 3.1 Copies of Bidding Documents
- 3.1.1 Complete sets of the Bidding Documents in the number and for the deposit sum, if any, stated in the Invitation may be obtained from the Architect/ Engineer (unless another issuing office is designated in the Invitation for Bid). The deposit will be refunded to Bidders who submit a bona-fide bid and return the bidding Documents in good and complete condition within 15 calendar days after opening of Bids.
- 3.1.2 Complete sets of Bidding Documents shall be used in preparing bids; neither the Owner nor the Architect/Engineer assumes responsibility for errors or misinterpretations resulting from the use of incomplete sets of Bidding Documents.
- 3.1.3 The Owner and the Architect/Engineer, in making copies of Bidding Documents available on the above terms, do so only for the purpose of obtaining Bids on the Work and do not confer a license or grant for any other use.
- 3.2 Interpretations
- 3.2.1 All questions about the meaning or intent of the Bidding Documents shall be submitted to the Architect/ Engineer in writing. Replies will be issued by addenda mailed or delivered to all parties recorded by the Architect/ Engineer as having received the Bidding Documents. Questions received less than 7 calendar days prior to the date for opening of Bids will not be answered. Only questions answered by formal written Addenda will be binding. Oral and other interpretations or clarifications will be without legal effect.
- 3.2.2 Bidders and Subcontractor shall promptly notify the Architect/Engineer of any ambiguity, inconsistency, or error that they may discover upon examination of the Bidding Documents or of the site and local conditions.
- 3.3 Substitute Material and Equipment

3.3.1 The contract, if awarded, will be on the basis of material and equipment described in the Drawings or specified in the Specifications without consideration of possible substitute or "or-equal" items. Whenever it is indicated in the Drawings or specified in the Specifications that a substitute or "or-equal" item of material or equipment may be furnished or used by the contractor if acceptable to the Architect/Engineer, application for such acceptance will not be considered by the Architect/Engineer unless submitted to the Architect/Engineer at least ten days prior to the date for opening Bids. Any allowance of substitutions will be published to all prospective Bidders via addendum. The procedure for submittal of any such application by the Contractor and consideration by the Architect/ Engineer is set forth in the Contract Documents.

3.4 Addenda

- 3.4.1 Addenda will be mailed or delivered to all that are known by the Architect/ Engineer to have received a complete set of Bidding Documents.
- 3.4.2 Copies of addenda will be made available for inspection wherever Bidding Documents are on file for that purpose.
- 3.4.3 Addenda will be issued no later than four days prior to the date for receipt of Bids, except an addendum withdrawing the request for bids or one which includes postponement of the date for receipt of bids.

4.0 BIDDING PROCEDURES

- 4.1 Form and Style of Bids
- 4.1.1 Bids shall be submitted on forms identical to the form included with the Bidding Documents.
- 4.1.2 All blanks on the Bid Form shall be filled in by typewriter or manually in ink.
- 4.1.3 Where so indicated by the makeup of the bid firm, sums shall be expressed in both words and figures, and, in case of discrepancy between the two, the amount written in words shall govern.
- 4. 1.4 The signer of the bid must initiate any interlineations, alteration, or erasure.
- 4.1.5 All requested additive or deductive alternate bids shall be bid. If no change in the Base Bid is required, enter "No Change."

- 4.1.6 Where there are two or more major items of work (identified as "Bid Lots") for which separate quotations are requested, the Bidder may, at his discretion, submit quotations for any or all items, unless otherwise specified. Additionally, the Bidder may submit a lump sum price for all lots for which the Bidder has submitted separate quotations.
- 4.1.7 Each copy of the bid shall include the complete name of the bidder and a statement that the bidder is a sole proprietor, a partnership, a corporation, or some other legal entity. Each copy shall be signed by the person or persons legally authorized to bind the bidder to a contract. A Bid by a corporation shall further give the state of incorporation and have the applicable New Mexico Certificate of Incorporation number or Certificate of Authority number. The Bid shall include the current contractor's license number and type, and the current Contractor's preference number. A bid submitted by an agent shall have a current power of attorney attached certifying the agent's authority to bind the Bidder.
- 4.1.8 The Bid shall contain an acknowledgment of receipt of all addenda (the numbers of which shall be filled in on the Bid Form).
- 4.1.9 The address to which communications regarding the Bid are to be directed must be shown.
- 4.1.10 The project name and number, as well as the Owner's invitation to bid number, shall be clearly shown on the outside of the envelope in which the Bid is submitted.

4.2 Bid Security

- 4.2.1 Bid security in an amount equal to at least five percent (5%) of the amount of the Bid shall be a bond provided by a surety company authorized to do business in this state, or the equivalent in cash, a cashier's check, or otherwise supplied in a form satisfactory to the Owner (§13-1-146 NMSA 1978) and approved in writing by the Owner in advance. All bonds shall be executed by such sureties as are named in the current list of "Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds and as Acceptable Reinsuring Companies," as published in circular 570, latest edition, by the Audit Staff Bureau of Accounts, United States Treasury Department.
- 4.2.2 The bid security shall be in the amount of 5%

- of the highest Bid amount submitted, unless otherwise stipulated, pledging that the Bidder will enter into a contract with the Owner on the terms stated herein and will furnish bonds covering the faithful performance of the contract and payment of all obligations arising thereunder. Should the Bidder refuse to enter into such contract or fail to furnish bid security, the amount of the bid security shall be forfeited to the Owner as liquidated damages, not as a penalty.
- 4.2.3 The Owner will have the right to retain the bid security of Bidders to whom an award is being considered until either:
- A. The contract has been executed and performance and labor/material bonds have been furnished,
- B. The specified time has elapsed so that Bids may be withdrawn, or
 - C. All Bids have been rejected.
- 4.2.4 When the Bidding Documents require bid security, noncompliance by the Bidder requires that the Bid be rejected (§13-1-147A NMSA 1978).
- 4.2.5 If a Bidder is permitted to withdraw his Bid before award, no action shall take place against the Bidder or the bid security (§13-1-147B NMSA 1978).
- 4.2.6 The Owner may reduce bid security requirements authorized by the Procurement Code, §§13-1-28 to 13-1-199 NMSA 1978, to encourage procurement from small businesses. Reduction, if any, and the manner thereof will be stipulated in §7.0 of this section, Other Instructions to Bidders. Reduction of the amount of bid security, if any, shall in no way reduce requirements for performance, payment, or other bonds referenced in the Bidding Documents.

4.3 Pre-bid Conference

- 4.3.1 The Architect/Engineer shall conduct a pre-bid conference approximately 15 calendar days prior to the bid opening date stated in the Invitation for Bid.
- 4.3.2 The Architect/Engineer and his consultants, as applicable, shall be represented. Prospective Bidders, prospective Subcontractors, and prospective Vendors are encouraged to attend and should be prepared to ask questions regarding substitutions and/or to request clarification of the Bidding Documents.

- 4.3.3 Questions and requests for clarification are to be presented in written form. Responses will be written and issued as addenda. No verbal response shall be binding.
- 4.4 Resident Contractor's Preference
- Not used for federally funded projects
- 4.5 Subcontractors
- 4.5.1 The bidder shall list the Subcontractors he proposes to use for all trades or items on the Subcontractor Listing Form attached to the Bidding Documents.
- 4.5.2 *Definitions*. As used in this subpart 4.5, subcontractor listing shall be in compliance with the Subcontractors Fair Practices Act, the pertinent provisions of which are summarized in this subpart 4.5.
- A, *Contractor* means the prime contractor on a public works construction project who contracts directly with the Owner (using agency);
- B. *Subcontractor* is a person or entity often skilled in a specific type of construction work that enters into a contractual agreement with the prime contractor to perform part or all of the construction work.
- C. Listing threshold means the dollar amount, stipulated in the bidding documents, above which subcontractors must be listed:
- D. *Notice* means information, advice or a written warning intended to apprise a contractor or subcontractor of some proceeding in which his interests are involved or to inform him of some fact which is his right to know. Notice may be sent to a contractor or subcontractor by certified or registered mail and shall be deemed to be completed upon date of mailing; and
- E. *Using Agency* means the Owner requiring services or construction.
- 4.5.3 Listing of Subcontractors, Requirements. The Owner shall provide in the bidding documents prepared for that project a listing threshold which shall be \$5,000 or one-half of one percent of the architect's or engineer's estimate of the total project cost, whichever is greater. Any person submitting a bid

shall in his bid set forth:

- A. The name location of the place of business of each subcontractor under subcontract to the contractor who will perform work or labor or render service to the contractor in or about the construction of the public works construction project in an amount in excess of the listing threshold; and
- B. The nature of the work which will be done by each subcontractor under the Subcontractor Fair Practices Act. The contractor shall list only one subcontractor for each category as defined by the contractor in his bid.

4.5.4 Substitution of Subcontractor

A. No contractor whose bid is accepted shall substitute any person as subcontractor in place of the subcontractor listed in the original bid, except that the using agency shall consent to the substitution of another person as a subcontractor in the following circumstances: (1) when the subcontractor listed in the bid, after having had a reasonable opportunity to do so, fails or refuses to execute a written contract, when such written contract, based upon the general terms, conditions, plans and specifications for the project involved and the terms of such subcontractor's written bid, is presented to him by the contractor; (2) when the subcontractor listed in the original bid becomes bankrupt or insolvent prior to execution of a subcontract; (3) when the using agency refuses to approve the subcontractor listed in the original bid, provided such approval has been reserved in the bidding documents; (4) when the subcontractor listed in the original bid fails or refuses to perform his subcontract; (5) when the contractor demonstrates to the using agency or its duly authorized officer that the name of the subcontractor was listed as the result of an inadvertent clerical error; (6) when a bid alternate accepted by the using agency causes the listed subcontractor's bid not to be low; (7) when the contractor can substantiate to the using agency that a listed subcontractor's bid is incomplete; (8) when the listed subcontractor fails or refuses to meet the bond requirements of the contractor; (9) when it is determined that the listed subcontractor does not have a proper license to perform the work and the contractor has submitted the name of the subcontractor along with proof that the subcontractor bid work for which he was not licensed by the construction industries division

of the regulation and licensing department; or (10)

when it is determined by the using agency, the prime

contractor or the director of the labor and industrial division of the labor department that a listed subcontractor is not a registered subcontractor on the date bids are unconditionally accepted for consideration.

- B. Prior to approval of the contractor's request for such substitution, the Owner shall give notice in writing to the listed subcontractor of the contractor's request to substitute and of the reasons for such request. Such notice shall be served by certified or registered mail to the last known address of the subcontractor. The listed subcontractor who has been so notified has 5 working days within which to submit written objections to the substitution to the Owner. Failure to file such written objections shall constitute the listed subcontractor's consent to the substitution. If written objections are filed, the Owner shall give at least 5 working days' notice in writing to the listed subcontractor of a hearing by the Owner on the contractor's request for substitution.
- C. No contractor whose bid is accepted shall permit any such subcontract to be voluntarily assigned or transferred or allow it to be performed by anyone other than the original subcontractor listed in the original bid without the consent of the Owner.
- D. No contractor whose bid is accepted, other than in the performance of change orders causing changes or deviations from the original contract, shall sublet or subcontract any portion of the work in excess of the listing threshold as to which his original bid did not designate a subcontractor unless the contractor fails to receive a bid for a category of work. Under such circumstances, the contractor may subcontract. The contract shall designate on the listing form that no bid was received.
- 4.5.5 Subcontractor Bond, Requirements. As to subcontractor required performance and payment bond if requested by the contractor, see §13-4-37 NMSA 1978.
- 4.5.6 Failure to Specify Subcontractor. If a contractor fails to specify a subcontractor in excess of the listing threshold, he represents that he is fully qualified to perform that portion himself and that he shall perform that portion himself. If after the award of the contract the contractor subcontracts any portion of the work, except as provided in the Subcontractors Fair Practices Act, the contractor shall be guilty of violation of the Subcontractors Fair Practices Act and subject to the penalties provided in subpart 4.5.9 of

this section.

- 4.5.7 Inadvertent Clerical Error.
- A. The contractor, as a condition to assert a claim of inadvertent clerical error in the listing of a subcontractor, shall within 2 working days after the time of the prime bid opening by the Owner give written notice to the Owner and to both the subcontractor he claims to have listed in error and the subcontractor who had bid to the contractor prior to bid opening.
- B. Any listed subcontractor who has been notified by the contractor in accordance with the provisions of this section as to an inadvertent clerical error shall be allowed 6 working days from the time of the prime bid opening within which to submit to the Owner and to the contractor written objection to the contractor's claim of inadvertent clerical error. Failure of such listed subcontractor to file such written notice within 6 working days shall be primary evidence of his agreement that an inadvertent clerical error was made.
- C. The Owner shall, after a public hearing and in the absence of compelling reasons to the contrary, consent to the substitution of the intended contractor: 1) If the contractor, the subcontractor listed in error, and the intended subcontractor each submit an affidavit to the Owner, along with such additional evidence as the parties may wish to submit, that an inadvertent clerical error was in fact made, provided that the affidavits from each of the three parties are filed within eight working days from the time of the prime bid opening; or 2) If such affidavits are filed by both the contractor and the intended subcontractor within such specified time but the subcontractor whom the contractor claims to have listed in error does not submit within six working days to the Owner and to the contractor written objection to the contractor's claim of inadvertent clerical error as provided in this section.
- D. If such affidavits are filed by both the contractor and the intended subcontractor but the listed subcontractor has within six working days from the time of the prime bid opening submitted to the Owner and to the contractor written objection to the contractor's claim of inadvertent clerical error, the Owner shall investigate the claims of the parties and hold a public hearing to determine the validity of such claims. Any determination made shall be based on facts contained in the affidavits submitted by all three parties and supported by testimony under oath and

subject to cross-examination. The Owner may on its motion or that of any other party admit testimony of other contractors, any bid registries or depositories, or any other party in possession of facts that may have a bearing on the decision of the Owner.

4.5.8 Emergency Subcontracting. Subcontracting any portion of the work in excess of the listing threshold as to which no subcontractor was designated in the original bid shall be permitted only in the case of public emergency or necessity and then only upon a written finding by the Owner setting forth the facts constituting the emergency or necessity.

4.5.9 Penalties.

- A. A contractor who violates the provisions of the Subcontractors Fair Practices Act violates his own contract, and the Owner may exercise the option of: 1) canceling the contract; or 2) assessing the contractor a penalty in an amount of not more than ten percent of the amount of the subcontract involved but in no case less than the difference of the amount between the listed subcontractor and the subcontractor used, which penalty shall be deposited into the fund out of which the contract is awarded. In any proceeding under this subpart, the contractor shall be entitled to a hearing after notice.
- B. A violation of the provisions of the Subcontractors Fair Practices Act constitutes grounds for disciplinary action against a contractor pursuant to regulations of the Construction Industries Division of the Regulation and Licensing Department.
- C. A contractor who attempts to circumvent the provisions of the Subcontractors Fair Practices Act shall be subject to the penalties established pursuant to this subpart.
- 4.5.10 Dispute Resolution. Once the Owner has determined the existence of a valid claim under the provisions of the Subcontractors Fair Practices Act, the Owner or agent of the Owner may:
- A. Hold a public hearing for the purpose of providing an informal resolution of the dispute by preparing a "front of dispute" which shall be available to all parties. The form shall state concisely, in numbered paragraphs, the matter at issue or dispute that the complainant expects to be determined. The agent or the Owner shall evaluate the issues presented by both sides of the dispute and render a decision within 10 days after the hearing, and provide the

parties with a written copy of the decision by certified mail, return receipt requested; or

- B. Refer the matter in dispute to be resolved through arbitration.
- 4.5.11 The Bidder shall not list himself as the supplier or as the Subcontractor for any trade unless he has previously performed work of this type or can prove to the Architect's/Engineer's and the Owner's satisfaction that he actually has, or will obtain, fully adequate facilities and plans to perform the work with his own forces.
- 4.5.12 Failure to comply with subcontractor listing requirements or provisions of the Subcontractors Fair Practices Act shall be grounds for considering a Bid as nonresponsive.
- 4.5.13 Prior to the award of the Contract, the Architect/Engineer will notify the Bidder in writing if either the Owner or the Architect/Engineer, after due investigation and written findings of fact, has reasonable and substantial objection to any person or organization on such list. If the Owner or Architect/Engineer has reasonable and substantial objection to any person or organization on such list and refuses in writing to accept such person or organization, the Bidder may, at his option:
 - A. Withdraw his Bid, or
- B. Submit an acceptable substitute Subcontractor with no increase in his bid price.
- C. In the event of withdrawal under this paragraph, bid security will not be forfeited.
- 4.5.14 The Successful Bidder shall, within 7 calendar days of notice of the award of a contract for the Work, submit the following information to the Architect/ Engineer:
- A. A signed list of the proprietary names and the suppliers of principal items or systems of material and equipment proposed for the Work; and
- B. A list signed by all Subcontractors proposed for the principal portions of the Work in accordance with the Subcontractors Listing Form submitted with the Bid. Refer to Section 00430 for form of Subcontractors Listing.
- 4.5.15 The Successful Bidder will be required to

establish to the satisfaction of the Architect/Engineer and the Owner the reliability and responsibility of the persons or entities proposed to furnish and perform the Work described in the Bidding Documents.

- 4.5.16 Persons and organizations proposed by the Bidder and to whom the Owner and the Architect/ Engineer have made no reasonable objection under the provisions of subpart 4.5.15 above must be used on the Work for which they were proposed and shall not be changed except with the written consent of the Owner and the Architect/Engineer.
- 4.5.17 No Successful Bidder shall be required to employ any Subcontractor, other person, or organization against which he has reasonable objection.

4.6 Submission of Bids

- 4.6.1 Bids shall be submitted at the time and place indicated in the Invitation for Bid and shall be included in an opaque sealed envelope marked with the Project title and name and address of the Bidder and accompanied by the bid security, subcontractors listing, and other required documents listed in the Bidding Requirements.
- 4.6.2 The envelope shall be addressed to the Owner at the address shown on the cover page. The following information shall be provided on the front lower left corner of the bid envelope: invitation for bid number, date of opening, and time of opening. If the bid is sent by mail, the sealed envelope shall have the notation "SEALED BID ENCLOSED" on the face thereof.
- 4.6.3 Bids received after the date and time for receipt of Bids will be returned unopened.
- 4.6.4 The Bidder shall assume full responsibility for timely delivery of Bids at the office noted on the Invitation for Bid, including those Bids submitted by mail. Hand-delivered bids shall be submitted at the location stated in the Invitation for Bid, Section 00022, and will be clocked in at the time received, which must be prior to the time specified. Bids will then be held for public opening.
- 4.6.5 Oral, telephonic, or telegraphic bids are invalid and will not receive consideration.
- 4.7 Correction or Withdrawal of Bids
- 4.7.1 A Bid containing a mistake discovered before

bid opening may be modified or withdrawn by a Bidder prior to the time set for bid opening by delivering written or telegraphic notice to the location designated in the invitation for bid as the place where bids are to be received.

- 4.7.2 Bid security, if required, shall be in an amount sufficient for the Bid as modified or resubmitted in conformance with subpart 4.2 of this section.
- 4.7.3 Withdrawn Bids may be resubmitted up to the time and date designated for the receipt of Bids, provided they are then fully in conformance with the Bidding Documents.
- 4.7.4 After bid opening, no modifications in Bid Prices or other provisions of Bids shall be permitted. A low Bidder alleging a material mistake of fact that makes his Bid nonresponsive may be permitted to withdraw his Bid if:
- A. The mistake is clearly evident on the fact of the Bid Document; or
- B. The Bidder submits evidence which clearly and convincingly demonstrates that a mistake was made.
- C. Any decision by the Owner to permit or deny the withdrawal of a Bid on the basis of a mistake contained therein shall be supported by a determination setting forth the grounds for the decision. If withdrawal is permitted, bid security will not be forfeited.
- 4.8 Notice of Contract Requirements Binding on Bidder
- 4.8.1 In submitting this bid, the Bidder represents that he has familiarized himself with the nature and extent of the following requirements of the Conditions of the Construction Contract (General, Supplementary, and Other Conditions):
- A. Definitions Sections 00700, Part 1.0 and 00810, $\S1.0$;
- B. Bribes, Gratuities, and Kickbacks Section 00820:
- C. Nonresident Contractor Requirements Regarding Gross Receipts Tax Surety Bond - Section 00820;

- D. Contractor's Gross Receipts Tax Registration Section 00820;
- E. Contracts with Nonresident Persons or Partnerships or Unadmitted Foreign Corporations, Agent for Service of Process- Section 00820;
- F. Assignment of Antitrust Claims Section 00820;
- G. Equal Employment Opportunity Section 00820; and
 - H. Others listed within the Contract Documents.
- 4.9 Rejection or Cancellation of Bids

An invitation for bid may be canceled, or any or all Bids may be rejected in whole or in part, when it is in the best interest of the Owner. A determination containing the reasons therefor shall be made part of the project file (§13-1-131 NMSA 1978). Bid security for rejected Bids shall be returned to the Bidder.

4.10 Protests

- 4.10.1 Any Bidder, Offerer, or Contractor who is aggrieved in connection with this Bid may protest to the Owner. The protest should be made in writing within 24 hours after the facts or occurrences giving rise thereto, but in no case later than 15 calendar days after the facts or occurrences giving rise thereto (§13-1-172, NMSA 1978).
- 4.10.2 In the event of a timely protest under subsection 4.10.1 above (§13-1-172 NMSA 1978), the procurement officer and the Owner shall not proceed further with the procurement unless the procurement officer or the Owner makes a determination that the award of contract is necessary to protect substantial interests of the Owner (§13-1-173 NMSA 1978).
- 4.10.3 The procurement officer or his designee shall have the authority to take any action reasonably necessary to resolve a protest of an aggrieved Bidder, Offerer, or contractor concerning procurement.
- 4.10.4 The procurement officer or his designee shall promptly issue a determination relating to the protest. The determination shall:
 - A. State the reasons for the action taken; and
 - B. Inform the protestant of the right to judicial

review of the determination pursuant to §13-1-183 NMSA 1978 (§13-1-175 NMSA 1978).

C. A copy of the determination issued under §13-1-175 NMSA 1978 shall immediately be mailed to the protestant and other bidders or offerors involved in the procurement (§13-1-176 NMSA 1978).

5.0 CONSIDERATION OF BIDS

5.1 Receipt, Opening, and Recording

Bids received on time will be opened publicly and will be read aloud, and an abstract of the amounts of the Base Bids and alternates or Bid items, if any, will be made available to the Bidders. Each Bid shall be open to public inspection (§13-1-107 NMSA 1978).

- 5.2 Bid Evaluation and Award. If the lowest bid, including alternates, exceeds the amount budgeted for construction, the Grantee may negotiate with the low bidder to bring the contract within the available funds, if the bid amount does not exceed 10% of available funds. Owner may reject all bids and may start the process over if necessary.
- 5.2.1 The Owner shall have the right to waive technical irregularities in the form of the Bid of the low Bidder which do not alter the price, quality, or quantity of the services, construction, or items of tangible personal property bid (§13-1-132 NMSA 1978).
- 5.2.2 It is the intent of the Owner to award a contract to the lowest responsible Bidder, provided the Bid has been submitted in accordance with the requirements of the Bidding Documents. The unreasonable failure of a Bidder to promptly supply information in connection with an inquiry with respect to responsibility is grounds for a determination that the Bidder is not a responsible Bidder (§13-1-133 NMSA 1978). See subpart 6.6 of this section as to Post-Bid Information that may be required of a contractor as to qualifications.
- 5.2.3 If the Base Bid is within the amount of funds available to finance the construction, contract award will be made to the responsible Bidder submitting the low Base Bid; except that, if sufficient funds are available to fund alternates, the Owner may award the contract to the responsible Bidder submitting the low combined Bid within the amount of funds available (Base Bid plus or minus alternates). If the award is based on alternates, the Owner shall accept them based

on available funding.

- 5.2.4 Discrepancies in the Bid form between words and figures will be resolved in favor of words. Discrepancies between the indicated sum of any column of figures and the correct sum thereof will be resolved in favor of the correct sum.
- 5.2.5 Conditional Bids or Bids with additional terms will not be accepted.

5.3 Notice of Award

The Owner shall issue a written Notice of Award after review and approval of the bid and related documents by the Owner with reasonable promptness §§13-1-100 and 13-1-108 NMSA 1978).

5.4 Identical Bids

When two or more of the bids submitted are identical in price and are the low Bid, the Owner may:

- A. Award pursuant to the multiple source award provisions of §§13-1-153 and 13-1-154 NMSA 1978 of the procurement code;
- B. Award to a resident business if the identical low Bids are submitted by a resident business and a nonresident business;
- C. Award to a resident manufacturer if the identical low Bids is submitted by a resident manufacturer and a resident business;
- D. Award by lottery to one of the identical low Bidders; or
- E. Reject all Bids and resolicit Bids or proposals for the required services, construction, or items of tangible personal property (§13-1-110 NMSA 1978).
- F. Subsections B and C are not applicable to federally funded projects.

5.5 Cancellation of Award

When in the best interest of the public, the Owner may cancel the award of any contract at any time before the execution of said contract by all parties without any liability against the Owner.

6.0 POST-BID INFORMATION

6.1 Submittals to Architect/ Engineer

Within 7 days after Notice of Award, the following shall be submitted to the Architect/Engineer:

- A. The required bonds and certificate of insurance.
- B. The requirements under subpart 4.5.5 of this section, and
- C. A brief resume of the Successful Bidder's superintendent.

6.2 Return of Bid Security

- 6.2.1 All bid security in the form of checks, except those of the two lowest Bidders, will be returned immediately following the opening and checking of the Bids. The retained bid security of the unsuccessful of the two lowest Bidders, if in the form of a check, will be returned within 15 days following the award of contract.
- 6.2.2 The retained bid security of the Successful Bidder, if in the form of a check, will be returned after a satisfactory contract bond has been furnished and the Agreement has been executed. Bid securities in the form of bid bonds will be returned only upon the request of the unsuccessful Bidder, but will be released by the procurement officer after the Owner sends the Notice of Award.
- 6.3 Execution and Approval of Agreement
- 6.3.1 The agreement shall be prepared and sent to the Successful Bidder by the Owner and shall be signed by the Successful Bidder and returned, together with both the contract bonds and certificate of insurance, within 15 calendar days after the date of the Notice of Award.
- 6.3.2 If the Owner does not execute the agreement within 30 days following receipt from the Bidder of the signed Agreement with bonds and certificate, the Bidder shall have the right to withdraw his proposal without penalty. No contract shall be effective until it has been fully executed by all of the parties thereto.

6.4 Notice to Proceed

The Owner will issue a written Notice to Proceed to the contractor stipulating the date from which contract time will be charged and the date contract time is to

expire, subject to valid modifications of the contract authorized by change order.

6.5 Failure to Execute Contract

Failure to return the signed Agreement with acceptable contract bonds and certificate of insurance within 15 calendar days after the date of the Notice of Award shall be just cause for the cancellation of the award and the forfeiture of the bid security, which shall become the property of the Owner, not as a penalty, but in liquidation of damages sustained. Award may then be made to the next lowest responsible Bidder, or the Work may be re-advertised and constructed under contract or otherwise, as the Owner may decide.

6.6 Contractor's Qualifications Statement

Bidders to whom award of a contract is under consideration shall submit, upon request, information and data to prove that their financial resources, production or service facilities, personnel, and service reputation and experience are adequate to make satisfactory delivery of the services, construction, or items of personal property described in the Bidding Documents (§13-1-82 NMSA 1978).

7.0 OTHER INSTRUCTIONS TO BIDDERS

The bid will be awarded on the <u>lowest responsible</u> base bid and the accepted alternates.

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GEOTECHNICAL INVESTIGATION REPORT

INFORMATION AVAILABLE TO BIDDERS Section 00220

1.0 INVESTIGATION

(list any special conditions bidders need to be aware of at job site)

NONE

2.0 INTERPRETATION

These data are for information only and not intended as representations or warranties of continuity of conditions between borings.

The Owner and the Architect/Engineer disclaim any responsibility for accuracy, true location and extent of soils investigation that had been prepared by others. They further disclaim responsibility for interpretation of these data by bidders; as in projecting soil bearing values, soil profiles and soil stability.

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BID FORM

BID FORMS Section 00310 Lump Sum or Unit Price

Project: CITY OF LAS VEGAS IFB No. 2023-23

STREET AND DRAINAGE IMPROVEMENTS

HOT SPRINGS BOULEVARD FROM

MILLS AVENUE TO BERNALILLO STREET

CDBG #21-C-NR-I-01-G-03

| STANTEC PROJECT #181712010/181711182 | | | |
|---|---|--|--|
| Bidder: | This Bid is submitted to: City of Las Vegas City Council Chambers, Helen Vigil, Purchasing Officer 1700 North Grand Avenue Las Vegas, NM 87701 | | |
| 1.0 The undersigned Bidder proposes and agrees, if this Bid is accepted, to enter into an agreement with the Owner in the form included in the Bidding Documents to perform and furnish all Work as specified or indicated in the Bidding Documents for the Contract Price and within the Contract Time indicated in this Bid and in accordance with the other terms and conditions of the Contract Documents. 2.0 The Bidder accepts all of the terms and conditions of the Invitation for Bid and Instructions to Bidders, including without limitation those dealing with the disposition of bid security and other Bidding Documents. This Bid will remain subject to acceptance for 90 days after the day of Bid opening. The Bidder shall sign and submit the Agreement between Owner and Contractor (hereinafter called Agreement) with the bonds and other documents required by the Bidding Requirements within 15 calendar days after the date of the Owner's Notice to Award. 3.0 In submitting this Bid, the Bidder represents, as more fully set forth in the Agreement, that: | B. The Bidder has familiarized himself with the nature and extent of the Bidding Documents, Work, site, locality, and all local conditions, laws, and regulations that in any manner may affect cost, progress, performance, or furnishing of the Work. C. The Bidder has carefully studied all reports and drawings of subsurface conditions which are identified in the Information Available to Bidders and accepts the determination set forth in the Information Available to Bidders of the extent of the technical data contained in such reports and drawings upon which the Bidder is entitled to rely. D. The Bidder has correlated the results of all such observations, examinations, investigations, explorations, tests, reports, and studies with the terms and conditions of the Bidding Documents. E. The Bidder has given the Architect/Engineer written notice of all conflicts, errors, and discrepancies that he has discovered in the Bidding Documents, and the written resolution thereof by the Architect/Engineer is acceptable to the Bidder. | | |
| A. The Bidder has examined copies of all the Bidding Documents and of the following Addenda (receipt of all of which is hereby acknowledged): | F. This Bid is genuine and not made in the interest of or on behalf of any undisclosed person, firm, or corporation and is not submitted in conformity with any agreement or rules of any group, | | |
| No Dated | association, organization, or corporation; the Bidder has not directly or indirectly induced or solicited any | | |
| No Dated | other Bidder to submit a false or sham Bid; the Bidder has not solicited or induced any person, firm, | | |
| No Dated | or corporation to refrain from bidding; and the Bidder has not sought by collusion to obtain for himself any | | |
| No Dated | advantage over any other Bidder or over the Owner. | | |

- G. The Bidder acknowledges that he has attended any mandatory pre-bid conference scheduled by the Owner and/or the Architect/Engineer pertaining to this project.
- H. The Bidder agrees to show clearly on the envelope in which the Bid is submitted the Project Name and Number, as well as Invitation for Bid Number.
 - I. The Bidder will complete the Work for the

- following price(s) which do not include any gross receipts tax in the price(s):
- 4.0 Bids shall be presented in the form of a total Base Bid proposal under a lump sum contract plus any additive or deductive alternates that are selected by the Owner. A bid must be submitted on all bid items and alternatives. The Owner will not select segregated bids.

CONTRACTORS NAME: CONTRACTORS LICENSE #:

(PLEASE TYPE OR PRINT)

PLEASE DO NOT RETYPE BID PROPOSAL - AN ELECTRONIC VERSION WILL BE PROVIDED UPON REQUEST.

CITY OF LAS VEGAS 2021 STREET AND DRAINAGE IMPROVEMENTS HOT SPRINGS BOULEVARD FROM MILLS AVENUE TO MORA STREET CDBG #21-C-NR-I-01-G-03 STANTEC PROJECT #181711182 BID PROPOSAL

Bidder agrees to perform all of the work in said project, described in the Specifications and shown on the Plans for the following Unit Prices.

(Discrepancies between the multiplication of units of Work and unit prices will be resolved in favor of the unit prices. Discrepancies between the indicated sum of any column of figures and the correct sum thereof will be resolved in favor of the correct sum.)

It is the responsibility of the Contractor to verify unit bid prices, subtotals, and totals when submitting Bid Proposal. Stantec is not responsible for any errors occurring in this submission.

BASE BID

| ITEM# | UNIT | DESCRIPTION | UNIT BID PRICE | TOTAL |
|--------|------------------|------------------------------------|----------------|-----------------|
| 203000 | 1,190 CU. YD. | UNCLASSIFIED EXCAVATION | \$ - | Dollars & Cents |
| 203211 | 373 SQ. YD. | UNSTABLE SUBGRADE STABALIZATION | \$ - | Dollars & Cents |
| 207000 | 3,730 SQ. YD. | SUBGRADE PREPARATION | \$ - | Dollars & Cents |
| 303160 | 3,730 SQ. YD. | BASE COURSE 6" | \$ - | Dollars & Cents |
| 408100 | 7 TON | PRIME COAT MATERIAL | \$ - | Dollars & Cents |
| 416025 | 3,710 SQ. YD. | HMA SP IV 3" | \$ - | Dollars & Cents |

| _ | | |
|----|--|---|
| \$ | | - |

BASE BID

| BASE BIL | | | | | l | |
|----------|------------------|---|------|-----------|--------|-----------------|
| ITEM# | UNIT | DESCRIPTION | UNIT | BID PRICE | | TOTAL |
| 451060 | 30 SQ. YD. | CONCRETE PAVEMENT CLASS AA 6" | \$ | - | \$ | Dollars & Cents |
| 570018 | 530 LIN. FT. | 18" CULVERT PIPE | \$ | - | \$ | Dollars & Cents |
| 601000 | 1 L.S. | REMOVAL OF STRUCTURES AND OBSTRUCTIONS | \$ | - | \$ | Dollars & Cents |
| 603000 | 1 L.S. | SWPPP PLAN, PREPARATION & MAINTENANCE | \$ | - | \$ | Dollars & Cents |
| 603262 | 100 LIN. FT. | COMPOSTED MULCHSOCK | \$ | - | \$ | Dollars & Cents |
| 604310 | 3,710 SQ. YD. | GEOGRID BASE REINFORCEMENT | \$ | - | \$ | Dollars & Cents |
| 608004 | 480 SQ. YD. | CONCRETE SIDEWALK 4" | \$ | - | \$ | Dollars & Cents |
| 608106 | 400 SQ. YD. | CONCRETE DRIVEPAD 6" | \$ | - | \$ | Dollars & Cents |
| 609424 | 510 LIN. FT. | CONCRETE VERTICAL C&G TYPE B 6" X 24" | \$ | - | \$ | Dollars & Cents |
| 609636 | 52 LIN. FT. | CONCRETE VALLEY GUTTER 6" X 36" | \$ | - | \$ | Dollars & Cents |
| 609706 | 170 LIN. FT. | CONCRETE LAYDOWN CURB 6" | \$ | - | \$ | Dollars & Cents |
| 618000 | 1 L.S. | TRAFFIC CONTROL MANAGEMENT | \$ | - | \$ | Dollars & Cents |
| 621000 | 1 L.S. | MOBILIZATION | \$ | - | \$ | Dollars & Cents |
| 623332 | 1 EACH | CDI TYPE II-B OVER 4' | \$ | - | \$ | Dollars & Cents |

TOTAL - THIS PAGE: \$ -

BASE BID

| BASE BII | 1 | DECODIDATION | LINIT DID DDICE | TOTAL |
|----------|-------------------|---|-----------------|-----------------|
| ITEM# | UNIT | DESCRIPTION | UNIT BID PRICE | TOTAL |
| 623520 | 1 L.S. | SPECIAL CONCRETE CHANNEL DRAIN, 2.0'Wx2.0'Hx47.8'L W/ GRATE ON TOP | \$ - | Dollars & Cents |
| 623600 | 1 L.S. | JUNCTION BOX | \$ - | Dollars & Cents |
| 662400 | 2 EACH | MANHOLE ADJUSTMENT | \$ - | Dollars & Cents |
| 663850 | 3 EACH | WATER VALVE ADJUSTMENT | \$ - | Dollars & Cents |
| 702810 | 1 L.S. | TRAFFIC CONTROL DEVICES FOR CONSTRUCTION | \$ - | Dollars & Cents |
| 704000 | 1,550 LIN. FT. | RETROREFLECTORIZED PAINTED MARKINGS 4" | \$ - | Dollars & Cents |
| 704706 | 630 LIN. FT. | HOT THERMOPLASTIC MARKINGS 12" | \$ - | Dollars & Cents |
| 704704 | 80 LIN. FT. | HOT THERMOPLASTIC MARKINGS 24" | \$ - | Dollars & Cents |
| 801000 | 1 L.S. | CONSTRUCTION STAKING BY CONTRACTOR | \$ - | Dollars & Cents |
| 903000 | 1 L.S. | QUALITY ASSURANCE TESTING | \$ - | Dollars & Cents |

| QUALITY ASSURANCE TESTING | \$ - | Dollars & Cents | |
|---------------------------|------|-----------------|---|
| | | \$ | |
| | | | |
| TOTAL - THIS PAGE: | | \$ | - |
| | | | |
| TOTAL - BASE BID: | | \$ | |
| | | | |

BID SUMMARY

| BASE BID | (\$) |
|------------------------------------|----------------------------------|
| TOTAL PROJECT | (\$) |
| Note: Gross receipts not included. | |
| Respectfully Submitted: | |
| Name | Address |
| Title | Date |
| Contractor's License Number | Contractor's Registration Number |
| [SEAL] If by Corporation: | |
| Attest: | |

- 5.0 The Bidder agrees that:
- A. The Work to be performed under this Contract shall be commenced not later than 10 consecutive calendar days after the date of written Notice to Proceed, and that Substantial Completion shall be achieved not later than Seventy-Five (75) calendar days after the date of written Notice to Proceed, except as hereafter extended by valid written Change Order by the Owner.
- B. Should the Contractor neglect, refuse, or otherwise fail to complete the Work within the time specified, the Contractor agrees to pay to the Owner in partial consideration for the award of this Contract the amount of **One Thousand Dollars** (\$1,000.00) per consecutive calendar day, not as a penalty, but as liquidated damages for such breach of the Contract.
- C. The above prices shall include all labor, materials, removal, overhead, profit, insurance, taxes (not including gross receipts tax), etc., to cover the finished work of the several kinds called for. Changes shall be processed in accordance with the Contract Documents.
- D. It is understood that the Owner reserves the right to reject any or all Bids and to waive any technical irregularities in the bidding.
- 6.0 The following documents are attached to and made a condition of this Bid:
- 8.0 If the Bidder is

A. An **INDIVIDUAL**:

- A. Bid Bond
- B. Bid Security with Agent's Affidavit
- C. Subcontractors Listing & NMDWS Registration form.
- D. Certification of Bidder Regarding Equal Employment Opportunity, Form 950.1
- E. Certification of Bidder Regarding Section 3 and Segregated Facilities
- F. Section 3 Plan
- G. Table A Proposed Subcontracts Breakdown
- H. Table B Estimated Project Workforce Breakdown
- Active or "in progress" status in the System of Award Management (sam.gov) for contractor and subcontractor(s)
- J. Other (list):
- 7.0 The terms used in this Bid and the Bidding and Contract Documents which are defined in the Conditions of the Construction Contract (General, Supplementary, and Other Conditions), included as part of the Bidding Documents, have the meanings assigned to them in those Conditions.

| By(Individual's Signature) | |
|----------------------------|--------|
| doing business as | |
| Business address: | |
| Telephone: () Fax: () | [Seal] |

B. A **PARTNERSHIP**: By _____ (Firm Name) (General Partner's Signature) Business address: Telephone: (_____ Fax: (_____) _____ [Seal] C. A **CORPORATION**: Corporation Name: _____ State of Incorporation: (Name of Person Authorized to Sign) Title: If a New Mexico Corporation: NM Certificate of Incorporation Number If a Foreign Corporation: NM Certificate of Authority Number Attest (Secretary): Business address: Telephone: () Fax: () D. A **JOINT VENTURE**: By ______(Name) Address: Telephone: (_____) _____ Fax: (_____) _____ By ______(Name) Address: Telephone: () Fax: ()

[Each Joint Venturer must sign. The manner of signing for each individual, partnership, and corporation that is a party to the joint venture should be in the manner indicated in the appropriate category]

| NM License Nº. | | Classification(s) | |
|---------------------|---|---------------------------|--|
| Resident Contractor | 's | Workforce Solutions Dept. | |
| Preference No. | Not Applicable for this Project | Registration N°. | |
| • | nagement Registration No. Number or DUNS Number) | | |
| Federal Employer Ta | x ID No | | |
| State of New Mexico | Tax ID No. | | |

BID BOND

SUPPLEMENTS TO BID FORMS Section 00420

| | KNOW ALL MEN BY T | THESE PRESENTS, that we, the undersi | gned, |
|---------|---|--|---|
| as Prin | ncipal, and | as Surety, are hereby h | neld and firmly bound unto |
| - | | as Owner | r in the penal sum of |
| | | or which, well and truly to be made, we he | reby jointly and severally bind |
| oursel | ves, our heirs, executives, ac | lministrators, successors and assigns. | |
| SIGN | ED , this day of | , 20 | |
| The co | ondition of the above obligat | tion is such that whereas the Principal has | submitted to the Owner a certain Bid, |
| attach | ed hereto and hereby made a | part hereof to enter into a contract in wri | ting, |
| for (Pr | roject) | | |
| NOW | , THEREFORE, | | |
| A. | If said Bid shall be rejecte | d, or in the alternate, | |
| B. | Contract, attached hereto (Security) for the faithful p | ed and the Principal shall execute and delice (properly completed in accordance with sate of said Contract, and for the pals in connection therewith, and shall in all acceptance of said Bid. | aid Bid) and shall furnish a Bond (Bid payment of all persons performing |
| unders | | otherwise the same shall remain in force bility of the Surety for any and all claims In as herein stated. | |
| be in 1 | | reby stipulates and agrees that the obligati by any extension of the time within which ice of any such extension. | |
| them a | | Principal and the Surety have hereunto set sed their corporate seals to be hereto affix year first set forth above. | |
| | | Principal: | (L.S.) |
| | | Surety: | |
| [Seal] | | By: | |

CERTIFICATE AS TO CORPORATE PRINCIPAL

| I, | , certify that I am the | |
|-------|---|---|
| , Sec | cretary of the Corporation named as Principal in this bond, that | who |
| sign | ed the bond on behalf of the Principal was then | of said |
| corp | oration; that I know his/her signature, and his/her signature thereto is genuine; and | that said bond was duly |
| sign | ed, sealed, and attested to for and on behalf of said corporation by authority of this | governing body. |
| | | |
| | | [Corporate Seal] |
| | | |
| Title | :: | |
| | | |
| - | | |
| | | |
| | | |
| | BID SECURITY REVIEW FORM LEMENTS TO BID FORMS on 00421 | |
| 1. | Review and Approval : This Bond has been executed by a Surety named in the "Companies Holding Certificates of Authority as Acceptable Sureties on Feder Acceptable Reinsuring Companies," as published in Circular 570 (July 1st editional Bureau of Accounts, United States Treasury Department. | al Bonds and as |
| | Yes No (If No, report to Funding Agency immediately | |
| 2. | I, as Owner's Representative, have verified with (Name of Contact) Office of the Superintendent of Insurance, 1-855-427-5674 that the Surety Con Bond is licensed/authorized to do business in the state of New Mexico in accor 13-4-18 NMSA 1978. If source of verification is other that the State Corpora Insurance Division, identity the source document below and publication date. | npany listed on the Bid dance with $\Box 13-1-46$ and |
| | Dated: | |
| | (Name of Source Document) | |
| | Date | |
| | (Signature of Owner's Representative) | |

AGENT'S AFFIDAVIT

SUPPLEMENTS TO BID FORMS Section 00422

| [To be filled in by Agent] | | | T | his Form Must Be Used By Surety | |
|---|-----------------------|---------------------|---------------------|------------------------------------|--|
| STATE OF |) | | | | |
| COUNTY OF |) ss) ss |) ss.) | | | |
| | | | | says that he/she is the duly | |
| appointed agent for | | | | | |
| and is licensed in the State of | f New Mexico. | | | | |
| Deponent further states that with the construction of | _ | * | | | |
| with the construction of dated the da | ay of | , 20 | , executed b | y | |
| | , Contractor, as | s principal, and | | | |
| , as surety, sign | ned by this Deponent; | and Deponent furthe | er states that said | bond was written, signed, and | |
| delivered by him/her; that the commission thereon has bee | • | | e collected by hin | n/her; and that the full | |
| SUBSCRIBED AND SWOI 20 | RN TO BEFORE ME T | ΓHIS DAY | OF | , | |
| | N | OTARY PUBLIC _ | | | |
| MY COMMISSION EXPIR | ES: | | | [Seal] | |
| | Aş | gent's Address: | | | |
| - - | | | | | |
| <u>-</u> Т | elephone () | Fax (| _) | - | |

[This form must be used for all bonds required in the Bidding Documents. Power of Attorney for person signing for Surety Company must be attached to bond]

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SUBCONTRACTORS LISTING, NMDWS REGISTRATION, & ASSIGNMENT OF ANTITRUST CLAIMS

Project: CITY OF LAS VEGAS Project No. 181711182

2021 STREET AND DRAINAGE IMPROVEMENTS

HOT SPRINGS BOULEVARD FROM MILLS AVENUE TO MORA STREET

CDBG #21-C-NR-I-01-G-03

1.0 SUBCONTRACTORS LISTING, NMDWS REGISTRATION, & ASSIGNMENT OF ANTITRUST CLAIMS [by Contractor,

Subcontractors, Sub-Subcontractors, and Suppliers]

- 1.1 To be fully executed and included with Bid as a condition of the Bid, including all Subcontractors providing services valued at \$5,000 or more, pursuant to \$13-4-34 NMSA 1978.
- 1.2 To be signed after award of Contract by individual empowered to obligate Supplier, Subcontractor, or Sub-subcontractor.
- 1.3 See Instructions to Bidders, subsection 4.5 of section 00100, Subcontractors, for rules regarding changes in this list after bidding.
- 1.4 The undersigned agrees that any and all claims which the firm may have or may inure to it for overcharges resulting from antitrust violations as to goods services and materials purchased in

connection with the above-referenced project are hereby assigned to the Owner, but only to the extent that such overcharges are passed on to the Owner. It is agreed that the firm retains all rights to any such antitrust claims to the extent of any overcharges not passed on to the Owner, including the right to any treble damages attributable thereto.

1.5 A contractor or subcontractor that submits a bid valued at more than \$60,000 for a public works project subject to the Public Works Minimum Wage Act shall be registered with the Labor and Industrial Division of the Workforce Solutions Department (NMDWS) (formerly the Department of Labor (DOL). The Owner shall not accept a bid on a public works project from a Contractor that does not provide proof of required registration for itself. Contractors, prime contractors and subcontractors must be registered with the NMDWS (§13-4-13.1 NMSA 1978.

| Company Name of | Email and phone | NMDWS | DUNS number | SAMs registration |
|--------------------------|-----------------|-----------------|-------------|-------------------|
| Contractor/Subcontractor | number | Registration No | | number |
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U. S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

CERTIFICATION OF BIDDER REGARDING EQUAL EMPLOYMENT OPPORTUNITY

INSTRUCTIONS

This certification is required pursuant to Executive Order 11246 (30 F. R. 12319-25). The implementing rules and regulations provide that any bidder or prospective contractor, or any of their proposed subcontractors, shall state as an initial part of the bid or negotiations of the contract whether it has participated in any previous contract or subcontract subject to the equal opportunity clause; and, if so, whether it has filed all compliance reports due under applicable instructions.

Where the certification indicates that the bidder has not filed a compliance report due under applicable instructions, such bidder shall be required to submit a compliance report within seven calendar days after bid opening. No contract shall be awarded unless such report is submitted.

| CERTIFICATION BY BIDDER | | | | | | |
|--|------|--|------|--|--|--|
| NAME AND ADDRESS OF BIDDER (Include ZIP Code) | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| Bidder has participated in a previous contract or subcontract subject to the Equal Opportunity Clause. | | | □ No | | | |
| Compliance reports were required to be filed in connection with such contract or subcontract | | | □ No | | | |
| Bidder has filed all compliance reports due under applicable instructions, including SF-100. | | | □ No | | | |
| Have you ever been or are you being considered for sanction due to violation of Executive Order 11246, as amended? | | | □ No | | | |
| (Name And Title Of Signer Please type) | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| Signature | Date | | | | | |
| | | | | | | |

Replaces Form HUD-4238.CD-1, which is Obsolete

HUD-950.1 (11-78)

CERTIFICATION OF BIDDER REGARDING SECTION 3 AND SEGREGATED FACILITIES

SUPPLEMENTS TO BID FORMS Section 00441

CITY OF LAS VEGAS 2021 STREET AND DRAINAGE IMPROVEMENTS HOT SPRINGS BOULEVARD FROM MILLS AVENUE TO MORA STREET CDBG #21-C-NR-I-01-G-03 Name of Prime Contractor Project Name 181711182 **Project Number** The undersigned hereby certifies that: (a) Section 3 provisions are included in the Contract. (b) A written Section 3 plan was prepared and submitted as part of the bid proceedings (if bid equals or exceeds \$10,000). (c) No segregated facilities will be maintained. Name and Title of Signer (Print or Type) Signature Date

CONTRACTOR - SECTION 3 PLAN FORMAT

| | ntractor) agrees to implement the following specific |
|--|---|
| affirmative action steps directed at increasing the ut | |
| with the Municipality/County of | , New Mexico. |
| A. To ascertain from the locality's CDBG program official the exact boundaries of the Section 3 covered project area and where advantageous, seek the assistance of local officials in preparing and implementing the affirmative action plan. | typically let on a negotiated rather than a bid basis in areas other than Section 3 covered project areas are also let on a negotiated basis, however, feasible, when let in a Section 3 covered project area. |
| B. To attempt to recruit from within the municipality or county (as applicable), the necessary number of lower income residents through: local advertising media, signs placed at the proposed site for the project, and community | F. To formally contact unions, subcontractors, and trade associations to secure their cooperation for this program. G. To ensure that all appropriate project area business concerns are notified of pending |
| organizations and public or private institutions operating within or serving the project area such as Service Employment and Redevelopment (SER), Opportunities Industrialization Center (OIC), Urban League, Concentrated Employment Program, Hometown Plan, or the U. S. Employment Service. | sub-contractual opportunities. H. To maintain records, including copies of correspondence, memoranda, etc., which document that all of the above affirmative action steps have been taken. |
| C. To maintain a list of all lower income residents who have applied either on their own or on referral from any source, and to employ such persons, if otherwise eligible and if a | I. To appoint or recruit an executive official of the company or agency as Equal Opportunity Officer to coordinate the implementation of this Section 3 plan. |
| vacancy exists. | J. To list on Table A, information related to subcontracts to be awarded. |
| D.* To insert this Section 3 Plan in all bid documents, and to require all bidders on subcontracts to submit a Section 3 affirmative action plan including utilization goals and the specific steps planned to accomplish these goals. | K. To list on Table B, all projected workforce needs for all phases of this project by occupation, trade, skill level, and number of positions. |
| E.* To ensure that subcontracts which are | * Loans, grants, contracts, and subsidies for less than \$10,000 will be exempt. |
| As officers and representatives of (name of contract undersigned have read and fully agree to this Affirm implementation of this program. | tor), we the native Action Plan, and become party to the full |
| Signature | |
| Title Date | |
| Signature | |

Date

Rev 11-19 4A-33

Title

TABLE A PROPOSED SUBCONTRACTS BREAKDOWN

| For the Period Covering _ | , 20 through | , 20 |
|---------------------------|---|------|
| | [Duration of the CDBG-Assisted Project] | |

| Column 1 | Column 2 | Column 3 | Column 4 | Column 5 |
|---------------------|---------------------------------|---|--|---|
| Type of Contract | Total Number of Contracts | Total Approximate Dollar (\$) Amount | Estimated Number of Contracts to Project Area Businesses* | Estimated Dollar (\$) Amount to Project Area Businesses* |
| | | | | |
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| The Project Area is | coextensive wit | th the Municipality/Co | unty of | 's boundaries. |
| | | _ | | |
| ompany | | | | |
| | | | | |

Rev 11-19 4A-34

Date

EEO Officer (Signature)

TABLE B ESTIMATED PROJECT WORKFORCE BREAKDOWN

| Column 1 | Column 2 | Column 3 | Column 4 | Column 5 |
|------------------------------------|------------------------------|--|---|--|
| Job Category | Total Estimated Positions | Number Positions Currently Occupied by Permanent Employees | Number Positions Not Currently Occupied | Number Positions to be filled with LIPAR* |
| Officers/Supervisors | | | | |
| Professionals | | | | |
| Technicians | | | | |
| Housing Sales/Rental Management | | | | |
| Office Clerical | | | | |
| Service Workers | | | | |
| Others | | | | |
| TRADE: | | | | |
| Journeymen | | | | |
| Helpers | | | | |
| Apprentices | | | | |
| Maximum Number of Trainees | | | | |
| Others | | | | |
| TRADE: | | | | |
| Journeymen | | | | |
| Helpers | | | | |
| Apprentices | | | | |
| Maximum Number of Trainees | | | | |
| Others | | | | |

| *Lower Income Project Area Residents. Individuals residing within the Municipality/County of |
|--|
| whose family income does not exceed 80% of the median income of the |
| State. |
| |
| Company |

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OTHER SUPPLEMENTS TO BID FORMS

SUPPLEMENTS TO BID FORMS Section 00400

SUBSTITUTION LISTING (This requirement deleted)

Section 00440

EQUIPMENT SUPPLIERS LISTING (This requirement deleted)

Section 00450

NON-COLLUSION AFFIDAVIT

Section 00480

STATEMENT OF BIDDER'S QUALIFICATIONS (This requirement deleted)

Section 00470

GROSS RECEIPTS NOTIFICATION

4A-39

RFB TEMPLE FROM THE CITY OF LAS VEGAS

4A-39

NON-COLLUSION AFFIDAVIT

SUPPLEMENTS TO BID FORMS

Section 00480 TO BE EXECUTED BY EACH AWARDEE OF A PRINCIPAL CONTRACT)ss being first duly sworn, deposes and says that he is (sole owner, a partner, president, secretary, etc.) of the party making the foregoing bid; that such a bid is not made in the interest of or on behalf of any undisclosed person,

partnership, company association, organization, or corporation; that such a bid is genuine and not collusive or sham; that said bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirect colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, nor that anyone shall refrain from bidding; that said bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of said bidder or of any other bidder, nor to fix any overhead, profit, or cost element of such bid price, nor of that of any other bidder, nor to secure any advantage against the public body awarding the contract or anyone interested in the proposed contract; that all statements contained in such bid are true; and, further, that said bidder has not directly or indirectly, submitted his bid price or any breakdown thereof, nor the contents thereof, nor divulged information or data relative thereto, nor paid and will not pay fee in connection therewith to any corporation, partnership, company, association, organization, bid depository, nor any member or agent thereof, nor any to any other individual except to such person or persons as have a partnership or other financial interest with said bidder in his general business.

| | Signed: | | |
|-------------------------------------|---------|--------|------|
| | Ву | | |
| | Title | | |
| | | | |
| Subscribed and sworn before me this | | day of | , 20 |
| Seal of Notary | | | |
| | | | |
| NOTARY PURLIC | | | |

GROSS RECEIPTS NOTIFICATION AND REQUEST

Under Taxation and Revenue Regulations, Contractors are required to report their gross receipts under the location of the construction activity.

For construction within the City of Las Vegas, the location number to be used on the monthly CRS-1 report form is 12-122 (current 2023 rate 8.1458%).

In order to properly track the Gross Receipts Tax portion that the State of New Mexico remits to the City of Las Vegas, we would appreciate a copy of your CRS-1 report be mailed or faxed to the following address:

City of Las Vegas 1700 N. Grand Ave. Las Vegas, NM 87701 Fax: 505-425-7335

| Copies of reports received will be kep | t confidential. | Thank you in | advance for | your cooperation. |
|--|-----------------|---------------|-------------|-------------------|
| Acknowledged receipt of this form: | | | | |
| - <u></u> - | Contractor Re | epresentative | | |

REQUEST FOR BIDS

The City of Las Vegas, New Mexico will open Sealed Bids at 2:00 p.m., <u>July 21, 2023</u>, at the City Council Chambers, 1700 North Grand Avenue, Las Vegas, New Mexico, (Phone Conference Call in available Call in No: (833) 436-6264; Conference ID: 112 366 253#) or other designated area at the City Offices; ON THE FOLLOWING:

CITY OF LAS VEGAS 2021 STREET AND DRAINAGE IMPROVEMENTS HOT SPRINGS BOULEVARD FROM MILLS AVENUE TO MORA STREET CDBG #21-C-NR-I-01-G-03 STANTEC PROJECT #181711182

Prospective bidders must attend a Pre-Bid Conference which will be held at City of Las Vegas, Department Conference Room, 1700 N. Grand Avenue, Las Vegas, NM 87701 on July 6, 2023 at 11:00 a.m. Phone Conference Call in available Call in No: (833) 436-6264; Conference ID: 304 019 769#

The BIDDING FORMS AND TECHNICAL SPECIFICATIONS may be examined at the following location: City Clerk's Office at 1700 North Grand Avenue, Las Vegas, NM 87701 or www.lasvegasnm.gov

Copies of the BIDDING FORMS AND TECHNICAL SPECIFICATIONS may be examined at the following location: <u>Stantec: Email: Deanna.mascarenas@stantec.com</u> or by calling 505-349-8453.

Mailed bids should be addressed to the City Clerk, 1700 N. Grand Ave., Las Vegas, New Mexico 87701; with the envelope marked: Hot Springs Road Reconstruction Project from Mills Ave to Mora Street
Opening No. 2023-23; on the lower left-hand corner of the submitted envelope. It shall be the responsibility of the Offeror to see that their bid is delivered to the City Clerk by the date and time set for the bid request. If the mail or delivery of bid request is delayed beyond the opening date and time, bid thus delayed will not be considered. A public opening will be held and any bidder or their authorized representative is invited to attend. CITY OF LAS VEGAS,

| The City of Las Vegas reserves the right to | re ject any/or all bids submitted. Leo Maestas, City Manager |
|---|--|
| | City Attorney |
| | Casandra Fresquez, City Clerk |
| | Tasha Martinez, Finance Director |
| | Helen Vigil, Purchasing Officer |

Opening No. <u>2023-23</u> Date Issued: <u>06/08/2023</u>

Published: LAS VEGAS OPTIC June 23, 2023

ALBUQUERQUE JOURNAL June 17, 2023 www.lasvegasnm.gov June 17, 2023

BIDDER INFORMATION

| OFFEROR: | |
|--|--|
| AUTHORIZED AGENT: | |
| ADDRESS: | |
| TELEPHONE NUMBER () | |
| FAX NUMBER () | |
| DELIVERY: | |
| STATE PURCHASING RESIDENT CERTIFICATIO | N NO.: |
| NEW MEXICO CONTRACTORS LICENSE NO.: | |
| BID ITEM (S): Hot Springs Road Reconstruction | <u>Project</u> |
| ITEM (S) UNDER THIS BID ARE TO BE F.O.B. LAS VEGAS RESERVES THE RIGHT REJECT ANY (IRREGULARITY IN THE FORM OF THE BID. | |
| AFFIDAVIT FOR FILING | WITH COMPETITIVE BID |
| STATE OF } | |
| COUNTY OF} | } ss |
| I, | rized by the bidder to submit the attached bid. Affiant any collusion among bidders in restraint of freedom or to refrain from bidding; or with any city official or ct, or any other terms of said prospective contract; or cial concerning an exchange of money or any other |
| | Signature |
| Subscribed and sworn to before me, this day of | , 20 |
| (SEAL) | Notary Public Signature |
| | My Commission Expires: |

STANDARD BID CLAUSES

AWARDED BID

Awarding of bid shall be made to the responsible Bidder whose Bid best meets the required specifications. The City of Las Vegas (City) reserves the right to reject or accept any of all Bid specifications and to waive any insubstantial irregularity in the form of the Bid.

TIMETABLE

Bid pursuant to this request must be received at the City Clerk's Office at 1700 North Grand Avenue, Las Vegas, New Mexico, on or before: <u>July 21, 2023</u>; 2:00 p.m.; at which time all bids received will be opened. Phone Conference Call in available Call in No: (833) 436-6264; Conference ID: 112 366 253# An opening will occur at the City's Council Chambers or other designated area at the City Offices. Awarding of Bid is projected for August 2023. The successful Bidder will be notified by mail.

ENVELOPES

Sealed Bid envelopes shall be clearly marked on the lower left-hand corner, identified by the Bid Name and Opening Number. Failure to comply with this requirement may result in the rejection of the submitted Bid.

BRIBERY AND KICKBACK

The Procurement Code (NMSA 13-1-28 through 13-1-199) imposes a third degree felony penalty for bribery of a public official or public employee. In addition, the New Mexico Criminal Statutes (NMSA 30-24-1 and 30-24-2) state that it is a third degree felony to commit the offense of demanding or receiving a bribe by a public official or public employee, and it is a fourth degree felony to commit the offense of soliciting or receiving illegal kickbacks. NMSA 30-41-1 through 30-41-3 include that it is a fourth degree felony to commit the offense of offering or paying illegal kickbacks.

NON-COLLUSION

In signing their Bid and Affidavit, the Bidder certifies that he/she has not, either directly or indirectly, entered into action of restraint of free competition, in connection with the submitted bid.

RESPONSIBILITY OF BIDDER

At all times it shall be the responsibility of the Bidder to see that their bid is delivered to the City Clerk by the <u>Date</u> and <u>Time</u> scheduled for opening. If the mail or delivery of said Bid is delayed beyond the scheduled opening date and time set, this bid will not be considered.

CLARIFICATION OF BID

Bidder requiring clarification or interpretation of Bid specifications shall make a written request to the <u>Department</u> involved in this bid request at least five (5) days prior to the scheduled bid opening date; with a copy forwarded to the Finance Department. Any interpretation, corrections, or changes of said <u>Bid Specifications</u>, <u>Opening Date</u>, <u>or Time Change</u> will be made by Addendum only. Interpretations, Corrections or changes of said Bid made in any other manner will not be binding and the Bidder shall not rely upon such interpretation, corrections and changes.

MODIFICATION OF BID

Bids may be withdrawn upon receipt of written request prior to the scheduled bid opening for the purpose of making any corrections or changes. Such corrections must be properly identified and signed or initialed by the Bidder. Resubmission <u>must be prior</u> to the scheduled bid opening time in order to be considered. After bid opening, no price modifications of submitted bids or other provisions shall be permitted.

WITHDRAWAL OF BID

A low Bidder alleging a material mistake of fact, <u>after</u> bids have been opened may request their bid be withdrawn upon receipt of a written request to the Finance Department <u>prior</u> to the scheduled awarding date.

INSPECTION

Final inspection and acceptance will be made at the City's discretion. Products rejected for nonconformance with the specifications shall be removed by the Bidder, at his/her risk and expense promptly after notice of rejection.

FEDERAL TAX IDENTIFICATION NUMBER

Pursuant to IRS requirements, Bidder shall provide their Federal Tax ID Number if Bidder is incorporated. If Bidder is a sole proprietorship or partnership they then shall provide their Social Security Number.

| FEDERAL TAX ID NUMBER:SOCIAL SECURITY NUMBER: |
|--|
| NEW MEXICO TAX IDENTIFICATION NUMBER Payment may be withheld under (Section 7-10-5, N.M.S.A. 1978) if you are subject to New Mexico Gross Receipts Tax and have not registered for New Mexico (CRS) Tax Identification Number. Contact the New Mexico Taxation & Revenue Department at (505) 827-0700 for registering instructions. |
| NEW MEXICO TAX IDENTIFICATION NO. (CRS): |

CAMPAIGN CONTRIBUTION DISCLOSURE FORM

The Bidder shall submit a complete Campaign Contribution Disclosure Form Pursuant to NMSA 13-1-191.1, as amended.

COMMERCIAL WARRANTY

The Bidder agrees that the products or services furnished under a Purchase Order shall be covered by the most favorable commercial warranties that the Bidder gives to any customer for such products or services. And that the rights and remedies provided therein shall extend to the City and are in addition to and do not limit any rights afforded to the City by any other clause of its Purchase Order. Bidder agrees not to disclaim warranties of fitness for any particular purpose or merchantability.

Furthermore, Bidder agrees that its warranty for all products furnished under a Purchase Order pursuant to this Call for Bids shall be for a period of one year following the installation of said products by others. The Bidder agrees that prior to receiving payment, Bidder shall provide to the City written notice by the City's Engineer that the products have been installed correctly and have been demonstrated to be capable of performing their intended function.

SPECIAL NOTICE

To preclude possible errors and/or misinterpretations, bid prices must be affixed in ink or typewritten legibly. *Enclose one (1) original and five (5) copies of Bid documents.* Failure to comply with this requirement may result in the rejection of the submitted Bid.

LABELS

Bidder is required to tab pages which include the following: Notarized Affidavit, Signed Campaign Contribution form, Subcontractor list, Bid bond if applicable and total bid amount page.

DEFAULT

The City reserves the right to cancel all or any part of an order without cost to the City if the Bidder fails to meet the provision of the City's Purchase Order or the product specifications and to hold the Bidder liable for any excess costs occasioned due to the Bidder's default. The Bidder shall not be liable for any excess costs if failure to perform on an order arises out of cause beyond the control and without fault or negligence of the Bidder. Such causes include but are not restricted to acts of GOD or public enemy; acts of State or Federal Government; fires, floods, epidemics, quarantine restrictions, strikes, embargoes, unusually severe weather, or defaults of subcontractors. Due to any of the above, unless the City shall determine that the supplies or services to be furnished by the subcontractor are obtainable from other sources in the City, this paragraph shall not be exclusive and shall be in addition to any other rights now being provided by law.

BID PROTESTS

If any bidder is of the opinion that the specifications as written preclude him/her from submitting a bid, his/her opinion should be made known to the <u>Department</u> involved in this bid request at least fifteen (15) days prior to the scheduled bid opening date with a copy forwarded to the <u>Finance Department</u>. Bid protests will not be considered from parties who do not also furnish satisfactorily documentation with their protest that their proposed system fully meets the functional intent of the TECHNICAL SPECIFICATIONS which accompany a Call for Bids.

NON-EXCLUSION

Specifications of the bid request are not meant to exclude any Bidder or Manufacturer. Where a brand name or equal is indicated, it is for the purpose of describing the standard of quality, performance and characteristics desired and is not intended to restrict competition. "No Substitute" specifications may be authorized, only if required to match existing equipment.

If any Bidder is of the opinion that the specifications as written preclude him/her from submitting a bid, his/her opinion should be made known to the <u>Department</u> involved in this bid request at least five (5) days

prior to the scheduled bid opening date, with a copy forwarded to the Finance Department.

Brand names and numbers are for reference only and equivalents will be considered. If bidding "EQUIVALENT" Bidder must be prepared to furnish complete data upon request, preferably with the bid to avoid awarding delay.

CONTRACT

When the City issues a Purchase Order in response to an awarded Bid a binding contract is created (unless a specific contract has been created).

TERMINATION

This Price Agreement may be terminated by either party upon signing a written notice to the other party at least thirty (30) days in advance of the date of termination. Notice of termination of this Price Agreement shall not affect any outstanding orders.

TAXES:

Bidder must pay all applicable taxes.

NOTE:

If bidder is from outside the City of Las Vegas, the successful bidder must pay Gross Receipts Tax in the City of Las Vegas.

CITY OF LAS VEGAS

BIDFORM -

THIS PAGE IS NOT APPLICABLE – REFER TO PAGE 4A-23 FOR BID PROPOSAL

BID ITEM (S)

| A | \$ |
|-------|--------------------|
| В | \$ |
| C | \$ |
| D | \$ |
| E | \$ |
| F | \$ |
| G | \$ |
| Н | \$ |
| l | \$ |
| J | \$ |
| K | \$ |
| L | \$ |
| | |
| NOTE: | |
| | |
| | |

CAMPAIGN CONTRIBUTION DISCLOSURE FORM

Pursuant to the Procurement Code, NMSA 13-1-28, *et al*, as amended, a prospective contractor subject to this section shall disclose all campaign contributions given by the prospective contractor or a family member or representative of the prospective contractor to an applicable public official of the state or a local public body during the two years prior to the date on which a proposal is submitted or, in the case of a sole source or small purchase contract, the two years prior to the date on which the contractor signs the contract, if the aggregate total of contributions given by the prospective contractor or a family member or representative of the prospective contractor to the public official exceeds two hundred fifty dollars (\$250.00) over the two-year period. A prospective contractor submitting a disclosure statement pursuant to this section who has not contributed to an applicable public official, whose family members have not contributed to an applicable public official shall make a statement that no contribution was made.

A prospective contractor or a family member or representative of the prospective contractor shall not give a campaign contribution or other thing of value to an applicable public official or the applicable public official's employees during the pendency of the procurement process or during the pendency of negotiations for a sole source or small purchase contract.

Furthermore, a solicitation or proposed award for a proposed contract may be canceled pursuant to NMSA 13-1-181 or a contract that is executed may be ratified or terminated pursuant to NMSA 13-1-18 if a prospective contractor fails to submit a fully completed disclosure statement pursuant to this section; or a prospective contractor or family member or representative of the prospective contractor gives a campaign contribution or other thing of value to an applicable public official or the applicable public official's employees during the pendency of the procurement process.

The state agency or local public body that procures the services or items of tangible personal property shall indicate on the form the name or names of every applicable public official, if any, for which disclosure is required by a prospective contractor.

THIS FORM MUST BE INCLUDED IN THE REQUEST FOR PROPOSALS AND MUST BE FILED BY ANY PROSPECTIVE CONTRACTOR WHETHER OR NOT THEY, THEIR FAMILY MEMBER, OR THEIR REPRESENTATIVE HAS MADE ANY CONTRIBUTIONS SUBJECT TO DISCLOSURE.

The following definitions apply:

"Applicable public official" means a person elected to an office or a person appointed to complete a term of an elected office, who has the authority to award or influence the award of the contract for which the prospective contractor is submitting a competitive sealed proposal or who has the authority to negotiate a sole source of small purchase contract that may be awarded without submission of a sealed competitive proposal.

"Campaign Contribution" means a gift, subscription, loan, advance or deposit of money or other thing of value, including the estimated value of an in-kind contribution, that is made to or received by an applicable public official or any person authorized to raise, collect or expend contributions on that official's behalf for the purpose of electing the official to statewide or local office. "Campaign Contribution" includes the payment of a debt incurred in an election campaign, but does not include the value of services provided without compensation or unreimbursed travel or other personal expenses of individuals who volunteer a portion or all of their time on behalf of a candidate or political committee, nor does it include the administrative or solicitation expenses of a political committee that are paid by an organization that sponsors the committee.

"Family member" means a spouse, father, mother, child, father-in-law, mother- in-law, daughter-in-law or son-in-law of (a) a prospective contractor, if the prospective contractor is a natural person; or (b) an owner of a prospective contractor;

"Pendency of the procurement process" means the time period commencing with the public notice of the request for proposals and ending with the award of the contract or the cancellation of the request for proposals.

"Prospective contractor" means a person or business that is subject to the competitive sealed proposal process set forth in the Procurement Code [NMSA 13-1-28 through 13-1-199] or is not required to submit a competitive sealed proposal because that person or business qualifies for a sole source or small purchase contract.

"Representative of a prospective contractor" means an officer or director of a corporation, a member or manager of a limited liability corporation, a partner of a partnership or a trustee of a trust of the prospective contractor.

| Name(s) of Applicable Public Off (Completed by State Agency or L | ficial(s) if any: .ocal Public Body) | | |
|---|---|---|-----|
| DISCLOSURE OF CONTRIBUTI | ONS BY PROSPECTIVE | CONTRACTOR: | |
| Contribution Made By: | | | |
| Relation to Prospective Contractor: | : | | |
| Date Contribution(s) Made: | | | |
| Amount(s) of Contribution(s) | | | |
| Nature of Contribution(s) | | | |
| Purpose of Contribution(s) | | | |
| (Attach extra pages if necessary) | | | |
| Signature | | | |
| Title (position) | _ | OR— | |
| NO CONTRIBUTIONS IN THE AG WERE MADE to an applicable pub | | R TWO HUNDRED FIFTY DOLLARS (\$250. y member or representative. | 00) |
| Signature | Date | | |
| Title (Position) | | | |

AGREEMENT BETWEEN OWNER AND CONTRACTOR

AGREEMENT FORMS - LUMP SUM PRICE OR UNIT PRICE Section 00510



[Disclaimer: Changes and/or modifications made to this document, other than the typical construction items for which the contractor is bidding and/or will contract for, without the written consent of the Local Government Division, DFA shall render this document null and void]

| This Agreeme follows: | nt entered in | to this | day of, 20, by and between the parties as |
|-----------------------|------------------------|------------------------------------|--|
| THE OWNE | City of I 1700 N. C | as Vegas Grand Ave. NM 87701 | THE CONTRACTOR: |
| Telephone: | _505-425 | -7335 | Telephone: |
| E-mail addre | ss: | | E-mail address: |
| For the follow | ing Project: | HOT SPRING MILLS AVE | S VEGAS I AND DRAINAGE IMPROVEMENTS IS BOULEVARD FROM INUE TO MORA STREET -NR-I-01-G-03 |
| Project Numbe | er: <u>1817</u> | 11182 | |
| | | | ENGINEER OF RECORD: tantec Consulting Services Inc. 00 Seagull Street NE, Suite 102B Albuquerque, NM 87109 Telephone: 505-275-0022 |
| | | E-mail | address: |

RECITALS

| WHEREAS, | |
|----------|--|
| | |

(insert funding authority); and

WHEREAS, the Owner, through its Mayor/ Chairman, is authorized to enter into a construction contract for the Project pursuant to Sections 13-1-100 NMSA 1978; and

WHEREAS, the Owner has let this contract according to the established state and local purchasing procedures for contracts of the type and amount let; and

WHEREAS, award of the construction contract on this Project was approved by the Governing Body at its meeting of _______, 20____;

The OWNER and the CONTRACTOR agree as set forth below.

ARTICLE 1 THE CONTRACT DOCUMENTS

- 1.1 The Contract Documents consist of the following:
- ➤ Bid Form
- > This Agreement
- > Performance Bond
- ➤ Labor and Material Payment Bonds
- ➤ Agent's Affidavit
- > Certificate of Insurance
- ➤ Assignment of Antitrust Claims
- ➤ Table A Subcontracts Breakdown
- > Table B Estimated Project Workforce Breakdown
- ➤ Notice of Award
- ➤ Notice to Proceed
- > Conditions of the Contract (General,
- > Supplementary and Other Conditions)
- Drawings
- Specifications
- ➤ All Addenda Issued Prior to and
- All Modifications Issued after Execution of this Agreement
- > Federal requirements, certifications and forms required by the CDBG program

These documents form the Contract, and all are as fully a part of the Contract as if attached to this Agreement or repeated herein. An enumeration of the Contract Documents appears in Article 7 of this Agreement.

ARTICLE 2 THE WORK

2.1 The Contractor shall perform all the Work required by the Contract for the following:

The construction scope includes but is not limited to the installation of open gate trench to improve drainage, reconstruction of the existing roadway by removal of the existing asphalt surfacing and extraction to existing subgrade elevation, installation of geo-grid and approximately 6" of base course and 2 ½" of asphalt, new ADA ramps at the intersections where feasible, new drive pads, sidewalk improvements, and signing and striping. Deteriorated and noncompliant sidewalks will be replaced, and ADA accessible ramps will be provided at intersections with adjacent streets.

ARTICLE 3 TIME OF COMMENCEMENT AND SUBSTANTIAL COMPLETION

- 3.1 The Work to be performed under the contract shall commence not later than ten (10) consecutive calendar days after the date of written Notice to Proceed. Substantial Completion shall be achieved not later than **Seventy-Five (75) calendar days** calendar days after the date of written Notice to Proceed, except as hereafter extended by valid written Change Order by the Owner.
- 3.2 Should the Contractor neglect, refuse, or otherwise fail to complete the Work within the time specified in this article, the Contractor agrees, in partial consideration for the award of the Contract, to pay to the Owner the amount of **One Thousand Dollars** (\$1,000.00) per consecutive calendar day, not as a penalty, but as liquidated damages for such breach of the Contract.

ARTICLE 4 CONTRACT SUM

| 4.1 The Owner shall pay the Contractor in current |
|--|
| funds for the performance of the Work, subject to |
| additions and deductions by Change Order as provided |
| in the Contract, the Contract Sum of |
| |
| |

| Dollars (\$). | |
|------------------------------------|------------------|
| 4.2 The Contract sum is determined | ined as follows: |
| Base Bid | \$ |

| Alternatives (if any) | \$ |
|-----------------------|----|
| NM GRT @ % | \$ |
| Contract Sum | \$ |

ARTICLE 5 PROGRESS PAYMENTS

- 5.1 Based upon Applications for Payment submitted to the Architect/Engineer by the Contractor and Certificates for Payment issued by the Architect/ Engineer, the Owner shall make progress payments on account of the Contract Sum to the Contractor as provided in the Contract for the period ending the 5th day of the month as follows:
- 5.2 Not later than thirty (30) working days following receipt by the Owner of an undisputed Application for Payment or as stated in Paragraph 25 of the Supplemental General Conditions, one hundred percent (100%) of the portion of the Contract Sum properly allocable to labor, materials, and equipment incorporated in the Work and one hundred percent (100%) of the portion of the Contract Sum properly allocable to materials and equipment suitably stored at the site or some other location agreed upon in writing for the period covered by the Application for Payment, less the aggregate of previous payments made by the Owner; less such amounts as the Architect/ Engineer shall determine for all incomplete Work and unsettled claims as provided in the Contract Documents (Section 57-28-5 NMSA 1978). (48 CFR 52.232-27) (Title 5 Part 1315.14).
- 5.2.1 When making payments, an owner, contractor or subcontractor shall not retain, withhold, hold back or in any other manner not pay amounts owed for work performed. For additional information regarding retainage and the Prompt Payment Act refer to Section 57-28-5 NMSA 1978.

ARTICLE 6 FINAL PAYMENT

6.1 Final payment, constituting the entire unpaid balance of the Contract Sum, shall be paid by the Owner to the Contractor within thirty (30) calendar days after notification of the Owner by the Architect/Engineer that all incomplete and unacceptable work that was noted during the Substantial Completion Inspection and listed on the attachment to the Certificate of Substantial Completion has been corrected, and provided the Contract has been fully performed and a final

Certificate for Payment has been issued by the Architect/Engineer and final monitoring and close-out by the Funding Agency. In addition, the Contractor shall provide to the Owner a certified statement of Release of Liens (*AIA Document G706A or approved form*) and Consent of Surety.

ARTICLE 7 GENERAL AND SPECIAL PROVISIONS

- 7.1 This Agreement shall be governed exclusively by the provisions hereof and by the laws of the State of New Mexico as the same from time to time exist.
- 7.2 Terms used in this Agreement that are defined in the Conditions of the Contract shall have the meanings designated in those Conditions.
- 7.3 As between the parties to this Agreement. As to all acts or failures to act by either party to this Agreement, any applicable statute of limitations shall commence to run and any alleged cause of action shall be deemed to have accrued in any and all events not later than the relevant Date of Substantial Completion of the Work; and as to any acts or failures to act occurring after the relevant Date of Substantial Completion, not later than the date of the Owner's approval of the Final Certificate of Payment.
- 7.4 The Contractor shall hold harmless and indemnify the Owner against any and all injury, loss, or damage, including cost of defense including but not limited to court costs and attorneys' fees arising out of the negligent acts, errors, or omissions of the Contractor.
- 7.5 This Agreement shall not become effective until approved by the governing body; and signed by all parties required to sign this Agreement and reviewed by the Funding Agency.
- 7.6 The Contractor and his agents and employees are independent contractors and are not employees of the Owner. The Contractor and his agents and employees shall not accrue leave, retirement, insurance, bonding, use of Owner vehicles, or any other benefits afforded to employees of the Owner as a result of this Agreement.
- 7.7 The Contractor, upon final payment of the amounts due under this Agreement, releases the Owner, his officers and employees from his liabilities and obligations arising from or under this Agreement, including but not limited to all damages, losses, costs, liability, and expenses, including but not limited to

attorneys' fees and costs of litigation that the Contractor may incur.

- 7.8 The Contractor agrees not to purport to bind Owner to any obligation not assumed herein by Owner unless the Contractor has express written authority to do so, and then only within the strict limits of that authority.
- 7.9 Notices. All notices herein provided to be given, or which may be given, by either party to the other shall be deemed to have been fully given when made in writing and deposited in the United States mail, postage prepaid in the instance of notice of termination of work also by certified mail and addressed as shown on the title page of this Agreement.
- 7.10 Nothing herein contained shall preclude the giving of any such written notice by personal service. The address to which notices shall be mailed to either party may be changed by written notice given by such party to the other as hereinabove provided.
- 7.11 Gender Singular/Plural. Words of any gender used in this Agreement shall be held and construed to include any other gender, and words in the singular number shall be held to include the plural, unless the context requires otherwise.
- 7.12 Captions and Section Headings. The captions and section headings contained in this Agreement are for convenience of reference only, and in no way limit, define, or enlarge the terms, scope, and conditions of this Agreement.
- 7.13 This document shall be executed in no less than three (3) counterparts, each of which shall be deemed an original.
- 7.14 Certificates and Documents Incorporated. All certificates and documentation required by the provisions of this Agreement shall be attached to this Agreement at the time of execution and are hereby incorporated by reference as though set forth in full in this Agreement to the extent they are consistent with its conditions and terms.
- 7.15 Separability. If any clause or provision of this Agreement is illegal, invalid, or unenforceable under present or future laws effective during the term of this Agreement, then and in that event it is the intention of the parties hereto that the remainder of this Agreement shall not be affected thereby.

- 7.16 Waiver. No provision of this Agreement shall be deemed to have been waived by either party unless such waiver be in writing signed by the party making the waiver and addressed to the other party; nor shall any custom or practice which may evolve between the parties in the administration of the terms hereof be construed to waive or lessen the right of either party to insist upon performance by the other party in strict accordance with the terms hereof. Further, the waiver by any party of a breach by the other party of any term, covenant, or condition hereof shall not operate as a waiver of any subsequent breach of the same or any other term, covenant, or condition thereof.
- 7.17 Entire Agreement. This Agreement represents the entire contract between the parties and, except as otherwise provided herein, may not be amended, changed, modified, or altered without the written consent of the parties hereto. This Agreement incorporates all of the conditions, agreements, and understandings between the parties concerning the subject matter of this Agreement, and all such conditions, understandings, and agreements have been merged into this written Agreement. No prior condition, agreement, or understanding, verbal or otherwise, of the parties or their agents shall be valid or enforceable unless embodied in this written Agreement.
- 7.18 Interchangeable Terms. For purposes of all provisions within this Agreement and all attachments hereto, the terms "Agreement" and "Contract" shall have the same meaning and shall be interchangeable.
- 7.19 Words and Phrases. Words, phrases, and abbreviations which have well-known technical or trade meanings used in the Contract Documents shall be used according to such recognized meanings. In the event of a conflict, the more stringent meaning shall govern.
- 7.20 Relationship of Contract Documents. The Contract Documents are complementary, and any requirement of one contract document shall be as binding as if required by all.
- 7.21 Pursuant to §13-1-191 NMSA 1978, reference is hereby made to the criminal laws of New Mexico, including §§30-14-1, 30-24-2, and 30-41-1 through 3 NMSA 1978, which prohibit bribes, kickbacks, and gratuities, violation of which constitutes a felony. Further, the Procurement Code, §§13-1-28 through 13-1-199 NMSA 1978, imposes civil and criminal penalties for its violation.

| the entire Contract be Contractor, are listed | Occuments, which constitute etween the Owner and the in Article 1 and, except for after execution of this herated as follows: | Performance Bond Labor and Material Payment Bond Agent's Affidavit Certificate of Insurance Assignment of Antitrust Claims Table A Subcontracts Breakdown Table B Estimated Project Workforce | 4A-54 4A-57 4A-28/61 4A-63 4A-65 4A-67 4A-68 |
|--|---|---|--|
| 7.23 The following Project Manual: | documents bound in the | Breakdown General Conditions | 4A-70 |
| Documents Bid Form Agreement between | Pages 4A-21 Owner | Supplementary Conditions Federal Wage Rates State Wage Rates | 4A-84 4A-109 4A-110 |
| and Contractor | 4A-50 | Technical Specifications - | |
| Approved by the Gov | verning Body at its meeting of | | , 2023 |
| | | | |
| OWNER: | | | |
| _ | Mayor/Chairperson | Date: | |
| Reviewed: | As to Legal Form and Sufficien | acv | |
| | | | |
| | | | |
| | As to Budget Sufficiency | | |
| | Tis to Budget Sufficiency | | |
| Title: | | | |
| _ | | the day and year first written above. | |
| | | | |
| | | | |
| | | | |
| | | | |
| Federal Tax II | | State Tax ID No: | |
| AGENCY CONCUI | RRENCE: | | |
| By: _ | | | |
| Title: _ | | | |
| | | Date: | |



PERFORMANCE BOND

CONTRACTOR (name and address): SURETY (name and address of principal place of business):

| OWNER | |
|---|--|
| OWNER (name and address): | |
| CONSTRUCTION CONTRACT | |
| Effective Date of the Agreement: | |
| Amount: Description (name and location): | |
| Description (name and tocation). | |
| BOND | |
| Bond Number: | Called Constant diese Constant de |
| Date (not earlier than the Effective Date of the Agreement of Amount: | the Construction Contracty: |
| Modifications to this Bond Form: None | See Paragraph 16 |
| this Performance Bond to be duly executed by an author CONTRACTOR AS PRINCIPAL | SURETY |
| (seal) | (seal) |
| Contractor's Name and Corporate Seal | Surety's Name and Corporate Seal |
| By: | By: |
| Signature | Signature (attach Power of Attorney) |
| Print Name | Print Name |
| Title | Title |
| Attest: | Attest: |
| Signature | Signature |
| Title | Title |
| Notes: (1) Provide supplemental execution by any addition | al parties, such as joint venturers. (2) Any singular reference to |

Contractor, Surety, Owner, or other party shall be considered plural where applicable.

Rev. 11-19

- 1. The Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to the Owner for the performance of the Construction Contract, which is incorporated herein by reference.
- 2. If the Contractor performs the Construction Contract, the Surety and the Contractor shall have no obligation under this Bond, except when applicable to participate in a conference as provided in Paragraph 3.
- 3. If there is no Owner Default under the Construction Contract, the Surety's obligation under this Bond shall arise after:
 - 3.1 The Owner first provides notice to the Contractor and the Surety that the Owner is considering declaring a Contractor Default. Such notice shall indicate whether the Owner is requesting a conference among the Owner, Contractor, and Surety to discuss the Contractor's performance. If the Owner does not request a conference, the Surety may, within five (5) business days after receipt of the Owner's notice, request such a conference. If the Surety timely requests a conference, the Owner shall attend. Unless the Owner agrees otherwise, any conference requested under this Paragraph 3.1 shall be held within ten (10) business days of the Surety's receipt of the Owner's notice. If the Owner, the Contractor, and the Surety agree, the Contractor shall be allowed a reasonable time to perform the Construction Contract, but such an agreement shall not waive the Owner's right, if any, subsequently to declare a Contractor Default;
 - 3.2 The Owner declares a Contractor Default, terminates the Construction Contract and notifies the Surety; and
 - 3.3 The Owner has agreed to pay the Balance of the Contract Price in accordance with the terms of the Construction Contract to the Surety or to a contractor selected to perform the Construction Contract.
- 4. Failure on the part of the Owner to comply with the notice requirement in Paragraph 3.1 shall not constitute a failure to comply with a condition precedent to the Surety's obligations, or release the Surety from its obligations, except to the extent the Surety demonstrates actual prejudice.
- 5. When the Owner has satisfied the conditions of Paragraph 3, the Surety shall promptly and at the Surety's expense take one of the following actions:
 - 5.1 Arrange for the Contractor, with the consent of the Owner, to perform and complete the Construction Contract;
 - 5.2 Undertake to perform and complete the Construction Contract itself, through its agents or independent contractors;
 - 5.3 Obtain bids or negotiated proposals from qualified contractors acceptable to the Owner for a contract for performance and completion of the Construction Contract, arrange for a contract to be prepared for execution by the Owner and a contractor selected with the Owners concurrence, to be secured with performance and payment bonds executed by a qualified surety equivalent to the bonds issued on the Construction Contract, and pay to the Owner the amount of damages as described in Paragraph 7 in excess of the Balance of the Contract Price incurred by the Owner as a result of the Contractor Default; or
 - 5.4 Waive its right to perform and complete, arrange for completion, or obtain a new contractor, and with reasonable promptness under the circumstances:
 - 5.4.1 After investigation, determine the amount for which it may be liable to the Owner and, as soon as practicable after the amount is determined, make payment to the Owner; or
 - 5.4.2 Deny liability in whole or in part and notify the Owner, citing the reasons for denial.
- 6. If the Surety does not proceed as provided in Paragraph 5 with reasonable promptness, the Surety shall be deemed to be in default on this Bond seven days after receipt of an additional written notice from the Owner to the Surety demanding that the Surety perform its obligations under this Bond, and the Owner shall be entitled to enforce any remedy available to the Owner. If the Surety proceeds as provided in Paragraph 5.4, and the Owner refuses the payment or the Surety has denied liability, in whole or in part, without further notice the Owner shall be entitled to enforce any remedy available to the Owner.
- 7. If the Surety elects to act under Paragraph 5.1, 5.2, or 5.3, then the responsibilities of the Surety to the Owner shall not be greater than those of the Contractor under the Construction Contract, and the responsibilities of the Owner to the Surety shall not be greater than those of the Owner under the Construction Contract. Subject to the commitment by the Owner to pay the Balance of the Contract Price, the Surety is obligated, without duplication for:
 - 7.1 the responsibilities of the Contractor for correction of defective work and completion of the Construction Contract;
 - 7.2 additional legal, design professional, and delay costs resulting from the Contractor's Default, and resulting from the actions or failure to act of the Surety under Paragraph 5; and

- 7.3 liquidated damages, or if no liquidated damages are specified in the Construction Contract, actual damages caused by delayed performance or non-performance of the Contractor.
- 8. If the Surety elects to act under Paragraph 5.1, 5.3, or 5.4, the Surety's liability is limited to the amount of this Bond.
- 9. The Surety shall not be liable to the Owner or others for obligations of the Contractor that are unrelated to the Construction Contract, and the Balance of the Contract Price shall not be reduced or set off on account of any such unrelated obligations. No right of action shall accrue on this Bond to any person or entity other than the Owner or its heirs, executors, administrators, successors, and assigns.
- 10. The Surety hereby waives notice of any change, including changes of time, to the Construction Contract or to related subcontracts, purchase orders, and other obligations.
- 11. Any proceeding, legal or equitable, under this Bond may be instituted in any court of competent jurisdiction in the location in which the work or part of the work is located and shall be instituted within two years after a declaration of Contractor Default or within two years after the Contractor ceased working or within two years after the Surety refuses or fails to perform its obligations under this Bond, whichever occurs first. If the provisions of this paragraph are void or prohibited by law, the minimum periods of limitations available to sureties as a defense in the jurisdiction of the suit shall be applicable.
- 12. Notice to the Surety, the Owner, or the Contractor shall be mailed or delivered to the address shown on the page on which their signature appears.
- 13. When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the construction was to be performed, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

14. Definitions

- 14.1 Balance of the Contract Price: The total amount payable by the Owner to the Contractor under the Construction Contract after all proper adjustments have been made including allowance for the Contractor for any amounts received or to be received by the Owner in settlement of insurance or other claims for damages to which the Contractor is entitled, reduced by all valid and proper payments made to or on behalf of the Contractor under the Construction Contract.
- 14.2 Construction Contract: The agreement between the Owner and Contractor identified on the cover page, including all Contract Documents and changes made to the agreement and the Contract Documents.
- 14.3 Contractor Default: Failure of the Contractor, which has not been remedied or waived, to perform or otherwise to comply with a material term of the Construction Contract.
- Owner Default: Failure of the Owner, which has not been remedied or waived, to pay the Contractor as required under the Construction Contract or to perform and complete or comply with the other material terms of the Construction Contract.
- 14.5 Contract Documents: All the documents that comprise the agreement between the Owner and Contractor.
- 15. If this Bond is issued for an agreement between a contractor and subcontractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.
- 16. Modifications to this Bond are as follows:



PAYMENT BOND

| CONTRACTOR (name and address): | SURETY (name and address of principal place of business): |
|--|--|
| OWNER (name and address): | |
| CONSTRUCTION CONTRACT Effective Date of the Agreement: Amount: Description (name and location): | |
| BOND Bond Number: Date (not earlier than the Effective Date of t Amount: Modifications to this Bond Form: | the Agreement of the Construction Contract): None See Paragraph 18 |
| | gally bound hereby, subject to the terms set forth below, do each cause y an authorized officer, agent, or representative. |
| CONTRACTOR AS PRINCIPAL | SURETY |
| | (Seal)(Seal) |
| Contractor's Name and Corporate Seal | Surety's Name and Corporate Seal |
| By: Signature | By: Signature (attach power of attorney) |
| Print Name | Print Name |
| Title | Title |
| Attest: Signature | Attest: Signature |
| Title | Title |

Notes: (1) Provide supplemental execution by any additional parties, such as joint venturers. (2) Any singular reference to Contractor, Surety, Owner, or other party shall be considered plural where applicable.

Rev. 5-11

- The Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to the Owner to pay for labor, materials, and equipment furnished for use in the performance of the Construction Contract, which is incorporated herein by reference, subject to the following terms.
- 2. If the Contractor promptly makes payment of all sums due to Claimants, and defends, indemnifies, and holds harmless the Owner from claims, demands, liens, or suits by any person or entity seeking payment for labor, materials, or equipment furnished for use in the performance of the Construction Contract, then the Surety and the Contractor shall have no obligation under this Bond.
- 3. If there is no Owner Default under the Construction Contract, the Surety's obligation to the Owner under this Bond shall arise after the Owner has promptly notified the Contractor and the Surety (at the address described in Paragraph 13) of claims, demands, liens, or suits against the Owner or the Owner's property by any person or entity seeking payment for labor, materials, or equipment furnished for use in the performance of the Construction Contract, and tendered defense of such claims, demands, liens, or suits to the Contractor and the Surety.
- 4. When the Owner has satisfied the conditions in Paragraph 3, the Surety shall promptly and at the Surety's expense defend, indemnify, and hold harmless the Owner against a duly tendered claim, demand, lien, or suit.
- 5. The Surety's obligations to a Claimant under this Bond shall arise after the following:
 - 5.1 Claimants who do not have a direct contract with the Contractor.
 - 5.1.1 have furnished a written notice of nonpayment to the Contractor, stating with substantial accuracy the amount claimed and the name of the party to whom the materials were, or equipment was, furnished or supplied or for whom the labor was done or performed, within ninety (90) days after having last performed labor or last furnished materials or equipment included in the Claim; and
 - 5.1.2 have sent a Claim to the Surety (at the address described in Paragraph 13).
 - 5.2 Claimants who are employed by or have a direct contract with the Contractor have sent a Claim to the Surety (at the address described in Paragraph 13).
- 6. If a notice of non-payment required by Paragraph 5.1.1 is given by the Owner to the Contractor, that is sufficient to satisfy a Claimant's obligation to furnish a written notice of non-payment under Paragraph 5.1.1.
- 7. When a Claimant has satisfied the conditions of Paragraph 5.1 or 5.2, whichever is applicable, the Surety shall promptly and at the Surety's expense take the following actions:

- 7.1 Send an answer to the Claimant, with a copy to the Owner, within sixty (60) days after receipt of the Claim, stating the amounts that are undisputed and the basis for challenging any amounts that are disputed; and
- 7.2 Pay or arrange for payment of any undisputed amounts.
- 7.3 The Surety's failure to discharge its obligations under Paragraph 7.1 or 7.2 shall not be deemed to constitute a waiver of defenses the Surety or Contractor may have or acquire as to a Claim, except as to undisputed amounts for which the Surety and Claimant have reached agreement. If, however, the Surety fails to discharge its obligations under Paragraph 7.1 or 7.2, the Surety shall indemnify the Claimant for the reasonable attorney's fees the Claimant incurs thereafter to recover any sums found to be due and owing to the Claimant.
- 8. The Surety's total obligation shall not exceed the amount of this Bond, plus the amount of reasonable attorney's fees provided under Paragraph 7.3, and the amount of this Bond shall be credited for any payments made in good faith by the Surety.
- 9. Amounts owed by the Owner to the Contractor under the Construction Contract shall be used for the performance of the Construction Contract and to satisfy claims, if any, under any construction performance bond. By the Contractor furnishing and the Owner accepting this Bond, they agree that all funds earned by the Contractor in the performance of the Construction Contract are dedicated to satisfy obligations of the Contractor and Surety under this Bond, subject to the Owner's priority to use the funds for the completion of the work.
- 10. The Surety shall not be liable to the Owner, Claimants, or others for obligations of the Contractor that are unrelated to the Construction Contract. The Owner shall not be liable for the payment of any costs or expenses of any Claimant under this Bond, and shall have under this Bond no obligation to make payments to or give notice on behalf of Claimants, or otherwise have any obligations to Claimants under this Bond.
- The Surety hereby waives notice of any change, including changes of time, to the Construction Contract or to related subcontracts, purchase orders, and other obligations.
- 12. No suit or action shall be commenced by a Claimant under this Bond other than in a court of competent jurisdiction in the state in which the project that is the subject of the Construction Contract is located or after the expiration of one year from the date (1) on which the Claimant sent a Claim to the Surety pursuant to Paragraph 5.1.2 or 5.2, or (2) on which the last labor or service was performed by anyone or the last materials or equipment were furnished by anyone under the Construction Contract, whichever of (1) or (2) first occurs. If the provisions of this paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit shall be applicable.

- 13. Notice and Claims to the Surety, the Owner, or the Contractor shall be mailed or delivered to the address shown on the page on which their signature appears. Actual receipt of notice or Claims, however accomplished, shall be sufficient compliance as of the date received.
- 14. When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the construction was to be performed, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.
- 15. Upon requests by any person or entity appearing to be a potential beneficiary of this Bond, the Contractor and Owner shall promptly furnish a copy of this Bond or shall permit a copy to be made.

16. **Definitions**

- 16.1 **Claim:** A written statement by the Claimant including at a minimum:
 - 1. The name of the Claimant;
 - 2. The name of the person for whom the labor was done, or materials or equipment furnished;
 - A copy of the agreement or purchase order pursuant to which labor, materials, or equipment was furnished for use in the performance of the Construction Contract;
 - 4. A brief description of the labor, materials, or equipment furnished;
 - The date on which the Claimant last performed labor or last furnished materials or equipment for use in the performance of the Construction Contract;
 - The total amount earned by the Claimant for labor, materials, or equipment furnished as of the date of the Claim;
 - 7. The total amount of previous payments received by the Claimant; and
 - 8. The total amount due and unpaid to the Claimant for labor, materials, or equipment furnished as of the date of the Claim.
- Claimant: An individual or entity having a direct contract with the Contractor or with a subcontractor of the Contractor to furnish labor, materials, or equipment for use in the performance of the Construction Contract. The term Claimant also includes any individual or entity that has rightfully asserted a claim under an applicable mechanic's lien or similar statute against the real property upon which the Project is located. The intent of this Bond shall be to include without limitation in the terms of "labor, materials, or equipment" that part of the water, gas, power, light, heat, oil, gasoline, telephone service, or rental equipment used in the Construction Contract, architectural and engineering services required for performance of the work of the Contractor and the Contractor's subcontractors, and all other items for which a mechanic's lien may be asserted in the jurisdiction where the labor, materials, or equipment were furnished.

- 16.3 Construction Contract: The agreement between the Owner and Contractor identified on the cover page, including all Contract Documents and all changes made to the agreement and the Contract Documents.
- 16.4 Owner Default: Failure of the Owner, which has not been remedied or waived, to pay the Contractor as required under the Construction Contract or to perform and complete or comply with the other material terms of the Construction Contract.
- 16.5 Contract Documents: All the documents that comprise the agreement between the Owner and Contractor.
- 17. If this Bond is issued for an agreement between a contractor and subcontractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.
- 18. Modifications to this Bond are as follows:

RIDER TO BONDS

BONDS, CERTIFICATES AND NOTICES Section 00621

This Form Must Be Used By Surety

| Performance Bond N°. | Labor & Material Payment B | ond N°. |
|---|--|---|
| Obligee (Owner): | | _ |
| Surety | | _ |
| Surety's New Mexico Agent: | | |
| Name: | | _ |
| Address: | | _ |
| Telephone Nº. () | | |
| The Surety and Principal stipulate as follow | vs: | |
| proper or sufficient Surety, the Owner may within ten (10) days; and thereupon, if the of additional bond or security is not furnished either doing the Work on force account, or equipment, materials and supplies of the de The Surety hereby stipulates and agrees that Contract Sum, Conditions of the Contract, of in any way affect its obligation on this bond | Owner shall so order, security shall be within said time, the Owner may, at letting the same by contract, and shall inquent Contractor in completing said to properly authorized Change Order the scope of nature of the Work to | e furnished. If such new or its option, take over and Surety, l be entitled to use any id Work. er altering Contract Time, be performed thereunder shall |
| Signed and sealed this day of | , 20 | |
| | (Principal) | (Seal) |
| (Witness) | | |
| | (Title) | |
| (Witness) | | |
| | (Surety) | (Seal) |
| | (Title) | |

AGENT'S AFFIDAVIT

This Form Must Re

SUPPLEMENTS TO BID FORMS Section 00422

| [To be filled in by Agent] | | Used By Surety |
|------------------------------------|--|-------------------------------|
| STATE OF |) | |
| COUNTY OF |)) ss.) | |
| | , being first duly sworn, deposes and | d says that he/she is the dul |
| appointed agent for | | |
| and is licensed in the Sta | te of New Mexico. | |
| Deponent further states t | that a certain bond given to indemnify the Owner in connection | on with the construction of |
| | | dated the |
| day of _ | , 20, executed by | |
| | , Contractor, as principal, | , and |
| | , as surety, signed by this | Deponent; and Deponent |
| further states that said bo | ond was written, signed, and delivered by him/her; that the pr | remium on the same has |
| been or will be collected him/her. | by him/her; and that the full commission thereon has been o | r will be retained by |
| SUBSCRIBED AND SV 20 | WORN TO BEFORE ME THIS DAY OF | , |
| | NOTARY PUBLIC | |
| MY COMMISSION EX | PIRES: | [SEAL] |
| | Agent's Address: | |
| | | - - |
| | Telephone () Fax () | _ |

[This form must be used for all bonds required in the Bidding Documents.

Power of Attorney for person signing for Surety Company must be attached to bond. Power of Attorney for person signing for Surety Company must be attached to bond]

GUARANTY BOND/MAINTENANCE BOND

BONDS, CERTIFICATES, AND NOTICES Section 00600

GUARANTY BOND

Section 00630

MAINTENANCE BOND

Section 00640

(Instructions: This is a listing of forms that are not supplied. If required by the Owner or the Architect/Engineer as part of the Bidding Documents, this page should be replaced by the appropriate forms - special mention under Section 7, Instructions to Bidders, and on the Bid Form, if applicable, should be made by the Architect/Engineer.)



Supplemental Attachment for ACORD Certificate of Insurance 25

| PROJECT: (name and address) | | me and address) | CONTRACT INFORMATION: Contract For: Date: | CERTIFICATE INFORMATION: Producer: Insured: Date: | | | | |
|-----------------------------|------|-----------------|---|--|----------------------|--------|--------|-------|
| OWN | IER: | (nam | e and address) | ARCHITECT: (name and address) | CONTRACTOR: (na | me and | d addr | ress) |
| A. | Ger | eral L | _iability | | | Yes | No | N/A |
| | 1. | Doe | s this policy include co | _ | | | | |
| | | a | | odily injury, sickness, or disease, incl d death of any person? | uding occupational | | | |
| | | b | Personal injury and ad | | | | | |
| | | С | Damages because of p including the loss of u | hysical damage to or destruction of ta se of such property? | ngible property, | | | |
| | | d | Bodily injury or prope | rty damage arising out of completed of | operations? | | | |
| | | е | | nnity obligations included in the Con- | | | | |
| | 2. | Doe | | exclusion or restriction of coverage fe | | | | |
| | | a | | against another insured, where the ex lely on the fact that the claimant is an verage for the claim? | | | Ш | |
| | | b | products-completed or | mage to the Contractor's Work arisin perations hazard where the damaged V ge arises was performed by a Subcont | Vork or the Work | | | |
| | | С | | ry other than to employees of the insu | | | | |
| | | d | | ctor's indemnity obligations included of injury to employees of the insured | | | | |
| | | е | Claims for loss exclud exclusionary language | ed under a prior work endorsement or? | other similar | | | |
| | | f | Claims or loss due to p similar exclusionary la | physical damage under a prior injury enguage? | endorsement or | | | |
| | | g | Claims related to resid | ential, multi-family, or other habitation | onal projects? | | | |
| | | h | Claims related to roofi | _ | | | | |
| | | i | Claims related to exterior coatings or sur | ior insulation finish systems, syntheti rfaces? | c stucco, or similar | | | |
| | | j | | subsistence or movement? | | | | |
| | | k | Claims related to explo | osion, collapse, and underground haza | ards? | | | |
| B. | Oth | er Ins | urance Coverage | | | Yes | No | N/A |
| | 1. | | cate whether the Contra cate the coverage limits | actor has the following insurance cover for each. | erages and, if so, | | | |
| | | a | Professional liability in Coverage limits: | nsurance | | | | |
| | | b | Pollution liability insu Coverage limits: | rance | | | | |
| | | С | _ | e liability risks associated with the ope | eration of a vessel | | | |

| | Coverage limits: | | |
|---|---|------------------------------------|--|
| d | Insurance for the use or operation of manned or u | ınmanned aircraft | |
| | Coverage limits: | | |
| е | Property insurance | | |
| | Coverage limits: | | |
| f | Railroad protective liability insurance | | |
| | Coverage limits: | | |
| g | Asbestos abatement liability insurance | | |
| | Coverage limits: | | |
| h | Insurance for physical damage to property while | it is in storage and in transit to | |
| | the construction site | | |
| | Coverage limits: | | |
| i | Other: | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | (4 | uthorized Representative) | |
| | (A | umorizea Kepresenianve) | |
| | | And a Classical | |
| | (L | Pate of Issue) | |
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ASSIGNMENT OF ANTITRUST CLAIMS

(To be executed by Suppliers, Subcontractors, and Sub-Subcontractors of Contractors)

BONDS, CERTIFICATES, AND NOTICES Section 00661

This Form Must Be Submitted Within 10 Days of Bid Award

1

| | | | Within 10 L | Days of Bid Award |
|-----------|--|-------------------------|---------------------|-----------------------|
| Project: | CITY OF LAS VEGAS STREET AND DRAINAGE IMPRO HOT SPRINGS BOULEVARD FR MILLS AVENUE TO BERNALILI CDBG #21-C-NR-I-01-G-03 | OM LO STREET | | 181712010/181711 |
| | dured for overcharges resulting fro | | | |
| purchase | ed in connection with the above-refe | erenced project are he | reby assigned to th | ne Owner, but only to |
| the exter | nt that such overcharges are passed | on to the Owner. | | |
| It is | agreed that the undersigned retain | s all rights to any suc | ch antitrust claims | to the extent of any |
| overcha | rges not passed on to the Owner, in | cluding the right to ar | ny treble damages | attributable thereto. |
| | | | | |
| | | | | |
| | | Firm: | | |
| | Individual Empowered to Obligate Supplier, stor, or Sub-Subcontractor | By: | | |
| | | Title: | | |
| | | Date: | | |
| | | | | |

CERTIFICATE OF OWNER'S ATTORNEY

BONDS, CERTIFICATES, AND NOTICES Section 00670

| I, the undersigne | d, | , the d | uly authorized and acting |
|---|--|--|---|
| legal representati | ive of the (municipality/county) of _ | | |
| do hereby certify | as follows: | | |
| am of the opinion thereto acting thr and authority to of the foregoing agr | the attached contract(s) and surety in that each of the aforesaid agreeme rough their duly authorized represent execute said agreements on behalf or reements constitute valid and legally acce with terms, conditions and provide | ents has been duly statives; that said of the respective p y binding obligati | representatives have full power parties named thereon; and that |
| Name: | | | |
| Address: | | | |
| Date: | | Telephone No. | - |

TABLE A SUBCONTRACTS BREAKDOWN

| For the Period Covering | , 20 through | , 20 |
|-------------------------|---|------|
| <u> </u> | [Duration of the CDBG-Assisted Project] | |

| | | | 0.11 | 0.15 | |
|---|-----------------------------|-----------------------------|--|---|--|
| Column 1 Type of Contract | Column 2 Total Number of | Column 3 Total Approximate | Column 4 Estimated Number of Contracts to | Column 5 Estimated Dollar (\$) Amount to | |
| Type of Contract | Contracts | Dollar (\$) Amount | Project Area Businesses* | Project Area Businesses* | |
| | | | | | |
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| | | | | | |
| * The Project Area is coextensive with the Municipality/County of's boundaries. | | | | | |
| | | | | | |
| Company | | | | | |
| Project Name | Project Name Project Number | | | | |
| | | | | | |

Rev 11-19 4A-67

Date

EEO Officer (Signature)

TABLE B ESTIMATED PROJECT WORKFORCE BREAKDOWN

| Column 1 | Column 2 | Column 3 | Column 4 | Column 5 |
|------------------------------------|------------------------------|--|---|--|
| Job Category | Total Estimated Positions | Number Positions Currently Occupied by Permanent Employees | Number Positions Not Currently Occupied | Number Positions to be filled with LIPAR* |
| Officers/Supervisors | | | | |
| Professionals | | | | |
| Technicians | | | | |
| Housing Sales/Rental Management | | | | |
| Office Clerical | | | | |
| Service Workers | | | | |
| Others | | | | |
| TRADE: | | | | |
| Journeymen | | | | |
| Helpers | | | | |
| Apprentices | | | | |
| Maximum Number of Trainees | | | | |
| Others | | | | |
| TRADE: | | | | |
| Journeymen | | | | |
| Helpers | | | | |
| Apprentices | | | | |
| Maximum Number of Trainees | | | | |
| Others | | | | |

| *Lower Income Project Are | ea Residents. Individuals residing within the Municipality/County of |
|---------------------------|--|
| | whose family income does not exceed 80% of the median income of the |
| State. | |
| | |
| | Company |

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GENERAL CONDITIONS OF THE CONTRACT FOR CONSTRUCTION COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

GENERAL CONDITIONS OF THE CONTRACT Section 00700

TABLE OF CONTENTS

- 1. Contract and Related Contract Documents
- 2. Definitions
- 3. Additional Instructions and Detail Drawings
- 4. Shop/Setting Drawings
- 5. Materials/Services/Facilities
- 6. Contractor's Title to Materials
- 7. Inspection/Testing of Materials
- 8. "Or Equal" Clause
- 9. Patents
- 10. Survey/Permits/Regulations
- 11. Contractor's Obligations
- 12. Weather Conditions
- 13. Protection of Work and Property, Emergency
- 14. Inspection
- 15. Reports/Records/Data
- 16. Superintendence by Contractor
- 17. Changes in Work
- 18. Extras
- 19. Time for Completion and Liquidated Damages
- 20. Correction of Work
- 21. Subsurface Conditions Found Different
- 22. Claims for Extra Cost
- 23. Right of Owner to Terminate
- 24. Construction Schedule/Periodic Estimate
- 25. Payments to Contractor

1. Contract and Contract Documents

- 1.1 The project to be constructed pursuant to this contract will be financed with the assistance of the New Mexico Small Cities Community Development Block Grant Program and is subject to all applicable federal and state laws and regulations. State administered Community Development Block Grant monies are federal funds. Section □13-1-30B NMSA 1978 of the Procurement Code stipulates: "When a procurement involves the expenditure of federal funds, the procurement shall be conducted in accordance with mandatory applicable federal law and regulations. When mandatory applicable federal law or regulations are inconsistent with the provisions of the Procurement Code, compliance with federal law or regulations shall be compliance with the Procurement Code."
- 1.2 The applicable governing federal procurement standards are defined in OMB Circular A-102, Attachment O. When federal and state procurement policies are different, the more restrictive policies

- 26. Acceptance of Final Payment as Release
- 27. Payments by Contractor
- 28. Insurance
- 29. Contract Security
- 30. Additional/Substitute Bond
- 31. Assignments
- 32. Mutual Responsibility of Contractors
- 33. Separate Contracts
- 34. Subcontracting
- 35. Architect/Engineer Authority
- 36. Stated Allowances
- 37. Use of Premises/Removal of Debris
- 38. Quantities of Estimate
- 39. Lands and Rights of Way
- 40. General Guaranty
- 41. Conflicting Conditions
- 42. Notice of Service Thereof
- 43. Required Provisions
- 44. Protection of Lives/Health
- 45. Subcontracts
- 46. Interest of Member of or Delegate to Congress
- 47. Other Prohibited Interests
- 48. Use and Occupancy Prior to Acceptance

apply so long as they are consistent with Circular A-102 standards.

1.3 The plans, specifications and addenda, hereinafter enumerated in Paragraph 1 of the Supplemental General Conditions shall form part of this Contract and the provisions thereof shall be as binding upon the parties hereto as if they were herein fully set forth. The table of contents, titles, headings, running headlines and marginal notes contained herein and in said documents are solely to facilitate reference to various provisions of the Contract Documents and in no way affect, limit or cast light on the interpretation of the provisions to which they refer.

2. Definitions

The following terms as used in this contract are respectively defined as follows:

2.1 *Contractor* is a person, firm or corporation with whom the contract is made by the Owner.

- 2.2 Subcontractor is a person, firm or corporation supplying labor and materials or only labor for work at the site of the project for, and under separate contract or agreement with, the Contractor.
- 2.3 Work on (at) the project is work to be performed at the location of the project, including the transportation of materials and supplies to or from the location of the project by employees of the Contractor and any Subcontractor.

3. Additional Instructions and Detail Drawings

The Contractor will be furnished additional instructions and detail drawings as necessary to carry out the work included in the contract. The additional drawings and instructions thus supplied to the Contractor will coordinate with the Contract Documents and will be so prepared that they can be reasonably interpreted as part thereof. The Contractor shall carry out the work in accordance with the additional detail drawings and instructions. The Contractor and the Architect/Engineer will prepare jointly (a) a schedule, fixing the dates at which special detail drawings will be required, such drawings, if any, to be furnished by the Architect/ Engineer in accordance with said schedule, and (b) a schedule fixing the respective dates for the submission of shop drawings, the beginning of manufacture, testing and installation of materials, supplies and equipment, and the completion of the various parts of the work; each such schedule to be subject to change from time to time in accordance with progress of the work.

4. Shop or Setting Drawings

The Contractor shall submit promptly to the Architect/Engineer two copies of each shop or setting drawing prepared in accordance with the schedule predetermined as aforesaid. After examination of such drawings by the Architect/Engineer and the return thereof, the Contractor shall make such corrections to the drawings as have been indicated and shall furnish the Architect/ Engineer with two corrected copies. If requested by the Architect/Engineer the Contractor must furnish additional copies, Regardless of corrections made in or approval given to such drawings by the Architect/Engineer, the Contractor will never the less be responsible for the accuracy of such drawings and for their conformity to the Plans and Specifications, unless he notifies the Architect/Engineer in writing of any deviations at the time he furnishes such drawings.

5. Materials, Services, and Facilities

- 5.1 It is understood that except as otherwise specifically stated in the Contract Documents, the Contractor shall provide and pay for all materials, labor, tools, equipment, water, light, power, transportation, superintendence, temporary construction of every nature, and all other services and facilities of every nature whatsoever necessary to execute, complete, and deliver the work within the specified time.
- 5.2 Any work necessary to be performed after regular working hours, on Sundays or legal holidays, shall be performed without additional expense to the Owner.

6. Contractor's Title to Materials

6.1 No materials or supplies for the work shall be purchased by the Contractor or by any Subcontractor subject to any chattel mortgage or under a conditional sale contract or other agreement by which an interest is retained by the seller. The Contractor warrants that he has good title to all materials and supplies used by him in the work, free from all liens, claims or encumbrances.

7. Inspection and Testing of Materials

- 7.1 All materials and equipment used in the construction of the project shall be subject to adequate inspection and testing in accordance with accepted standards. The laboratory or inspection agency shall be selected by the Owner. The Owner will pay for all laboratory inspection service direct, and not as a part of the contract.
- 7.2 Materials of construction, particularly those upon which the strength and durability of the structure may depend, shall be subject to inspection and testing to establish conformance with specifications and suitability for uses intended.

8. "Or Equal" Clause

8.1 Whenever a material, article or piece of equipment is identified on the plans or in the specifications by reference to manufacturers' or vendors' names, trade names, catalogue numbers, etc., it is intended merely to establish a standard; and, any material, article, or equipment or other manufacturers and vendors which will perform adequately the duties

imposed by the general design will be considered equally acceptable provided the material, article, or equipment so proposed, is, in the opinion of the Architect/Engineer, of equal substance and function. It shall not be purchased or installed by the Contractor without the Architect/Engineer's written approval.

9. Patents

- 9.1 The Contractor shall hold and save the Owner and its officers, agents, servants, and employees harmless from liability of any nature or kind, including cost and expenses for, or on account of, any patented or unpatented invention, process, article, or appliance manufactured or used in the performance of the contract, including its use by the Owner, unless otherwise specifically stipulated in the Contract Documents.
- 9.2 License or Royalty Fees. License and/or Royalty Fees for the use of a process which is authorized by the Owner of the project must be reasonable, and paid to the holder of the patent, or his authorized licensee, direct by the Owner and not be or through the Contractor.
- If the Contractor uses any design, device or materials covered by letters, patent or copyright, he shall provide for such use by suitable agreement with the Owner of such patented or copyrighted design, device or material. It is mutually agreed and understood, that, without exception, the contract prices shall include all royalties or costs arising from the use of such design, device or materials, in any way involved in the work. The Contractor and/or his Sureties shall indemnify and save harmless the Owner of the project from any and all claims for infringement by reason of the use of such patented or copyrighted design, device or materials, or any trademark or copyright in connection with work agreed to be performed under this contract, and shall indemnify the Owner for any cost, expense or damage which it may be obliged to pay by reason of such infringement at any time during the prosecution of the work or after, completion of the work.

10. Surveys, Permits, and Regulations

- 10.1 Unless otherwise expressly provided for in the Specifications, the Owner will furnish to the Contractor all surveys necessary for the execution of the work.
- 10.2 The Contractor shall procure and pay all

permits, licenses and approvals necessary for the execution of his contract.

10.3 The Contractor shall comply with all laws, ordinances, rules, orders, and regulations relating to performance of the work, the protection of adjacent property, and the maintenance of passageways, guard fences or other protective facilities.

11. Contractor's Obligations

- 11.1 The Contractor shall and will, in good workmanlike manner, do and perform all work and furnish all supplies and materials, machinery, equipment, facilities and means, except as herein otherwise expressly specified, necessary or proper to perform and complete all the work required by this contract, within the time herein specified. The Contractor will perform the Work in accordance with the provisions of this contract and said specifications and in accordance with the plans and drawings covered by this contract any and all supplemental plans and drawings, and in accordance with the directions of the Architect/Engineer as given from time to time during the progress of the work. He shall furnish, erect, maintain, and remove such construction plant and such temporary works as may be required.
- 11.2 The Contractor shall observe, comply with, and be subject to all terms, conditions, requirements, and limitations of the contract and specifications, and shall do, carry on, and complete the entire work to the satisfaction of the Architect/Engineer and the Owner.

12. Weather Conditions

12.1 In the event of temporary suspension of work, or during inclement weather, or whenever the Architect/Engineer shall direct, the Contractor will, and will cause his subcontractors to protect carefully his and their work and materials against damage or injury from the weather. If, in the opinion of the Architect/Engineer, any work or materials shall have been damaged or injured by reason of failure on the part of the Contractor or any of his Subcontractors so to protect his work, such materials shall be removed and replaced at the expense of the Contractor.

13. Protection of Work and Property-Emergency

13.1 The Contractor shall at all times safely guard the Owner's property from injury or loss in connection with this contract. He shall at all times safely guard and protect his own work, and that of adjacent

property from damage. The Contractor shall replace or make good any such damage, loss or injury unless such is caused directly by errors contained in the contract or by the Owner, or his duly authorized representatives.

- 13.2 In case of an emergency which threatens loss or injury of property, and/or safety of life, the Contractor will be allowed to act, without previous instructions from the Architect/Engineer, in a diligent manner. He shall notify the Architect/Engineer immediately thereafter. Any claim for compensation by the Contractor due to such extra work shall be promptly submitted to the Architect/Engineer for approval.
- 13.3 Where the Contractor has not taken action but has notified the Architect/Engineer of an emergency threatening injury to persons to damage to the work or any adjoining property, he shall act as instructed or authorized by the Architect/Engineer.
- 13.4 The amount of reimbursement claimed by the Contractor on account of any emergency action shall be determined in the manner provided in Paragraph 17 of the General Conditions.

14. Inspection

14.1 The authorized representatives and agents of the Department of Housing and Urban Development shall be permitted to inspect all work, materials, payrolls, records of personnel, invoices of materials, and other relevant data and records.

15. Reports, Records, and Data

15.1 The Contractor shall submit to the Owner such schedule of quantities and costs, progress schedules, payrolls, reports, estimates, records and other data as the Owner may request concerning work performed or to be performed under this contract.

16. Superintendence by Contractor

16.1 At the site of the work the Contractor shall employ a construction superintendent or foreman who shall have full authority to act for the Contractor. It is understood that such representative shall be acceptable to the Architect/ Engineer and shall be one who can be continued in that capacity for the particular job involved unless he ceases to be on the Contractor's payroll.

17. Changes in Work

- 17.1 No changes in the work covered by the approved Contract Documents shall be made without having prior written approval of the Owner and funding agency. Charges or credits for the work covered by the approved change shall be determined by one or more, or a combination of the following methods:
 - A. Unit bid prices previously approved.
 - B. An agreed lump sum.
 - C. The actual cost of:
 - 1. Labor, including foremen;
- 2. Materials entering permanently into the work:
- 3. The ownership or rental cost of construction plant and equipment during the time of use on the extra work:
- 4. Power and consumable supplies for the operation of power equipment;
 - 5. Insurance;
- 6. Social Security and old age and unemployment contributions.
- 17.2 To the costs under 17.1 there shall be added a fixed fee to be agreed upon but not to exceed fifteen percent (15%) of the actual cost of the work. The fee shall be compensation to cover the cost of supervision, overhead, bond, profit and any other general expenses.
- 17.3 The Contractor must submit in writing any request for any modifications to the plans and specifications. Shop drawings that are submitted to the Architect/Engineer for review do not constitute "in writing" unless it is brought to the attention of the Architect/Engineer that specific changes are being proposed. In any event, the responsibility for proposing changes to the plans and specifications by means of shop drawings resides with the Contractor and no additional costs resulting from such changes will be paid to the Contractor.
- 17.4 All change orders will include the total added (or deducted) cost to the Owner, including gross receipts tax. The Owner must approve any increase or decrease to the Construction Cost.
- 17.5 All change orders will be approved by the funding agency (Local Government Division, DFA) before taking effect. Any additional project costs (including GRT) approved by the Owner without LGD approval, shall become the sole responsibility of the Owner.
- 17.6 Any party that becomes aware of an expected project cost over-run, will notify the Owner

immediately. The Owner will notify the Funding Agency. If funding is not already in place to cover the entire over-run, the owner and Architect/Engineer will: 1) amend the scope of work to bring the project back within budget, 2) secure additional and timely funding to cover the entire over-run or 3) deny approval of the change order.

18. Extras

18.1 Without invalidating the contract, the Owner may order extra work or make changes by altering, adding to or deducting from the work, the contract sum being adjusted accordingly, and the consent of the Surety being first obtained where necessary or desirable. All the work of the kind bid upon shall be paid for at the price stipulated in the proposal, and no claims for any extra work or materials shall be allowed unless the work is ordered in writing by the Owner or its Architect/Engineer, acting officially for the Owner, and the price is stated in such order.

19. Time for Completion and Liquidated Damages

- 19.1 It is hereby understood and mutually agreed, by and between the Contractor and the Owner, that the date of beginning and the time for completion as specified in the contract of the work to be done hereunder are *essential conditions* of this contract: and it is further mutually understood and agreed that the work embraced in this contract shall be commenced on a date to be specified in the "Notice to Proceed."
- 19.2 The Contractor agrees that said work shall be prosecuted regularly, diligently, and uninterruptedly at such rate of progress as will insure full completion thereof within the time specified. It is expressly understood and agreed, by and between the Contractor and the Owner, that the time for the completion of the work described herein is a reasonable time for the completion of the same, taking into consideration the average climatic range and usual industrial conditions prevailing in this locality.
- 19.3 If the said Contract shall neglect, fail or refuse to complete the work within the time herein specified, or any proper extension thereof granted by the Owner, then the Contractor does hereby agree, as a part consideration for the awarding of this contract, to pay to the Owner the amount specified in the contract, not as a penalty but as liquidated damages for such breach of contract as hereinafter set forth, for each and every calendar day that the contract shall be in default after the time stipulated in the contract for completing the

work.

- 19.4 The said amount is fixed and agreed upon by and between the Contractor and the Owner because of the impracticability and extreme difficulty of fixing and ascertaining the actual damages the Owner would in such event sustain, and said amount is agreed to be the amount of damages which the Owner would sustain and said amount shall be retained from time to time by the Owner from current periodical estimates.
- 19.5 It is further agreed that time is of the essence of each and every portion of this contract and of the specifications wherein a definite and certain length of time is fixed for the performance of any act whatsoever; and where under the contract an additional time is allowed for the completion of any work, the new time limit fixed by such extension shall be of the essence of this contract. Provided, that the Contractor shall not be charged with liquidated damages or any excess cost when the Owner determines that the Contractor is without fault and the Contractor's reasons for the time extension are acceptable to the Owner; Provided, further, that the Contractor shall not be charged with liquidated damages or any excess cost when the delay in completion of the work is due:
- A. To any preference, priority or allocation order duly issued by the Government;
- B. To unforeseeable cause beyond the control and without the fault or negligence of the Contractor, including, but not restricted to, acts of God, or of the public enemy, acts of the Owner, acts of another Contractor in the performance of a contract with the Owner, fires, floods, epidemics, quarantine restrictions, strikes, freight embargoes, and severe weather; and
- C. To any delays of Subcontractors or suppliers occasioned by any of the causes specified in subsections a) and b) of this article:
- 19.6 Provided further, that the Contractor shall, within ten (10) days from the beginning of such delay, unless the Owner shall grant a further period of time prior to the date of final settlement of the contract, notify the Owner, in writing, of the causes of the delay, who shall ascertain the facts and extent of the delay and notify the Contractor within a reasonable time of it decision in the matter.

20. Correction of Work

20.1 All work, all materials, whether incorporated in

the work or not, all processes of manufacture, and all methods of construction shall be at all times and places subject to the inspection of the Architect/ Engineer who shall be the final judge of the quality and suitability of the work, materials, processes of manufacture, and methods of construction for the purposes for which they are used. Should they fail to meet his approval they shall be forthwith reconstructed, made good, replaced and/or corrected, as the case may be, by the Contractor at his own expense. Rejected material shall immediately be removed from the site. If, in the opinion of the Architect/Engineer, it is undesirable to replace any defective or damaged materials or to reconstruct or correct any portion of the work injured or not performed in accordance with the Contract Documents, the compensation to be paid to the Contractor hereunder shall be reduced by such amount as in the judgment of the Architect/Engineer shall be equitable.

21. Subsurface Conditions Found Different

21.1 Should the Contractor encounter sub-surface and/or latent conditions at the site materially differing from those shown on the Plans or indicated in the Specifications, he shall immediately give notice to the Architect/Engineer of such conditions before they are disturbed. The Architect/Engineer will thereupon promptly investigate the conditions, and if he finds that they materially differ from those shown on the Plans or indicated in the Specifications, he will at once make such changes in the Plans and/or Specifications as he may find necessary, any increase or decrease of cost resulting from such changes to be adjusted in the manner provided in Paragraph 17 of the General Conditions.

22. Claims for Extra Cost

22.1 No claim for extra work or cost shall be allowed unless the same was done in pursuance of a written order of the Architect/Engineer approved by the Owner, as aforesaid, and the claim presented with the first estimate after the changed or extra work is done. When work is performed under the terms of subparagraph 17.3 of the General Conditions, the Contractor shall furnish satisfactory bills, payrolls and vouchers covering all items of cost and when requested by the Owner, giver the Owner access to accounts relating thereto.

23. Right of the Owner to Terminate Contract

23.1 In the event that any of the provisions of this

contract are violated by the Contractor, or by any of his subcontractors, the Owner may serve written notice upon the Contractor and the Surety of its intention to terminate the contract, such notices to contain the reasons for such intention to terminate the contract, and unless within ten (10) days after the serving of such notice upon the Contractor, such violation or delay shall cease and satisfactory arrangement of correction be made, the contract shall, upon the expiration of said ten (10) days, cease and terminate. In the event of any such termination, the Owner shall immediately serve notice thereof upon the Surety and the Contractor and the Surety shall have the right to take over and perform the contract; Provided, however, that if the Surety does not commence performance thereof within ten (10) days from the date of the mailing to such Surety of notice of termination, the Owner may take over the work and prosecute the same to completion by contract or by force account for the account and at the expense of the Contractor and the Contractor and his Surety shall be liable to the Owner for any excess cost occasioned the Owner thereby, and in such event the Owner may take possession of and utilize in completing the work, such materials, appliances, and plant as may be on the site of the work and necessary therefor.

24. Construction Schedule and Periodic Estimates

24.1 Immediately after execution and delivery of the contract, and before the first partial payment is made, the Contractor shall deliver to the Owner an estimated construction progress schedule in form satisfactory to the Owner, showing the proposed dates of commencement and completion of each of the various subdivisions of work required under the Contract Documents and the anticipated amount of each monthly payment that will become due the Contractor in accordance with the progress schedule. The Contractor shall also furnish on forms to be supplied by the Owner (a) a detailed estimate giving a complete breakdown of the contract price and (b) periodic itemized estimates of work done for the purpose of making partial payments thereof. The costs employed in making up any of these schedules will be used only for determining the basis of partial payments and will not be considered as fixing a basis for additions to or deductions from the contract price.

25. Payments to Contractor

25.1 Based upon Applications for Payment submitted to the Architect/Engineer by the Contractor and Certificates for Payment issued by the Architect/

Engineer, the Owner shall make progress payments on account of the Contract Sum to the Contractor as provided in the Contract for the period ending the ____ day of the month as follows:

- A. Not later than thirty (30) working days following receipt by the Owner of an undisputed Application for Payment or as stated in Paragraph 3 of the Supplemental General Conditions, one hundred percent (100%) of the portion of the Contract Sum properly allocable to labor, materials, and equipment incorporated in the Work and one hundred percent (100%) of the portion of the Contract Sum properly allocable to materials and equipment suitably stored at the site or some other location agreed upon in writing for the period covered by the Application for Payment, less the aggregate of previous payments made by the Owner; and less such amounts as the Architect/ Engineer shall determine for all incomplete Work and unsettled claims as provided in the Contract Documents.
- B. When making payments, an owner, contractor or subcontractor shall not retain, withhold, hold back or in any other manner not pay amounts owed for work performed. For additional information regarding retainage and the Prompt Payment Act refer to Section 57-28-5 NMSA 1978.
- 25.2 In preparing estimates the material delivered on the site and preparatory work done may be taken into consideration.
- 25.3 All material and work covered by partial payments made shall thereupon become the sole property of the Owner, but this provision shall not be construed as relieving the Contractor from the sole responsibility for the care and protection of materials and work upon which payments have been made or the restoration of any damaged work, or as a waiver of the right of the Owner to require the fulfillment of all of the terms of the contract.
- 25.4 Owner's Right to Withhold Certain Amounts and Make Application Thereof: The Contractor agrees that he will indemnify and save the Owner harmless from all claims growing out of the lawful demands of subcontractors, laborers, workmen, mechanics, material men, and furnisher of machinery and parts thereof, equipment, power tools, and all supplies, including commissary, incurred in the furtherance of the performance of this contract. The Contractor shall, at the Owner's request, furnish satisfactory evidence

that all obligations of the nature hereinabove designated have been paid, discharged, or waived. If the Contractor fails so to do, then the Owner may, after having served written notice on the said Contractor, either pay unpaid bills, of which the Owner has written notice, direct, or withhold from the Contractor's unpaid compensation a sum of money deemed reasonably sufficient to pay any and all such lawful claims until satisfactory evidence is furnished that all liabilities have been fully discharged whereupon payment to the Contractor shall be resumed, in accordance with the terms of this contract, but in no event shall the provisions of this sentence be construed to impose any obligations upon the Owner to either the Contractor or his Surety. In paying any unpaid bills of the Contractor, the Owner shall be deemed the agent of the Contractor, and any payment so made by the Owner shall be considered as a payment made under the contract by the Owner to the Contractor and the Owner shall not be liable to the Contractor for any such payments made in good faith.

26. Acceptance of Final Payment Constitutes Release

26.1 The acceptance by the Contractor of final payment shall be and shall operate as a release to the Owner of all claims and all liability to the Contractor for all things done or furnished in connection with this work and for every act and neglect of the Owner and others relating to or arising out of this work. No payment, however, final or otherwise, shall operate to release the Contractor or his sureties from any obligations under this contract or the Performance and Payment Bond.

27. Payments by Contractor

Contractors and subcontractors shall make prompt payment to their subcontractors and suppliers for amounts owed for work performed on the construction project within seven days after receipt of payment from the owner, contractor or subcontractor. If the contractor or subcontractor fails to pay his subcontractor and suppliers by first-class mail or hand delivery within seven days of receipt of payment, the contractor or subcontractor shall pay interest to his subcontractors and suppliers beginning on the eighth day after payment was due, computed at one and onehalf percent of the undisputed amount per month or fraction of a month until payment is issued. These payment provisions apply to all tiers of contractors, subcontractors and suppliers (Section 57-28-1 et. seq. NMSA 1978).

28. Insurance

- 28.1 The Contractor shall not commence work under this contract until he has obtained all the insurance required under this paragraph and such insurance has been approved by the Owner, nor shall the Contractor allow any subcontractor to commence work on his subcontract until the insurance required of the subcontractor has been so obtained and approved.
- 28.2 Compensation Insurance. The Contractor shall procure and shall maintain during the life of this contract Workmen's Compensation Insurance as required by applicable State or territorial law for all of this employees to be engaged in work at the site of the project under this contract and, in case of any such work sublet, the Contractor shall require the subcontractor similarly to provide Workmen's Compensation Insurance for all of the latter's employees to be engaged in such work unless such employees are covered by the protection afforded by the Contractor's Workmen's Compensation Insurance. In case any class of employees engaged in hazardous work on the project under this contract is not protected under the Workmen's Compensation statute, the Contractor shall provide and shall cause each subcontractor to provide adequate employer's liability insurance for the protection of such of his employees as are not otherwise protected.
- 28.3 Contractor's Public Liability and Property Damage Insurance and Vehicle Liability Insurance. The Contractor shall procure and shall maintain during the life of this contract Contractor's Public Liability Insurance, Contractor's Property Damage Insurance and Vehicle Liability Insurance in the amounts specified in the Supplemental General Conditions.
- 28.4 Subcontractor's Public Liability and Property Damage Insurance and Vehicle Liability Insurance. The Contractor shall either 1) require each of his subcontractors to procure and to maintain during the life of his subcontract, Subcontractor's Public Liability and Property Damage Insurance and Vehicle Liability Insurance of the type and in the amounts specified in the Supplemental General Conditions specified in subparagraph 28.3 hereof or, 2) insure the activities of his policy, specified in subparagraph 28.3 hereof.
- 28.5 Scope of Insurance and Special Hazards. The insurance require under subparagraphs 28.3 and 28.4 hereof shall provide adequate protection for the Contractor and his subcontractors, respectively, against damage claims which may arise from operations under this contract, whether such operations

- be by the insured or by anyone directly or indirectly employed by him and, also against any of the special hazards which may be encountered in the performance of this contract as enumerated in the Supplemental General Conditions.
- 28.6 Builder's Risk Insurance (Fire and Extended Coverage). Until the project is completed and accepted by the Owner, the Owner, or Contractor [at the Owner's option as indicated in the Supplemental General Conditions, Form HUD-4238-N] is required to maintain Builder's Risk Insurance (fire and extended coverage) on a 100 percent completed value basis on the insurable portion of the project for the benefit of the Owner, the Contractor, subcontractors as their interests may appear. The Contractor shall not include any costs for Builder's Risk Insurance (fire and extended coverage) premiums during construction unless the Contractor is required to provide such insurance; however, this provision shall not release the Contractor from his obligation to complete, according to plans and specifications, the project covered by the contract, and the Contractor and his Surety shall be obligated to full performance of the Contractor's undertaking. Certificates of insurance acceptable to the Owner shall be filed with the Owner within ten (10) days after receipt of the Notice of Award. These certificates shall contain a provision that coverage's afforded under the policies will not be cancelled unless a least thirty (30) days prior written notice has been given to the Owner. A copy of the Builder's All-Risk Policy, if required, shall be provided to the Owner before any portion of Work is commenced by the Contractor. The original Owner's Protective Liability Insurance Policy shall be provided to the Owner before any portion of the work is commenced by the Contractor.
- 28.7 Payment of Damages. Nothing contained in these insurance requirements is to be construed as limiting the extent of the Contractor's responsibility for payment of damages resulting from his operation under this contract.
- 28.8 Proof of Carriage of Insurance. The Contractor shall furnish the Owner with certificates showing the type, amount, class of operations covered, effective dates and date of expiration of policies. Such certificates shall also contain substantially the following statement: "The insurance covered by this certificate will not be canceled or materially altered, except after ten (10) days written notice has been received by the Owner."

29. Contract Security

29.1 The Contractor shall furnish a performance bond in an amount at least equal to one hundred percent (100%) of the contract prices as security for the faithful performance of this contract and also a payment bond in an amount not less than one hundred percent (100%) of the contract price or in a penal sum not less than that prescribed by state, territorial or local law, as security for the payment of all persons performing labor on the project under this contract and furnishing materials in connection with this contract. The performance bond and the payment bond may be in one or in separate instruments in accordance with local law.

29.2 A claimant is further defined as set forth in \square Sections 13-4-18 through \square 13-4-20 NMSA 1978. The security is bound by the provisions of \square Sections 13-4-18 through \square 13-4-20 NMSA 1978.

30. Additional or Substitute Bond

30.1 If at any time the Owner for justifiable cause shall be or become dissatisfied with any surety or sureties, then upon the Performance or Payment Bonds, the Contractor shall within five (5) days after notice from the Owner so to do, substitute an acceptable bond (or bonds) in such form and sum and signed by such other surety or sureties as may be satisfactory to the Owner. The premiums on such bond shall be paid by the Contractor. No further payments shall be deemed due nor shall be made until the new surety or sureties shall have furnished such an acceptable bond to the Owner.

31. Assignments

31.1 The Contractor shall not assign the whole or any part of this contract or any moneys due or to become due hereunder without written consent of the Owner. In case the Contractor assigns all or any part of any moneys due or to become due under this contract, the instrument of assignment shall contain a clause substantially to the effect that it is agreed that the right of the assignee in and to any moneys due or to become due to the Contractor shall be subject to prior claims of all persons, firms and corporations of services rendered or materials supplied for the performance of the work called for in this contract.

32. Mutual Responsibility of Contractors

32.1 If, through acts of neglect on the part of the

Contractor, any other Contractor or any subcontractor shall suffer loss or damage on the work, the Contractor agrees to settle with such other Contractor or subcontractor by agreement or arbitration if such other Contractor or subcontractors will so settle. If such other Contractor or subcontractor shall assert any claim against the Owner on account of any damage alleged to have been sustained, the Owner shall notify the Contractor, who shall indemnify and save harmless the Owner against any such claim.

33. Separate Contract

33.1 The Contractor shall coordinate his operations with those of other Contractors. Cooperation will be required in the arrangement for the storage of materials and in the detailed execution of the work. The Contractor, including his subcontractors, shall keep informed of the progress and the detail work of other Contractors and shall notify the Architect/ Engineer immediately of lack of progress or defective workmanship on the part of other Contractors. Failure of a contractor to keep informed of the work progressing on the site and failure to give notice of lack of progress or defective workmanship by others shall be construed as acceptance by him of the status of the work as being satisfactory for proper coordination with his own work.

34. Subcontracting

- 34.1 The Contractor may utilize the services of specialty subcontractors on those parts of the work which, under normal contracting practices, are performed by specialty subcontractors.
- 34.2 The Contractor shall not award any work to any subcontractor without prior written approval of the Owner, which approval will not be given until the Contractor submits to the Owner a written statement concerning the proposed award to the subcontractor, which statement shall contain such information as the Owner may require.
- 34.3 The Contractor shall be as fully responsible to the Owner for the acts and omissions of his subcontractors, and of persons either directly or indirectly employed by them, as he is for the acts and omissions of persons directly employed by him.
- 34.4 The Contractor shall cause appropriate provisions to be inserted in all subcontracts relative to the work to bind subcontractors to the Contractor by the terms of the General Conditions and other contract

documents insofar as applicable to the work of subcontractors and to give the Contractor the same power as regards terminating any subcontract that the Owner may exercise over the Contractor under any provision of the contract documents.

34.5 Nothing contained in this contract shall create any contractual relation between any subcontractor and the Owner.

35. Architect/Engineer's Authority

- 35.1 The Architect/Engineer shall give all orders and directions contemplated under this contract and specifications, relative to the execution of the work. The Architect/Engineer shall determine the amount, quality, acceptability, and fitness of the several kinds of work and materials which are to be paid for under this contract and shall decide all questions which may arise in relation to said work and the construction thereof. The Architect/Engineer's estimates and decisions shall be final and conclusive, except as herein otherwise expressly provided. In case any question shall arise between the parties hereto relative to said contract or specifications, the determination or decision of the Architect/Engineer shall be a condition precedent to the right of the Contractor to receive any money or payment for work under this contract affected in any manner or to any extent by such question.
- 35.2 The Architect/Engineer shall decide the meaning and intent of any portion of the specifications and of any plans or drawings where the same may be found obscure or be in dispute. any differences or conflicts in regard to their work which may arise between the Contractor under this contract and other Contractors performing work for the Owner shall be adjusted and determined by the Architect/Engineer.

36. Stated Allowances

36.1 The Contractor shall include in his proposal the cash allowances stated in the Supplemental General Conditions. The Contractor shall purchase the "Allowed Materials" as directed by the Owner on the basis of the lowest and best bid of at least three competitive bids. If the actual price for purchasing the "Allowed Materials" is more or less than the "Cash Allowance," the contract price shall be adjusted accordingly. The adjustment in contract price shall be made on the basis of the purchase price without additional charges for overhead, profit, insurance or any other incidental expenses. The cost of installation

of the "Allowed Materials" shall be included in the applicable sections of the Contract Specifications covering this work.

37. Use of Premises and Removal of Debris

- 37.1 The Contractor expressly undertakes at his own expense:
- A. to take every precaution against injuries to persons or damage to property;
- B. to store his apparatus, materials, supplies and equipment in such orderly fashion at the site of the work as will not unduly interfere with the progress of his work or the work of any other contractors;
- C. to place upon the work or any part thereof only such loads as are consistent with the safety of the portion of the work;
- D. to clean up frequently all refuse, rubbish, scrap materials, and debris caused by his operations, to the end that at all times the site of the work shall present a neat, orderly and workmanlike appearance;
- E. before final payment to remove all surplus material, false-work, temporary structures, including foundations thereof, plant of any description and debris of every nature resulting from his operations, and to put the site in a neat, orderly condition.
- F. to effect all cutting, fitting or patching of his work required to make the same to conform to the plans and specifications and, except with the consent of the Architect/Engineer, not to cut or otherwise alter the work of any other Contractor.

38. Quantities of Estimate

38.1 Wherever the estimated quantities of work to be done and materials to be furnished under this contract are shown in any of the documents including the proposal, they are given for use in comparing bids and the right is especially reserved except as herein otherwise specifically limited, to increase or diminish them as may be deemed reasonably necessary or desirable by the Owner to complete the work contemplated by this contract, and such increase or diminution shall in no way vitiate this contract, nor shall any such increase or diminution give cause for claims or liability for damages.

39. Lands and Rights-of-Way

39.1 Prior to the start of construction, the Owner shall obtain all lands and rights-of-way necessary for the carrying out and completions of work to be performed under this contract.

40. General Guaranty

40.1 Neither the final certificate of payment nor any provision in the Contract Documents, nor partial or entire occupancy of the premises by the Owner, shall constitute an acceptance of work not done in accordance with the Contract Documents or relieve the Contractor of liability in respect to any express warranties or responsibility for faulty materials or workmanship. The Contractor shall remedy any defects in the work and pay for any damage to other work resulting therefrom, which shall appear within a period of one year from the date of final acceptance of the work unless a longer period is specified. The Owner will give notice of observed defects with reasonable promptness.

41. Conflicting Conditions

41.1 Any provisions in any of the Contract Documents which may be in conflict or inconsistent with any of the paragraphs in these General Conditions shall be void to the extent of such conflict or inconsistency.

42. Notice and Service Thereof

42.1 Any notice to any Contractor from the Owner relative to any part of this contract shall be in writing and considered delivered and the service thereof completed, when said notice is posted, by certified or registered mail, to the said Contractor at his last given address, or delivered in person to the said Contractor or his authorized representative on the work.

43. Provision Required by Law Deemed Inserted

43.1 Each and every provision of law and clause required by law to be inserted in this contract shall be deemed to be inserted herein and the contract shall be read and enforced as though it were included herein, and if through mistake or otherwise any such provision is not inserted, or is not correctly inserted, then upon the application of either party the contract shall forthwith be physically amended to make such insertion or correction.

44. Protection of Lives and Health

44.1 "The Contractor shall exercise proper precaution at all times for the protection of persons and property and shall be responsible for all damages to persons or property, either on or off the site, which occur as a

result of his prosecution of the work. The safety provisions of applicable laws and building and construction codes, in addition to specific safety and health regulations described by Chapter XIII, Bureau of Labor Standards, Department of Labor, Part 1518, Safety and Health Regulations for Construction; as outlined in the Federal Register, Volume 36, No. 75, Saturday, April 17, 1971. Title 29 - LABOR, shall be observed and the Contractor shall take or cause to be taken, such additional safety and health measures as the Contracting Authority may determine to be reasonably necessary."

45. Subcontracts

45.1 It is the contractor's responsibility to provide the owner an updated listing of subcontractors or any further subcontracts (Table A) within 10 days of the award.

46. Interest of Member of or Delegate to Congress

46.1 No member of or Delegate to Congress, or Resident Commissioner, shall be admitted to any share or part of this contract or to any benefit that may arise therefrom, but this provision shall not be construed to extend to this contract if made with a corporation for its general benefit.

47. Other Prohibited Interests

47.1 No official of the Owner who is authorized in such capacity and on behalf of the Owner to negotiate, make, accept or approve, or to take part in negotiating, making accepting or approving any architectural, engineering, inspection, construction or material supply contract or any subcontract in connection with the construction of the project, shall become directly or indirectly interested personally in this contract or in any part hereof. No officer, employee, architect, attorney, engineer or inspector of or for the Owner who is authorized in such capacity and on behalf of the Owner to exercise any legislative, executive, supervisory or other similar functions in connection with the construction of the project, shall become directly or indirectly interested personally in this contract or in any part thereof, any material supply contract, subcontract, insurance contract, or any other contract pertaining to the project.

48. Use and Occupancy Prior to Acceptance by Owner

48.1 The Contractor agrees to the use and occupancy of a portion or unit of the project before formal

acceptance by the Owner, provided the Owner:

- A. Secures written consent of the Contractor except in the event, in the opinion of the Architect/ Engineer, the Contractor is chargeable with unwarranted delay in final cleanup of punch list items or other contract requirements.
- B. Secures endorsement from the insurance carrier and consent of the surety permitting occupancy of the building or use of the project during the remaining period of construction, or,
- C. When the project consists of more than one building, and one of the buildings is occupied, secures permanent fire and extended coverage insurance, including a permit to complete construction. Consent of the surety must also be obtained.

MODIFICATIONS TO GENERAL CONDITIONS



*If the Architect/Engineer must make changes to the General Conditions of this contract they must be included here.

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SUPPLEMENTAL GENERAL CONDITIONS

SUPPLEMENTARY CONDITIONS Section 00820

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| 1. ENUMERATION OF PLANS, SPECIFICATIONS AND ADDENDA | | Page to, inclusive | |
| | | ADDENDA | |
| Following are the | Plans, Specifications and Addenda | | |
| | t of this contract, as set forth in | No. Date No. Date | |
| | General Conditions, "Contract and | No. Date No. Date No. Date No. Date | |
| Contract Document | | No Date No Date | |
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| DRAWINGS | | 2. STATED ALLOWANCES | |
| General Construction | on: Nos | A. Pursuant to paragraph 36 of the General | |
| | | Conditions, the Contractor shall include the following | |
| Heating and Ventilating: Nos. | | cash allowances in the Bid: | |
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| Plumbing: | Nos | Trici (pugo or specimentions) v | |
| ramonig. | 1105. | 2. For (page of Specifications) \$ | |
| Electrical: | Nos | 2. 1 of (page of Specifications) \$ | |
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| SPECIFICATIONS General Construction: Page to, inclusive | | | |
| | | 6. For (page of Specifications) \$ | |
| | | | |
| | | 3. NOTICE OF EXTENDED PAYMENT | |
| Heating and Ventila | ating Page to, inclusive | PROVISION | |
| Plumbing: Page to, inclusive | | This contract allows the Owner to make payment within | |
| | | (not to exceed 45 days) days after submission of | |
| | | an undisputed request for payment (Section 57-28-5 B | |
| Electrical Page _ | to, inclusive | (2) NMSA 1978). | |
| | | | |
| Page _ | to, inclusive | | |

4. CONTRACTOR'S AND SUBCONTRACTOR'S PUBLIC LIABILITY, VEHICLE LIABILITY, AND PROPERTY DAMAGE INSURANCE

As required under paragraph 28 of the General Conditions, the policy shall be written for not less than the following or greater if required by law:

4.1 Worker's Compensation (including accident and occupational disease coverage):

a. State Statutory \$ 100,000 each accident b. Employer's Liability \$ 500,000 disease-policy limit \$ 100,000 disease-each employee

4.2 Comprehensive General Liability (including Premises Operations: Independent Contractor's Protective; Products and Completed Operations; Broad Form Property Damage):

a. Bodily Injury \$ 500,000 per person

\$1,000,000 each occurrence

\$ 500,000 each occurrence b. Property Damage

\$ 500,000 annual aggregate

c. Property Damage Liability Insurance shall provide X, C or coverage as applicable.

4.3 Comprehensive Automobile Liability:

a. Bodily Injury \$ 500,000 per person \$1,000,000 each occurrence b. Property Damage \$ 500,000 each occurrence \$ 500,000 annual aggregate

- 4.4 Umbrella Excess Liability: \$1,000,000 over primary insurance
- 4.5 The Contractor shall either: (1) require each of his subcontractors to procure and to maintain during the life of his subcontract, Subcontractor's Public Liability and Property Damage of the type and in the same amounts as specified in the preceding paragraph, or (2) insure the activities of his subcontractors in his own policy.

5. PHOTOGRAPHS OF PROJECT

As required by the Funding Agency, the Contractor will furnish photographs before construction, during construction and upon completion of the project.

6. SCHEDULE OF OCCUPATIONAL

CLASSIFICATIONS AND MINIMUM HOURLY WAGE RATES.

Given on pages through . Note: Applicable federal and state regulations require that the higher of the federal or the state wage rate for each classification must be paid. See Section 9 of the Additional Conditions.

BUILDER'S RISK INSURANCE

7.1 As provided in the General Conditions, Paragraph 28, the Contractor □ will ⊠will not* maintain Builder's Risk Insurance (fire and extended coverage) on a 100 percent completed value basis on the insurable portions of the project for the benefit of the Owner, the Contractor, and all subcontractors, as their interests may appear.

(* Check one - to be filled in by Architect/Engineer)

8. SPECIAL EQUAL OPPORTUNITY **PROVISIONS - Executive Order 11246**

A. Section 202 Equal Opportunity Clause

During the performance of this contract, the Contractor agrees as follows:

- 1. The Contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin. The Contractor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, or national origin. Such action shall include, but not be limited to, the following: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The Contractor agree to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.
- 2. The Contractor will, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive consideration without regard to race, color, religion, sex, or national origin.
 - 3. The Contractor will send to each labor union

or representative of workers with which he has a collective bargaining agreement or other contract or understanding a notice to be provided by the Contract Compliance Officer advising the said labor union or workers' representatives of the Contractor's commitment under this section, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.

- 4. The Contractor will comply with all provisions of Executive Order 11246 of September 24, 1965, and the rules, regulations, and relevant orders of the Secretary of Labor.
- 5. The Contractor will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by rules regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his books, records, and accounts by the Department and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and others.
- 6. In the event of the Contractor's noncompliance with the nondiscrimination clauses of this contract or with any of the said rules, regulations, or orders, this contract may be canceled, terminated, or suspended in whole or in part and the Contractor may be declared ineligible for further Government contracts in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.
- 7. The Contractor will include the provisions of the sentence immediately preceding paragraph 1. and the provisions of paragraphs 1 through 7 in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to section 204 of Executive Order 11246 of September 24, 1965, so that such provisions will be binding upon each subcontractor or vendor. The Contractor will take such action with respect to any subcontract or purchase order as the department may direct as a means of enforcing such provisions, including sanctions for noncompliance. Provided, however, that in the event a Contractor becomes involved in. or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Department, the Contractor may request the United States to enter into such litigation to protect the interest of the United States.

B. Notice of Requirement for Affirmative Action to Ensure Equal Employment Opportunity (Executive Order 11246).

- 1. The Offeror's or Bidder's attention is called to the "Equal Opportunity Clause" and the "Standard Federal Equal Employment Opportunity Construction Contract Specifications" set forth herein.
- 2. The goals and timetables for minority and female participation, expressed in percentage terms for the Contractor's aggregate work force in each trade on all construction work in the covered area, are as follows:

| Goals for Minority | | | Goals for |
|---|-------|----------------|-----------|
| Female | | | |
| Participation: * % listed by County below | | Participation: | |
| 6.9% | | | - |
| Bernalillo | 38.3% | Catron | 46.9% |
| Sandoval | " | Colfax | " |
| De Baca | " | | |
| Chaves | 49.0% | Guadalupe | " |
| Dona Ana | " | Lincoln | " |
| Eddy | " | Los Alamos | " |
| Grant | " | McKinley | " |
| Hidalgo | " | Mora | " |
| Luna | " | Rio Arriba | " |
| Otero | " | San Juan | " |
| Sierra | " | San Miguel | " |
| Santa Fe | " | | |
| Lea | 31.0% | Socorro | " |
| Roosevelt | " | Taos | " |
| Torrance | " | | |
| Curry | 11.0% | Valencia | " |
| Harding | " | | |
| Quay | " | | |
| Union | " | | |

These goals are applicable to all the Contractor's construction work (whether or not it is federal or federally assisted) performed in the covered area. If the Contractor performs construction work in a geographic area located outside of the covered area, it shall apply the goals established for such geographic area where the work is actually performed. With regard to this second area, the Contractor also is subject to the goals for both its federally involved and non-Federally involved construction.

The Contractor's compliance with the Executive Order and the regulations in 41 CFR Part 60-4 shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth

- in 41 CFR 60-4.3(a), and its efforts to meet the goals established for the geographic area where the contract resulting from this solicitation is to be performed. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade, and the Contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor or from project to project for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, the Executive Order, and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hours performed.
- 3. The Contractor shall provide written notification to the Director of the Office of Federal Contract Compliance Programs within 10 working days of award of any construction subcontract in excess of \$10,000 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the name, address, and telephone number of the subcontractor; employer identification number; estimated dollar amount of the subcontract; estimated starting and completion dates of the subcontract; and the geographical area in which the contract is to be performed.
- 4. As used in this Notice, and in the contract resulting from this solicitation, the "covered area" is (insert description of the geographical areas where the contract is to be performed, giving the State, County, and Municipality, if any).

C. Standard Federal Equal Employment Opportunity Construction Contract Specifications (Executive Order 11246)

- 1. As used in these specifications:
- a. *covered area* means the geographic area described in the solicitation from which this contract resulted;
- b. *Director* means Director, Office of Federal Contract Compliance Programs, United States Department of Labor, or any person to whom the Director delegates authority;
- c. *Employer identification number* means the Federal Social Security number used on the Employer's Quarterly Federal Tax Return, U.S. Treasury Department Form 941.

d. Minority includes:

- (1) Black (all persons having origins in any of the Black African racial groups not of Hispanic origin);
- (2) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish culture or origin, regardless of race);
- (3) Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian subcontinent, or the Pacific Islands); and
- (4) American Indian or Alaskan Native (all persons having origins in any of the original peoples of North America and maintaining identifiable tribal affiliations through membership and participation or community identification).
- 2. When the Contractor, or any Subcontractor at any tier, subcontracts a portion of the Work involving any construction trade, is shall physically include in each subcontract in excess of \$10,000 the provisions of these specifications and the Notice which contains the applicable goals for minority and female participation and which is set forth in the solicitations from which this contract resulted.
- 3. If the Contractor is participating (pursuant to 41 CFR 60-4.5) in a Hometown Plan approved by the U.S. Department of Labor in the covered area either individually or through an association, its affirmative action obligations on all work in the Plan area (including goals and timetables) shall be in accordance with that Plan for those trades which have unions participating in the Plan. Contractors must be able to demonstrate their participation in and compliance with the provisions of any such Hometown Plan. Each Contractor or Subcontractor participating in an approved Plan is individually required to comply with its obligations under the EEO clause, and to make a good faith effort to achieve each goal under the Plan in each trade in which it has employees. The overall good faith performance by other Contractors or Subcontractors toward a goal in an approved Plan does not excuse any covered Contractor's or Subcontractor's failure to take good faith efforts to achieve the Plan goals and timetables.
- 4. The Contractor shall implement the specific affirmative action standards provided in paragraphs

7a through 7p of these specifications. The goals set forth in the solicitation from which this contract resulted are expressed as percentages of the total hours of employment and training of minority and female utilization the Contractor should reasonably be able to achieve in each construction trade in which it has employees in the covered area. Covered construction contractors performing contracts in geographical areas where they do not have a Federal or Federally-assisted construction contract shall apply the minority and female goals established for the geographic area where the contract is being performed. Goals are published periodically in the Federal Register in notice form and such notices may be obtained from any Office of Federal Contract Compliance Programs office or from Federal procurement contracting officers. The Contractor is expected to make substantially uniform progress in meeting its goals in each craft during the period specified.

- 5. Neither the provisions of any collective bargaining agreement, nor the failure by a union with whom the contractor has a collective bargaining agreement, to refer either minorities or women shall excuse the Contractor's obligations under these specifications, Executive Order 11246, or the regulations promulgated pursuant thereto.
- 6. In order for the nonworking training hours of apprentices and trainees to be counted in meeting the goals, such apprentices and trainees must be employed by the Contractor during the training period, and the Contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U.S. Department of Labor.
- 7. The Contractor shall take specific affirmative actions to ensure equal employment opportunity. The evaluation of the Contractor's compliance with these specifications shall be based upon its effort to achieve maximum results from its actions. The Contractor shall document these efforts fully, and shall implement affirmative action steps at least as extensive as the following:
- a. Ensure and maintain a working environment free of harassment, intimidation, and coercion at all sites, and in all facilities at which the Contractor's employees are assigned to work. The Contractor, where possible, will assign two or more women to each construction project. The

Contractor shall specifically ensure that all foremen, superintendents, and other on-site supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working at such sites or in such facilities.

- b. Establish and maintain a current list of minority and female recruitment sources, provided written notification to minority and female recruitment sources and to community or its unions have employment opportunities available, and maintain a record of the organizations' responses.
- c. Maintain a current file of the names, addresses, and telephone numbers of each minority and female off-the-street applicant and minority or female referral from a union, a recruitment source, or community organization and what action was taken with respect to each such individual. If such individual was sent to the union hiring hall for referral and was not referred back to the Contractor by the union or, if referred, not employed by the Contractor, this shall be documented in the file with the reason therefor, along with whatever additional actions the Contractor may have taken.
- d. Provide immediate written notification to the Director when the union or unions with which the Contractor has a collective bargaining agreement has not referred to the Contractor a minority person or female sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Contractor's efforts to meet its obligations.
- e. Develop on-the-job training opportunities and/or participate in training programs for the area which expressly include minorities and female, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by the Department of Labor. The Contractor shall provide notice of these programs to the sources compiled under 7b above.
- f. Disseminate the Contractor's EEO policy by providing notice of the policy to unions and training programs and requesting their cooperation in assisting the Contractor in meeting its EEO obligations; by including it in any policy manual and collective bargaining agreement; by publicizing it in the company newspaper, annual report, etc.; by specific review of the policy with all management

personnel and with all minority and female employees at least once a year; and by posting the company EEO policy on bulletin boards accessible to all employees at each location where construction work is performed.

- g. Review, at least annually, the company's EEO policy and affirmative action obligations under these specifications with all employees having any responsibility for hiring, assignment, layoff, termination, or other employment decisions including specific review of these items with on-site supervisory personnel such as Superintendents, General Foremen, etc., prior to the initiation of construction work at any job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.
- h. Disseminate the Contractor's EEO policy externally by including it in any advertising in the news media, specifically including minority and female news media, and providing written notification to and discussing the Contractor's EEO policy with other Contractors and Subcontractors with whom the Contractor does or anticipates doing business.
- i. Direct its recruitment efforts, both oral and written, to minority, female and community organizations, to schools with minority and female students and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than one month prior to the date for acceptance of applications for apprenticeship or other training by any recruitment source, the Contractor shall send written notification to organizations such as the above, describing the openings, screening procedures, and tests to be used in the selection process.
- j. Encourage present minority and female employees to recruit other minority persons and women and, where reasonable, provide after school, summer, and vacation employment to minority and female youth both on the site and in other areas of a Contractor's work force.
- k. Validate all tests and other selection requirements where there is an obligation to do so under 41 CFR Part 60-3.
- 1. Conduct, at least annually, an inventory

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- and evaluation at least of all minority and female personnel for promotional opportunities and encourage these employees to seek or to prepare for, through appropriate training, etc., such opportunities.
- m. Ensure that seniority practices, job classifications, work assignments and other personnel practices, do not have a discriminatory effect by continually monitoring all personnel and employment related activities to ensure that the EEO policy and the Contractor's obligations under these specifications are being carried out.
- n. Ensure that all facilities and company activities are non-segregated except that separate or single-user toilet and necessary changing facilities shall be provided to assure privacy between the sexes.
- o. Document and maintain a record of all solicitations of offers for subcontracts from minority and female construction contractors and suppliers, including circulation of solicitations to minority and female contractor associations and other business associations.
- p. Conduct a review, at least annually, of all supervisors' adherence to and performance under the Contractor's EEO policies and affirmative action obligations.
- 8. Contractors are encouraged to participate in voluntary associations which assist in fulfilling one or more of their affirmative action obligations (7a through 7p). The efforts of a contractor association, joint contractor-union, contractor-community, or other similar group of which the Contractor is a member and participant, may be asserted as fulfilling any one or more of its obligations under 7a through 7p of these specifications provided that the Contractor actively participates in the group, makes every effort to assure that the group has a positive impact on the employment of minorities and females in the industry, ensures that the concrete benefits of the program are reflected in the Contractor's minority and female work force participation, makes a good faith effort to meet its individual goals and timetables, and can provide access to documentation which demonstrates the effectiveness of actions taken on behalf of the Contractor. The obligation shall not be a defense for the Contractor's non-compliance.
 - 9. A single goal for minorities and a separate

single goal for women have been established. The Contractor, however, is required to provide equal employment opportunity and to take affirmative action for all minority groups, both male and female, and all women, both minority and non-minority. Consequently, the Contractor may be in violation of the Executive Order if a particular group is employed in a substantially disparate manner (for example, even though the Contractor has achieved its goals for women generally, the Contractor may be in violation of the Executive Order if a specific minority group of women is underutilized).

- 10. The Contractor shall not use the goals and timetables or affirmative action standards to discriminate against any person because of race, color, religion, sex, or national origin.
- 11. The Contractor shall not enter into any Subcontract with any person or firm debarred from Government contracts pursuant to Executive Order 11246.
- 12. The Contractor shall not carry out such sanctions and penalties for violation of these specifications and of the Equal Opportunity Clause, including suspension, termination and cancellation of existing subcontracts as may be imposed or ordered pursuant to Executive Order 11246, as amended.

D. Civil Rights Act of 1964

Under Title VI of the Civil Rights Act of 1964, no person shall, on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving Federal financial assistance.

E. Section 109 of the Housing and Community Development Act of 1974

No person in the United States shall on the ground of race, color, national origin, religion, or sex be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity funded in whole or in part with funds made available under this title.

F. "Section 3" Compliance in the Provision of Training, Employment and Business Opportunities

- 1. The work to be performed under this contract is on a project assisted under a program providing direct Federal financial assistance from the Department of Housing and Urban Development and is subject to the requirements of Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 170lu. Section 3 requires that to the greatest extent feasible opportunities for training and employment be given lower income residents of the project area and contracts for work in connection with the project be awarded to business concerns which are located in, or owned in substantial part by persons residing in the area of the project.
- 2. The parties to this contract will comply with the provisions of said Section 3 and the regulations issued pursuant thereto by the Secretary of Housing and Urban Development set forth in 24 CFR 134, and all applicable rules and orders of the Department issued thereunder prior to the execution of this contract. The parties to this contract certify and agree that they are under no contractual or other disability which would prevent them from complying with these requirements.
- 3. The Contractor will send to each labor organization or representative of workers with which he has a collective bargaining agreement or other contract or understanding, if any, a notice advising said labor organization or workers' representative of his commitments under this Section 3 clause and shall post copies of the notice in conspicuous places available to employees and applicants for employment or training.
- 4. Compliance with the provisions of Section 3, the regulations set forth in 24 CFR Part 135, and all applicable rules and orders of the Department issued hereunder prior to the execution of the contract, shall be a condition of the Federal financial assistance provided to the project, binding upon the applicant or recipient for such assistance, its successors and assigns. Failure to fulfill these requirements shall subject the applicant or recipient, its contractors or subcontractors, its successors and assigns to those sanctions specified by the grant or loan agreement or contract through which federal assistance is provided, and to such sanctions as are specified by 24 CFR Part 135.

G. Section 504 Handicapped (if \$ 2,500 or over), Affirmative Action for Handicapped Workers

1. The Contractor will not discriminate

against any employee or applicant for employment because of physical or mental handicap in regard to any position for which the employee or applicant is qualified. The Contractor agrees to take affirmative action to employ, advance in employment and otherwise treat qualified handicapped individuals without discrimination based upon their physical or mental handicap in all employment practices such as the following: employment upgrading, demotion or transfer, recruitment, advertising, layoff or termination, rates of pay or other forms of compensation, and selection for training, including apprenticeship.

- 2. The Contractor agrees to comply with the rules, regulations and relevant orders of the Secretary of Labor issued pursuant to this Act.
- 3. In the event of the Contractor's noncompliance with the requirements of this clause, actions for noncompliance may be taken in accordance with the rules, regulations and relevant orders of the Secretary of Labor issued pursuant to this Act.
- 4. The Contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices in a form to be prescribed by the Director, provided by or through the Contracting Officer. Such notices shall state the Contractor's obligation under the law to take affirmative action to employ and advance in employment qualified handicapped employees and applicants for employment, and the rights of applicants and employees.
- 5. The Contractor will notify each labor union or representative of workers with which it has a collective bargaining agreement or other contractual understanding, that the Contractor is bound by the terms of Section 503 of the Rehabilitation Act of 1973, and is committed to take affirmative action to employ and advance physically and mentally handicapped individuals.
- 6. The Contractor will include the provisions of this part in every subcontract or purchase order of \$2,500 or more unless exempted by the rules, regulation, or orders of the Secretary issued pursuant to Section 503 of the Act, so that such provisions will be binding upon each subcontractor and vendor. The Contractor will take such action with respect to any subcontract or purchase order as the Director of the Office of Federal Contract Compliance Programs may direct to enforce such

provisions, including action for noncompliance.

H. Age Discrimination Act of 1975

No person in the United States shall, on the basis of age, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under, any program or activity receiving Federal financial assistance.

9. CERTIFICATION OF COMPLIANCE WITH AIR AND WATER ACTS

(Applicable to Federal assisted construction contracts and related subcontracts exceeding \$100,000).

Compliance with Air and Water Acts

- A. During the performance of this contract, the Contractor and all subcontractors shall comply with the requirements of the Clean Air Act, as amended, 42 USC 1857 et seq., the Federal Water Pollution Control Act, as amended, 33 USC 1251 et seq., and the regulations of the Environmental Protection Agency with respect thereto, at 40 CFR Part 15, as amended.
- B. In addition to the foregoing requirements, all nonexempt Contractors and Subcontractors shall furnish to the Owner, the following:
- 1. A stipulation by the Contractor or subcontractors, that any facility to be utilized in the performance of any nonexempt contract or subcontract, is not listed on the "List of Violating Facilities" issued by the Environmental Protection Agency (EPA) pursuant to 40 CFR 15.20.
- 2. Agreement by the Contractor to comply with all the requirements of Section 114 of the Clean Air Act, as amended, (42 USC 1857c-8) and Section 308 of the Federal Water Pollution Control Act, as amended, (33 USC 1318) relating to inspection, monitoring, entry, reports and information, as well as all other requirements specified in said Section 114 and Section 308, and all regulations and guidelines issued thereunder.
- 3. A stipulation that as a condition for the award of the contract, prompt notice will be given of any notification received from the Director, Office of Federal Activities, EPA, indicating that a facility utilized, or to be utilized for the contract, is under consideration to be listed on the EPA "List of

Violating Facilities".

4. Agreement by the Contractor that he will include, or cause to be included, the criteria and requirements in paragraph a through d of this section in every nonexempt subcontract and requiring that the Contractor will take such action as the Government may direct as a means of enforcing such provisions.

10. SPECIAL CONDITIONS PERTAINING TO HAZARDS, SAFETY STANDARDS AND ACCIDENT PREVENTION

A. Lead-Based Paint Hazards

(Applicable to contracts for construction or rehabilitation of residential structures)

The construction or rehabilitation of residential structures is subject to the HUD Lead-Based Paint regulations, 24 CFR Part 35. The Contractor and Subcontractors shall comply with the provisions for the elimination of lead-based paint hazards under sub-part B of said regulations. The Owner will be responsible for the inspections and certifications required under Section 35.14(f) thereof.

B. Use of Explosives

When the use of explosives is necessary for the prosecution of the work, the Contractor shall observe all local, state and federal laws in purchasing and handling explosives. The Contractor shall take all necessary precautions to protect completed work, neighboring property, water lines, or other underground structures. Where there is danger to structures or property from blasting, the charges shall be reduced and the material shall be covered with suitable timber, steel or rope mats.

The Contractor shall notify all Owners of public utility property of intention to use explosives at least eight hours before blasting is done, close to such property. Any supervision or direction of use of explosives by the Architect/Engineer does not in any way reduce the responsibility of the Contractor or his Surety for damages that may be caused by such use.

C. Danger Signals and Safety Devices

The Contractor shall make all necessary precautions to guard against damages to property and injury to

persons. He shall put up and maintain in good condition, sufficient red or warning lights at night, suitable barricades and other devices necessary to protect the public. In case the Contractor fails or neglects to take such precautions, the Owner may have such lights and barricades installed and charge the cost of this work to the Contractor. Such action by the Owner does not relieve the Contractor of any liability incurred under these specifications or contract.

11. FLOOD DISASTER PROTECTION

This contract is subject to the requirements of the Flood Disaster Protection Act of 1973 (P.L. 93-234). Nothing included as a part of this contract is approved for acquisition or construction purposes as defined under Section 3(a) of said Act, for use in an area identified by the Secretary of HUD as having special flood hazards which is located in a community not then in compliance with the requirements for participation in the National Flood Insurance Program pursuant to Section 201(d) of said Act; and the use of any assistance provided under this contract for such acquisition or construction in such identified areas in communities then participating in the National Flood Insurance Program shall be subject to the mandatory purchase of flood insurance requirements of Section 102(a) of said Act.

Any contract or agreement for the sale, lease, or other transfer of land acquired, cleared or improved with assistance provided under this Contract shall contain, if such land is located in an area identified by the Secretary as having special flood hazards and in which the sale of flood insurance has been made available under the National Flood Insurance Act of 1968, as amended, 42 U.S.C. 4001 et seq., provisions obligating the transferee and its successors or assigns to obtain and maintain, during the ownership of such land, such flood insurance as required with respect to financial assistance for acquisition or construction purposes under Section 102(a) of the Flood Disaster Protection Act of 1973.

12. ACCESS TO RECORDS AND MAINTENANCE OF RECORDS

The State grantor agency (funding agency), the Department of Housing and Urban Development, the Comptroller General of the United States, or any of their duly authorized representatives, shall have access to any books, documents, papers and records of the Contractor which are directly

pertinent to this specific contract, for the purpose of audits, examinations, and making excerpts and transcriptions. All records connected with this contract will be maintained in a central location by the unit of local government and will be maintained for a period of six (6) years from the official date of close-out of the Grant.

13. CONFLICT OF INTEREST OF OFFICERS OR EMPLOYEES OF THE LOCAL JURISDICTION, MEMBERS OF THE LOCAL GOVERNING BODY, OR OTHER PUBLIC OFFICIALS

No officer or employee of the local jurisdiction or its designees or agents, no member of the governing body, and no other public official of the locality who exercises any function or responsibility with respect to this contract, during his/her tenure or for one year thereafter, shall have any interest, direct or indirect, in any contract or subcontract, or the proceeds thereof, for work to be performed. Further, the Contractor shall cause to be incorporated in all subcontracts the language set forth in this paragraph prohibiting conflict of interest.

14. MINORITY AND FEMALE CONTRACTOR ASSOCIATIONS

Lists are available from various sources including the state Department of Transportation, the U.S. Department of Housing and Urban Development, Ft. Worth Area Office. These lists are provided solely for the benefit of the Contractor for the purpose of assisting him/her in meeting the Equal Opportunity Provisions contained in these Supplemental General Conditions. The lists do not contain a complete listing of minority and female businesses. The information may in some cases be out of date.

15. SPECIAL HAZARDS

The Contractor's and his Subcontractor's Public Liability and Property Damage Insurance shall provide adequate protection against the following special hazards:

None

MODIFICATIONS TO SUPPLEMENTAL GENERAL CONDITIONS

SUPPLEMENTARY CONDITIONS Section 00820

*If the Architect/Engineer must make any modifications to the Supplemental General Conditions of this contract they must be included here.

NONE

ADDITIONAL CONDITIONS

SUPPLEMENTARY CONDITIONS Section 00830

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1. CONSTRUCTION INDUSTRIES LICENSING ACT

1.1 This Contract is subject to the provisions of the New Mexico Construction Industries Licensing Act (§§60-13-1 to 60-13-59 NMSA 1978), the rules and regulations of the New Mexico Construction Industries Commission and the rules, regulations and codes of the various trade boards adopted pursuant to the Construction Industries Licensing Act.

2. CONTRACT AUDIT

2.1 The Owner shall be entitled to audit the books and records of a Contractor or any Subcontractor under any negotiated Contract or subcontract other than a firm fixed-price Contract to the extent that such books and records relate to the performance of such Contract or subcontract. Such books and records shall be maintained by the Contractor for a period of six years from the date of final payment under the prime Contract and by the Subcontractor for a period of six years from the date of final payment under the subcontract unless a shorter period is otherwise authorized by the Owner in writing (§13-1-161 NMSA 1978).

3. ASSIGNMENT OF ANTITRUST CLAIMS

3.1 All contractor, suppliers, subcontractors agree that any and all claims which it may have or may inure to it for overcharges resulting from antitrust violations as to goods, services and materials purchased in connection with this Project are hereby assigned to the Owner and the funding agency, but only to the extent that such

overcharges are passed on to the Owner. It is agreed that the contractor, supplier, subcontractor or subsubcontractor retains all rights to any such antitrust claims to the extent of any overcharges not passed on to the Owner, including the right to any treble damages attributable thereto.

4. BRIBES, GRATUITIES, AND KICKBACKS

- 4.1 It is illegal in this state for any public employee to solicit or accept anything of value in connection with award of this Contract and for any person to offer or pay anything of value to any such public employee (§§30-24-1 through 2 NMSA 1978).
- 4.2 Pursuant to §13-1-191 NMSA 1978 reference is hereby made to the criminal laws of New Mexico, including §§30-24-1 through 30-24-2, and §§30-41-1 through 30-41-3 NMSA 1978, which prohibit bribes, kickbacks, and gratuities and violation of which constitutes a felony. Further, the Procurement Code, §§13-1-28 through 13-1-199 NMSA 1978, imposes civil and criminal penalties for its violation.

5. NON-RESIDENT CONTRACTOR'S REQUIREMENTS REGARDING GROSS RECEIPTS TAX SURETY BOND

- 5.1 Section 7-1-55A NMSA 1978 provides that any person (as defined in §7-1-3 NMSA 1978) engaged in the construction business who does not have his principal place of business in New Mexico and enters into a prime construction contract to be performed in this state shall, at the time such contract is entered into, furnish the Director of the Revenue Division. Taxation and Revenue Department, or his delegate with a surety bond or other acceptable security in a sum equivalent to the gross receipts tax to be paid under the contract multiplied by the applicable rate of the gross receipts tax imposed by §7-9-4 NMSA 1978 to secure payment of the tax imposed on the gross receipts from the contract, and shall obtain a certificate from the Director of the Revenue Division, Taxation and Revenue Department, or his delegate, that the requirements of this paragraph have been met.
- 5.2 If the total sum to be paid under the contract is changed by ten percent or more after the date the surety bond or other acceptable security is furnished, to the Director or his delegate, such person shall

increase or decrease, as the case may be, the amount of the bond or security within fourteen days after the change (§7-1-55B NMSA 1978).

5.3 In addition to the above requirements, the Contractor will be subject to all the requirements of §7-1-55 NMSA 1978.

6. CONTRACTOR'S GROSS RECEIPTS TAX REGISTRATION

- 6.1 §7-10-4 NMSA 1978 provides that any person (as defined in §7-10-3 NMSA 1978) performing services for the state or its political subdivisions, as those terms are used in the Gross Receipts and Compensating Tax Act (§§7-10-1 through 7-10-5 NMSA 1978) must be registered and be issued an identification number with the Revenue Division of the Taxation and Revenue Department of the state to pay the gross receipts tax.
- 6.2 For information in obtaining the identification number contact: Revenue Processing Division, Taxation and Revenue Department, Manuel Lujan Sr. Building, 1200 St. Francis Drive, Santa Fe, New Mexico 87505, or call (505) 827-0825.
- 6.3 If any person who performs services for the State or its political subdivisions is not registered to pay the gross receipts tax, the Owner shall withhold payment of the amount due until the person has presented evidence of registration with the Revenue Division to pay the gross receipts tax.

7. CONTRACTS WITH NONRESIDENT PERSONS OR PARTNERSHIPS OR UNADMITTED FOREIGN CORPORATIONS. AGENT FOR SERVICE OF PROCESS

Special attention of contractors is called to the requirements of §§ 13-4-21 through 13-4-24 NMSA 1978, whereby a public works contract with a nonresident person or partnership or foreign corporation not authorized to do business in the State shall contain a specific provision designating an agent resident within the State, and his address, upon whom process and writs in any action or proceeding against such business may be served in any action arising out of such contract.

7.1 The Contractor warrants and agrees that he, all subcontractors and any further subcontractors will comply with all applicable provisions of the New Mexico Public Works Minimum Wage Act, §13-4-11 NMSA 1978. The attached Minimum Wage Rate Determinations are declared to be prevailing and apply 4A-96

to all construction. Note: Applicable federal and state regulations require that the higher of the federal or the state wage rate for each classification must be paid.

8. SAFETY STANDARDS AND ACCIDENT **PREVENTION**

With respect to all work performed under this contract, the Contractor shall:

- A. Comply with the safety standards provisions of applicable laws, building and construction codes and the "Manual of Accident Prevention in Construction" published by the Associated General Contractors of America, the requirements of the Occupational Safety and Health Act of 1970 (P.L. 91-596), and the requirements of Title 29 of the Code of Federal Regulations, 1518 as published in the "Federal Register", Volume 36, No. 75, Saturday, April 17, 1971.
- B. Exercise every precaution at all times for the prevention of accidents and the protection of persons (including employees) and property.
- C. Maintain at his/her office or other well-known place at the job site, all articles necessary for giving first aid to the injured, and shall make standing arrangements for the immediate removal to a hospital or a doctor's care of persons (including employees), who may be injured on the job site. In no case shall employees be permitted to work at a job site before the employer has made a standing arrangement for removal of injured persons to a hospital or a doctor's care.

9. MINIMUM WAGE RATES

- 9.1 Submission of weekly payroll records to the Owner and Department of Workforce Solutions is mandatory. Include the decision number on Contractor's and subcontractor's payrolls. The scale of wages must also be posted in a prominent location at the site.
- 9.2 In the event it is found by the Labor Commission, that any laborer or mechanic employed by the Contractor, subcontractor or any further subcontractors on the site of the project covered by this Contract, has been or is being paid as a result of a willful violation, a rate of wages less than the rate of wages required by the Contract, the Owner may, by written notice to the Contractor, his subcontractor or any further subcontractors if the violation involves a Subcontractor, terminate their right to proceed with the

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Work or such part of the Work as to which there has been a willful failure to pay the required wages and the Owner may prosecute the work to completion by contract or otherwise, and the Contractor, subcontractor or any further subcontractor shall be liable to the Owner and the State of New Mexico for any excess cost occasioned thereby. If the Owner or State of New Mexico is unable to collect from the Subcontractor or any further Subcontractors, the Contractor will be liable for all costs.

10. PROJECT IDENTIFICATION SIGN

10.1 The Contractor as an incidental cost shall

provide, erect, and maintain for the duration of the construction project one identification sign at each construction site. The sign shall be painted on one side with a background color of yellow with red lettering of 3/4" thick, not smaller than 4' x 6' nor larger than 4' x 8', marine grade plywood. Each sign shall be mounted on two 4" x 4" posts, with the bottom of the sign at least four feet above grade. The sign shall be mounted level and at the location designated by the Architect/Engineer or the Owner's Project Manager. The sign shall be salvaged to the Owner at the end of the construction project.

Sample Sign

Sign shall be yellow background with red letters



11. OTHER ADDITIONAL CONDITIONS (list):

- 11.1 Federal Labor Standards Provisions
- 11.2 Attachments to Federal Labor Standards Provisions

MODIFICATIONS TO ADDITIONAL CONDITIONS

SUPPLEMENTARY CONDITIONS Section 00830

*If the Architect/Engineer must make any modifications to the Additional Conditions of this contract they must be included here.

NONE

FEDERAL LABOR STANDARDS PROVISIONS

U.S. Department of Housing and Urban Development

HUD4010 (2-84) (HB 1344.1)

Applicability

The Project or Program to which the construction work covered by this contract pertains is being assisted by the United States of America and the following Federal Labor Standards Provisions are included in this Contract pursuant to the Provisions applicable to such Federal assistance.

A. 1.(i)Minimum Wages. All laborers and mechanics employed or working upon the site of the work (or under the United State Housing Act of 1937 or under the Housing Act of 1949 in the construction or development of the project), will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR Part 3), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics. Contributions made or costs reasonably anticipated for bona fide fringe benefits under Section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of 29 CFR 5.5(a)(1)iv); also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made of incurred during such weekly period.

Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR Part 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein; provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under 29 CFR Part 5.5(a)(1)(ii) and the Davis-Bacon poster (WH-1321) shall be posted at all time by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

- (ii)(a)Any class of laborers or mechanics which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. HUD shall approve an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:
- (1) The work to be performed by the classification requested is not performed by a classification in the wage determination; and
 - (2) The classification is utilized in the area by the construction industry; and
- (3) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.
- (b) If the Contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and HUD or its designee agree on the classification and wage rate (including the amount designated for fringe benefits were appropriate), a report of the action taken shall be sent by HUD or its designee to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, D.C. 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise HUD or its designee or will notify HUD or its designee within the 30 day period that additional time is necessary. (Approved by the Office of Management and Budget under OMB control number 1215-0140.)
- (c) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and HUD or its designee do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), HUD or its designee shall refer the questions, including the views of all interested parties and the recommendation of HUD or its designee, to the Administrator for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of

receipt and so advise HUD or its designee or will notify HUD or its designee within the 30 day period that additional time is necessary. (Approved by the Office of Management and Budget under OMB Control Number 1215-0140.)

- (d) The wage rate (including fringe benefits where appropriate) determined pursuant to subparagraphs (1)(b) or (c) of this paragraph, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.
- (iii) Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.
- (iv) If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages or any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program. Provided, that the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program. (Approved by the Office of Management and Budget under OMB Control Number 1215-0140.)
- 2. Withholding. HUD or its designee shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld from the contractor under this contract or any other Federal contract with the same prime contractor, or any other Federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees and helpers required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee or helper, employed or working on the site of the work (or under the United States Housing Act of 1937 or under the Housing Act of 1949 in the construction or development of the project), all or part of the wages required by the contract, HUD or its designee may, after written notice to the contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased. HUD or its designee may, after written notice to the contractor, disburse such amounts withheld for and on account of the contractor or subcontractor to the respective employees to whom they are due. The Comptroller General shall make such disbursements in the case of direct Davis-Bacon Act contracts.
- 3. (i) Payrolls and Basic Records. Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work (or under the United States Housing Act of 1937, or under the Housing Act of 1949, in the construction or development of the project). Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in Section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions make and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5 (a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in Section 1(b)(2)(B) of the Davis-Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs. (Approved by the Office of Management and Budget under OMB Control Number 1215-0140 and 1215-0017).
- (ii)(a) The contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to HUD or its designee if the agency is a party to the contract, but if the agency is not such a party, the contractor will submit the payrolls to the applicant, sponsor, or owner, as the case may be for transmission to HUD or its designee. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under 29 CFR Part 5.5(a)(3)(i). This information may be submitted in any form desired. Optional Form WH-347 is available for this purpose and may be purchased from the Superintendent of Documents (Federal Stock Number 029-005-00014-1). U.S. Government Printing Office, Washington, DC 20402. For all CDBG projects, the mandatory form WH-347 (Exhibit 4-P Certified Payroll) located on the website link http://nmdfa.state.nm.us/CDBG_Implementation_Manual.aspx. The prime contractor

is responsible for the submission of copies of payrolls by all subcontractors. (Approved by the Office of Management and Budget under OMB Control Number 1215-0149).

- (b) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his or her agency who pays or supervises the payment of the persons employed under the contract and shall certify the following:
- (1) That the payroll for the payroll period contains the information required to be maintained under 29 CFR Part 5.5(a)(3)(i) and that such information is correct and complete;
- (2) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid in full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in 29 CFR Part 3;
- (3) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.
- (c) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 (for all CDBG projects use the mandatory Exhibit 4-P Certified Payroll located on the website link http://nmdfa.state.nm.us/CDBG_Implementation_Manual.aspx) shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph A.3.(ii)(b) of this section.
- (d) The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under Section 1001 of Title 18 and Section 231 of Title 31 of the United States Code.
- (iii) The contractor or subcontractor shall make the records required under paragraph A.3.(i) of this section available for inspection, copying, or transcription by authorized representatives of HUD or its designee or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, HUD or its designee may, after written notice to the contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR Part 5.12.
- 4. (i) Apprentices and Trainees. Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State Apprenticeship Agency recognized by the Bureau, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Bureau of Apprenticeship and Training or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice. The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to any contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rage on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level or progress, expressed as a percentage of the journeymen hourly rate specified in the applicable wage determination. Apprentices shall be paid fine benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination. In the event the Bureau of Apprenticeship and Training, or a State Apprenticeship Agency recognized by the Bureau, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.
- (ii) **Trainees.** Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the 4A-101

predetermined rate for the work performed unless they are employed pursuant to and individually registered a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration. The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermine rate for the work performed until an acceptable program is approved.

- (iii) **Equal Employment Opportunity.** The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirement of Executive Order 11246, as amended and 29 CFR Part 30.
- **5.** Compliance with Copeland Act Requirements. The contractor shall comply with the requirements 29 CFR Part 3 which are incorporated by reference in this contract.
- **6. Subcontracts.** The contractor or subcontractor will insert in any subcontracts the clauses contained in 29 CFR 5.5(a)(1) through (10) and such other clauses as HUD of its designee may by appropriate instructions require, and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR Part 5.5.
- 7. Contract Termination; Debarment. A breach of the contract clauses in 9 CFR 5.5 may be grounds for termination of the contract and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.
- **8.** Compliance with Davis-Bacon and Related Act Requirements. All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR Parts 1, 3, and 5 are herein incorporated by reference in this contract.
- **9. Disputes Concerning Labor Standards.** Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR Parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and HUD or its designee, the U.S. Department of Labor, or the employees or their representatives.
- 10. (i) Certification of Eligibility. By entering into this contract, the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of Section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1) or to be awarded HUD contracts or participate in HUD programs pursuant to 24 CFR Part 24.
- (ii) No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of Section 3(a) of the Davis-Bacon Act of 29 CFR 5.12(a)(1) or to be awarded HUD contracts or participate in HUD programs pursuant to 24 CFR 24.
- (iii) The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001. Additionally, U.S. Criminal Code, Section 1010, Title 18, U.S.C., "Federal Housing Administration transaction", provides in part "Whoever, for the purpose of...influencing in any way the action of such Administration...makes, utters or publishes any statement, knowing the same to be false...shall be fined not more than \$5,000 or imprisoned not more than two years, or both."

- 11. A. Complaints, Proceedings, or Testimony by Employees. No laborer or mechanic to whom the wage, salary, or other labor standards provisions of this contract are applicable shall be discharged or in any other manner discriminated against by the contractor or any subcontractor because such employee has filed any complaint or instituted or caused to be instituted any proceeding or has testified or is about to testify in any proceeding under or relating to the labor standards applicable under this contract to his employer.
- **B.** Contract Work Hours and Safety Standards Act. As used in this paragraph, the terms "laborers" and "mechanics" include watchmen and guards.
- (1) Overtime Requirements. No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess or forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.
- (2) Violation; Liability for Unpaid Wages; Liquidated Damages. In the event of any violation of the clause set forth in subparagraph (1) of this paragraph, the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contractor for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards employed in violation of the clause set forth in subparagraph (1) of the paragraph, in the sum of \$27 (or as otherwise specified by the Contract Work Hours and Safety Standards Act (CWHSSA) for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of fourth hours without payment of the overtime wages required by the clause set forth in subparagraph (1) of this paragraph.
- (3) Withholding for Unpaid Wages and Liquidated Damages. HUD or its designee shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contract, or any other Federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in subparagraph (2) of this paragraph.
- (4) Subcontracts. The contractor or subcontractor shall insert in any subcontracts the clauses set forth in subparagraph (1) through (4) of this paragraph and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in subparagraphs (1) through (4) of this paragraph.

C. Health and Safety

- (1) No laborer or mechanic shall be required to work in surroundings or under working conditions which are unsanitary, hazardous, or dangerous to his health and safety as determined under construction safety and health standards promulgated by the Secretary of Labor by regulation.
- (2) The contractor shall comply with all regulations issued by the Secretary of Labor pursuant to Title 29 Part 1926 (formerly part 1518) and failure to comply may result in imposition of sanctions pursuant to the Contract Work Hours and Safety Standards Act (Public Law 91-54, 83 Stat. 96).
- (3) The Contractor shall include the provisions of this Article in every subcontract so that such provisions will be binding on each subcontractor. The contractor shall take such action with respect to any subcontract as the Secretary of Housing and Urban Development or the Secretary of Labor shall direct as a means of enforcing such provisions.

HUD-1010.1 (2-76)

ATTACHMENT TO FEDERAL LABOR STANDARDS PROVISIONS

SO-CALLED "ANTI-KICKBACK ACT" AND REGULATIONS PROMULGATED PURSUANT THERETO BY THE SECRETARY OF LABOR UNITED STATES DEPARTMENT OF LABOR

TITLE 18, U.S.C., section 874

(Replaces section 1 of the Act of June 13, 1934 (48 Stat. 948, 40 U.S.C., sec. 276b) pursuant to the Act of June 25, 1948, 62 Stat. 862)

KICKBACKS FROM PUBLIC WORKS EMPLOYEES

Whoever, by force, intimidation, or threat of procuring dismissal from employment, or by any other manner whatsoever induces any person employed in the construction, prosecution, completion or repair of any public building, public work, or building or work financed in whole or in part by loans or grants from the United States, to give up any part of the compensation to which he is entitled under his contract of employment, shall be fined not more than \$5,000 or imprisoned not more than five years, or both.

SECTION 2 OF THE ACT OF JUNE 13, 1934, AS AMENDED (48 Stat. 948, 62 Stat. 862, 63 Stat, 108, 72 Stat. 967, 40 U.S.C., sec 276c)

The Secretary of Labor shall make reasonable regulations for contractors and subcontractors engaged in the construction, prosecution, completion or repair of public buildings, public works or buildings or works financed in whole or in part by loans or grants from the United States, including a provision that each contractor and subcontractor shall furnish weekly a statement with respect to the wages paid each employee during the preceding week. Section 1001 of Title 18 (United State Code) shall apply to such statements.

---X X X---

Pursuant to the aforesaid Anti-Kickback Act, the Secretary of Labor, United States Department of Labor, has promulgated the regulations hereinafter set forth, which regulations are found in Title 29, Subtitle A, Code of Federal Regulations, Part 3. The term "this part," as used in the regulations hereinafter set forth, refers to Part 3 last above mentioned. Said regulations are as follows:

TITLE 29 - LABOR

Subtitle A - Office of the Secretary of Labor

PART 3- CONTRACTORS AND SUBCONTRACTORS ON PUBLIC BUILDING OR PUBLIC WORK FINANCED IN WHOLE OR IN PART BY LOANS OR GRANTS FROM THE UNITED STATES.

Section 3.1 Purpose and Scope.

This part prescribes "anti-kickback" regulations under section 2 of the Act of June 13, 1934, as amended (40 U.S.C. 276c), popularly known as the Copeland Act. This part applies to any contract which is subject to Federal wage standards and which is for the construction, prosecution, completion, or repair of public buildings, public works or buildings or works financed in whole or in part by loans or grants from the United States. The part is intended to aid in the enforcement of the minimum wage provisions of the Davis-Bacon Act and the various statutes

4A-104

dealing with Federally assisted constructions that contain similar minimum wage provisions, including those provisions which are not subject to Reorganization Plan No. 14 (e.g., the College Housing Act of 1950, the Federal Water Pollution Control Act, and the Housing Act of 1959), and in the enforcement of the overtime provisions of the Contract Work Hours Standards Act whenever they are applicable to construction work. The part details the obligation of contractors and subcontractors relative to the weekly submission of statements regarding the wages paid on work covered thereby; sets forth the circumstances and procedures governing the making of payroll deductions from the wages of those employed on such work; and delineates the methods of payment permissible on such work.

Section 3.2 Definitions.

As used in the regulations in this part:

- (a) The terms "building" or "work" generally include construction activity as distinguished from manufacturing, furnishing of materials, or servicing and maintenance work. The terms include, without limitation, buildings, structures, and improvements of all types, such as bridges, dams, plants, highways, parkways, streets, subways, tunnels, sewers, mains, power lines, pumping stations, railways, airports, terminals, docks, piers, wharves, ways, lighthouses, buoys, jetties, breakwaters, levers, and canals; dredging, shoring, scaffolding, drilling, blasting, excavating, clearing, and landscaping. Unless conducted in connection with and at the site of such building or work as is described in the foregoing sentence, the manufacture or furnishing of materials, articles, supplies, or equipment (whether or not a Federal or State agency acquires title to such materials, articles, supplies, or equipment during the course of the manufacture or furnishing, or owns the materials from which they are manufactured or furnished) is not a "building" or "work" within the meaning of the regulations in this part.
- (b) The terms "construction," "prosecution," "completion," or "repair" means all types of work done on a particular building or work at the site thereof, including, without limitation, altering, remodeling, painting and decorating, the transporting of materials and supplies to or from the building or work by the employees of the construction contractor or construction subcontractor, and the manufacturing or furnishing or materials, articles, supplies, or equipment on the site of the building or work, by persons employed at the site by the contractor or subcontractor.
- (c) The terms "public building" or "public work" include building or work for whose construction, prosecution, completion, or repair, as defined above, a Federal agency is a contracting party, regardless of whether title thereof is in a Federal agency.
- (d) The term "building or work financed in whole or in part by loans or grants from the United States" includes building or work for whose construction, prosecution, completion, or repair, as defined above, payment or part payment is made directly or indirectly from funds provided by loans or grants by a Federal agency. The term does not include building or work for which Federal assistance is limited solely to loan guarantees or insurance.
- (e) Every person paid by a contractor or subcontractor in any manner for his labor in the construction, prosecution, completion, or repair of a public building or public work or building or work financed in whole or in part by loans or grants from the United States is "employed" and receiving "wages," regardless of any contracted relationship alleged to exist between him and the real employer.
- (f) The term "any affiliated person" includes a spouse, child, parent, or other close relative of the contractor or subcontractor; a partner or officer of the contractor or subcontractor; a corporation closely connected with the contractor or subcontractor as parent, subsidiary or otherwise, and an officer or agent of such corporation.
- (g) The term "Federal agency" means the United States, the District of Columbia, and all executive departments, independent establishments, administrative agencies, and instrumentalities of the United States and of the District of Columbia, including corporations, all or substantially all of the stock of which is beneficially owned by the United States, by the District of Columbia, or any of the foregoing departments, establishments, agencies and instrumentalities.

Section 3.3 Weekly Statement with Respect to Payment of Wages

- (a) As used in this section, the term "employees" shall not apply to persons in classifications higher than that of laborer or mechanic and those who are the immediate supervision of such employees.
- (b) Each contractor or subcontractor engaged in the construction, prosecution, completion, or repair of any public building or public work, or building or work financed in whole or in part by loans or grants from the United States shall furnish each week a statement with respect to the wages paid each of its employees engaged on work covered by 29 CFR Parts 3 and 5 during the preceding weekly payroll period. This statement shall be executed by the contractor or subcontractor or by an authorized officer or employee of the contractor or subcontractor who supervises the payment of wages and shall be on form WH 348, "Statement of Compliance", or on an identical form on the back of WH 317, "Payroll (For Contractors Optional Use)" or on any form with identical wording. Sample copies of WH 347 and WH 348 may be obtained from the Government contracting or sponsoring agency, and copies of these forms may be purchased at the Government Printing Office.
 - (c) The requirements of this section shall not apply to any contract of \$2,000 or less.
- (d) Upon a written finding by the head of a Federal agency, the Secretary of Labor may provide reasonable limitations, variations, tolerances, and exemptions from the requirements of this section subject to such conditions as the Secretary of Labor may specify.

[20 F.R. 93, Jan. 4, 1964, as amended at 33 F.R. 10186, July 17, 1968]

Section 3.4 Submission of Weekly Statements and the Preservation and Inspection of Weekly Payroll Records.

- (a) Each weekly statement required under section 3.3 shall be delivered by the contractor or subcontractor, within seven days after the regular payment date of the payroll period, to a representative of a Federal or State agency in charge at the site of the building or work, or, if there is no representative of a Federal or State agency at the site of the building of work, the statement shall be mailed by the contractor or subcontractor, within such time, to a Federal or State agency contracting for or financing the building or work. After such examination and check as may be made, such statement, or a copy thereof, shall be kept available, or shall be transmitted together with a report of any violation, in accordance with applicable procedures prescribed by the United States Department of Labor.
- (b) Each contractor or subcontractor shall preserve his weekly payroll records for a period of three years from date of completion of the contract. The payroll records shall set out accurately and completely the name and address of each laborer and mechanic, his correct classification, rate of pay, daily and weekly number of hours worked, deductions made, and actual wages paid. Such payroll records shall be made available at all times for inspection by the contracting officer or his authorized representative, and by authorized representatives of the Department of Labor.

Section 3.5 Payroll Deductions Permissible Without Application to or Approval of the Secretary of Labor.

Deductions made under the circumstances or in the situations described in the paragraphs of this section may be made without application and approval of the Secretary of Labor:

- (a) Any deduction made in compliance with the requirements of Federal, State, or local law, such as Federal or State withholding income taxes and Federal social security taxes.
- (b) Any deductions of sums previously paid to the employee as a bona fide prepayment of wages when such prepayment is made without discount or interest. A "bona fide prepayment of wages" is considered to have been made only when cash or its equivalent has been advanced to the person employed in such manner as to give him complete freedom of disposition of the advanced funds.
- (c) Any deduction of amounts required by court process to be paid to another, unless the deduction is in favor of the contractor, subcontractor or any affiliated person, or when collusion or collaboration exists.
- (d) Any deduction constituting a contribution on behalf of the person employed to funds established by the employer or representatives of employees, or both, for the purpose of providing either from principal or income, or AA-106

both, medical or hospital care, pensions or annuities on retirement, death benefits, compensation for injuries, illness, accidents, sickness, or disability, or for insurance to provide any of the foregoing, or unemployment benefits, vacation pay, savings accounts, or similar payments for the benefit of employee, their families and dependents; Provided, however, that the following standards are met: (1) The deduction is not otherwise prohibited by law; (2) it is either (i) voluntarily consented to by the employee in writing and in advance of the period in which the work is to be done and such consent is not a condition either for the obtaining of or for the continuation of employment, or (ii) provided for in a bona fide collective bargaining agreement between the contractor or subcontractor and representatives of its employees; (3) no profit or other benefit is otherwise obtained, directly or indirectly, by the contractor or subcontractor or any affiliated person in the form of commissions, dividend, or otherwise; and (4) the deductions shall serve the convenience and interest of the employee.

- (e) Any deduction contributing toward the purchase of United States Defense Stamps and Bonds when voluntarily authorized by the employee.
- (f) Any deduction requested by the employee to enable him to repay loans to or to purchase shares in credit unions organized and operated in accordance with Federal and State credit union statutes.
- (g) Any deduction voluntarily authorized by the employee for the making of contributions to governmental or quasi-governmental agencies, such as the American Red Cross.
- (h) Any deductions voluntarily authorized by the employee for the making of contributions to Community Chests, United Givers Funds, and similar charitable organizations.
- (i) Any deductions to pay regular union initiation fees and membership dues, not including fines or special assessments; Provided however, that a collective bargaining agreement between the contractor or subcontractor and representatives of its employees provides for such deductions and the deductions are not otherwise prohibited by law.
- (j) Any deduction not more than for the "reasonable cost" of board, lodging, or other facilities meeting the requirements of section 3(m) of the Fair Labor Standards Act of 1938, as amended, and Part 531 of this title. When such a deduction is made the additional records required under section 516.27(a) of this title shall be kept.

Section 3.6 Payroll Deductions Permissible with the Approval of the Secretary of Labor

Any contractor or subcontractor may apply to the Secretary of Labor for permission to make any deduction not permitted under Section 3.5. The Secretary may grant permission whenever he finds that:

- (a) The contractor, subcontractor, or any affiliated person does not make a profit or benefit directly or indirectly the deduction either in the form of a commission, dividend, or otherwise:
 - (b) The deduction is not otherwise prohibited by law;
- (c) The deduction is either (1) voluntarily consented to by the employee in writing and in advance of the period in which the work is to be done and such consent is not a condition either for the obtaining of employment or its continuance, or (2) provided for in a bona fide collective bargaining agreement between the contractor or subcontractor and representative of its employees; and
 - (d) The deduction serves the convenience and interest of the employee.

Section 3.7 Applications for the Approval of the Secretary of Labor

Any application for the making of payroll deductions under section 3.6 shall comply with the requirements prescribed in the following paragraphs of this section:

(a) The application shall be in writing and shall be addressed to the Secretary of Labor.

- (b) The application shall identify the contract or contracts under which the work in question is to be performed. Permission will be given for deductions only on specific, identified contracts, except upon a showing of exceptional circumstances.
- (c) The application shall state affirmatively that there is compliance with the standards set forth in the provisions of section 3.6. The affirmation shall be accompanied by a full statement of the facts indicating such compliance.
- (d) The application shall include a description of the proposed deduction, the purpose to be served thereby, and the classes of laborers or mechanics from whose wages the proposed deduction would be made.
- (e) The application shall state the name and business of any third person to whom any funds obtained from the proposed deductions are to be transmitted and the affiliation of such person, if any, with the applicant.

Section 3.8 Action by the Secretary of Labor Upon Applications.

The Secretary of Labor shall decide whether or not the requested deduction is permissible under provisions of section 3.6; and shall notify the applicant in writing of his decision.

Section 3.9 Prohibited Payroll Deductions.

Deductions not elsewhere provided for by this part and which are not found to be permissible under Section 3.6 are prohibited.

Section 3.10 Methods of Payment of Wages.

The payment of wages shall be by cash, negotiable instruments payable on demand, or the additional forms of compensation for which deductions are permissible under this part. No other methods of payment shall be recognized on work subject to the Copeland Act.

Section 3.11 Regulations Part of Contract.

All contracts made with respect to the construction, prosecution, completion, or repair of any public building or public work or building or work financed in whole or in part by loans or grants from the United States covered by the regulations in this part shall expressly bind the contractor or subcontractor to comply with such of the regulations in this part as may be applicable. In this regard, see section 5.5(a) of the subtitle.

DAVIS BACON FEDERAL WAGE RATES

EXHIBIT 4-C REQUEST FOR FEDERAL WAGE RATE DETERMINATION/10 DAY CALL

Federal Wage Rates MUST be obtained from LGD office. Wages cannot be pulled from DOL website. Check the appropriate box: Initial Request for Federal Wages, or Initial Request for Feder

| Check the appropriate box: Initial Request for Federal Wages, or 10 Day Call |
|--|
| To receive decision fill out this form and mail to: Local Government Division, DFA; Suite 202, Bataan Memorial Building; Santa Fe, New Mexico 87501 or email to: Executive Secretary, Geovanna Losito at Geovanna.Losito@state.nm.us, (505)827-8051 and CDB Project Manager |
| CDBG Project #: 21-C-NR-I-01-G-03 Grantee: City of Las Vegas Phone #: 505-454-1401 |
| Location of work to be performed: Las Vegas, NM County: San Miguel |
| CDBG Project #: 21-C-NR-I-01-G-03 Grantee: City of Las Vegas Phone #: 505-454-1401 Location of work to be performed: Las Vegas, NM County: San Miguel Date of Request: April 19, 2023 Est. Advertising Date: May 2, 2023 Est. Bid Opening: June 6, 20 |
| Description of Work (Exhibit "A" of Grant Agreement): |
| The City of Las Vegas proposes construction, construction management, construction observation, and project closeout of the follow proposed scope of work. The project is in west Las Vegas on Hot Springs Blvd. from Mills Street to Mora (STA 2+21 to 12+61) in Las Vegas, New Mexico. The project begins at Latitude 35°35′49.09″N and Longitude 105°13′54.63″W and ends at approximately Latitude 35°35′41.92″N and Longitude 105°13′44.83″W. Hot Springs Blvd. is located off Mills St. which connects to New Mexico Avenue and highly traveled arterial roads. |
| The construction scope includes but is not limited to the installation of open gate trench to improve drainage, reconstruction of the existing roadway by removal of the existing asphalt surfacing and extraction to existing sub-grade elevation, installation of geo-grid a approximately 6" of base course and 2 ½" of asphalt, new ADA ramps at the intersections where feasible, new drive pads, sidewalk improvements, and signing and striping. Deteriorated and noncompliant sidewalks will be replaced, and ADA accessible ramps will b provided at intersections with adjacent streets. |
| Select type of construction: Building: Generally includes construction of sheltered enclosures with walk-in access for housing persons, machinery, equipment or supplies. This includes all construction within and including the exterior walls, both above and below grade. |
| Highway: Includes construction, alteration or repair of roads, streets, highways, parking areas and other projects not incidental to building or heavy construction. |
| Residential: Involves the construction, alteration or repair of single-family houses or apartment buildings no more than four stories tall. |
| Heavy: Is generally considered for all construction not properly classified as highway, residential, or building. Water and sewer line construction will typically be categorized as heavy construction. |
| *Federal definitions above are not identical to those issued by the New Mexico Department of Workforce Solutions. It is possible to have two different types of wage decisions. Send information to: |
| Name: Deanna Mascarenas Phone #: 505-349-8453 Email: deanna.mascarenas@stantec.com |
| Address: 6100 Seagull Street NE – Suite 102, Albuquerque, NM 87109 |
| |
| To be completed by DFA/Local Government Division: |
| Decision Number:NM20230037Date of Decision:01/06/2023 |
| Expires: N/A Supersedes Decision: NM20220037 |
| Approved: <u>Geovanna Losito</u> Date: 04/24/2023 DFA/Local Government Division |

MICHELLE LUJAN GRISHAM GOVERNOR

THE STATE OF ZEW A

WAYNE PROPST CABINET SECRETARY

WESLEY BILLINGSLEY ACTING DIVISION DIRECTOR

STATE OF NEW MEXICO
DEPARTMENT OF FINANCE AND ADMINISTRATION
LOCAL GOVERNMENT DIVISION
Bataan Memorial Building ◆ 407 Galisteo St. ◆ Suite 202 ◆ Santa Fe, NM 87501
PHONE (505) 827-4950 ◆ FAX (505) 827-4948

April 24th, 2023

Deanna Mascarenas - Project Coordinator

Phone: (505) 49-8453

6100 Seagull Street NE – Suite 102

Albuquerque, NM 87109

RE: Initial Request for Federal Wage Determination: San Miguel County

Project Number: 21-C-NR-I-01-G-03

The City of Las Vegas proposes construction, construction management, construction observation, and project closeout of the following proposed scope of work. The project is in west Las Vegas on Hot Springs Blvd. from Mills Street to Mora (STA 2+21 to 12+61) in Las Vegas, New Mexico. The project begins at Latitude 35°35' 49.09"N and Longitude 105°13'54.63"W and ends at approximately Latitude 35°35' 41.92" N and Longitude 105°13' 44.83"W. Hot Springs Blvd. is located off Mills St. which connects to New Mexico Avenue and are highly traveled arterial roads.

The construction scope includes but is not limited to the installation of open gate trench to improve drainage, reconstruction of the existing roadway by removal of the existing asphalt surfacing and extraction to existing sub-grade elevation, installation of geo-grid and approximately 6" of base course and 2 ½" of asphalt, new ADA ramps at the intersections where feasible, new drive pads, sidewalk improvements, and signing and stripping. Deteriorated and noncompliant sidewalks will be replaced, and ADA accessible ramps will be provided at intersections with adjacent streets.

Type of Construction: Highway

Wage Decision Number: NM20230037

Date of Decision: 01/06/2023

Dear Ms. Mascarenas,

Attached are the results of the initial Davis-Bacon Wage Determinations. Also, the procedure to request the State Wage rates are written in (Exhibit 4-B) Request for State Wage Determination. The Federal and State predetermined wage rates are required to be included in bid contracts for the Community Development Block Grant (CDBG) project which the Davis-Bacon and related Acts apply.

To confirm there are no modifications to the federal wage determinations, within ten (10) days prior to bid opening, please contact me to determine if superseding changes have been made. Any modifications to the federal wage decision must be made available to all contractors who received the original bid package. After bid opening for any contract not awarded within 90 days, you must once again, contact me to determine if superseding changes have been made.

Contractor(s) and subcontractor(s) on this project are required to certify that their firm is eligible to be awarded Government contracts by virtue of the Davis-Bacon Act or HUD contracts. Verification of eligibility of the contractor(s) registration in the System of Award Management (SAM) is approved by the Local Government Division (LGD) by submitting (Exhibit 1-X) Contractor/Subcontractor Clearance Form.

Contractor(s) and subcontractor(s) on this project are required to attend the preconstruction conference and address all the subjects in the (Exhibit 4-L) CDBG Preconstruction Conference Minutes with Required Elements. Refer to the CDBG Implementation Manual – Chapter 4 on our processes, forms and resources used to assist you with questions or concerns regarding required bid documents, equal opportunity, labor standards, and other requirements.

Included is the web link to "Making Davis-Bacon Work: A Contractor's Guide to Prevailing Wage Requirements for Federally-Assisted Construction Projects" https://www.hudexchange.info/resource/2541/making-davis-bacon-work-contractors-guide-prevailing-wage-requirements/. If you do not have access to this web site, please feel free to request a copy from me.

If you should need additional information or have any questions, please contact Steve Lacy.

Sincerely,

Ge⁄ovanna Losito

LGD, Executive Secretary

"General Decision Number: NM20230037 01/06/2023

Superseded General Decision Number: NM20220037

State: New Mexico

Construction Type: Highway

Counties: Cibola, Colfax, Guadalupe, Harding, Los Alamos, McKinley, Mora, Quay, Rio Arriba, San Miguel, Taos and Union

Counties in New Mexico.

HIGHWAY CONSTRUCTION PROJECTS (excluding tunnels, building structures in rest area projects & railroad construction; bascule, suspension & spandrel arch bridges designed for commercial navigation, bridges involving marine construction; and other major bridges).

Note: Contracts subject to the Davis-Bacon Act are generally required to pay at least the applicable minimum wage rate required under Executive Order 14026 or Executive Order 13658. Please note that these Executive Orders apply to covered contracts entered into by the federal government that are subject to the Davis-Bacon Act itself, but do not apply to contracts subject only to the Davis-Bacon Related Acts, including those set forth at 29 CFR 5.1(a)(2)-(60).

IIf the contract is entered into on or after January 30, 2022, or the contract is renewed or extended (e.g., an option is exercised) on or after January 30, 2022:

- l. Executive Order 14026 generally applies to the contract.
- The contractor must pay all covered workers at least \$16.20 per hour (or the applicable wage rate listed on this wage determination, if it is higher) for all hours spent performing on the contract in 2023.

If the contract was awarded on . Executive Order 13658 or between January 1, 2015 and January 29, 2022, and the contract is not renewed or extended on or after January 30, 2022:

- generally applies to the contract.
- The contractor must pay all covered workers at least \$12.15 per hour (or the applicable wage rate listed on this wage determination, if it is higher) for all hours spent performing on that contract in 2023.

The applicable Executive Order minimum wage rate will be adjusted annually. If this contract is covered by one of the Executive Orders and a classification considered necessary for performance of work on the contract does not appear on this wage determination, the contractor must still submit a conformance request.

Additional information on contractor requirements and worker protections under the Executive Orders is available at http://www.dol.gov/whd/govcontracts.

Modification Number

Publication Date 01/06/2023

SUNM2011-005 08/26/2011

| 7/2-7/20, 12:0-1 W | Rates | Fringes |
|---|---------------------------------------|--------------|
| CARPENTER (Includes Form Work Cibola, Ria Arriba Guadalupe, Los Alamos, Colfax, Harding, Guay, | | 0.44 |
| Taos, Union | | 0.44 |
| McKinley | \$ 13.51 ** | 0.44 |
| Mora | \$ 14.44 ** \$ 12 02 ** | 0.44 0.44 |
| Jan riiguei | | 0.44 |
| CEMENT MASON/CONCRETE FINISHE Cibola | | 0.26 |
| Harding, Los Alamos, | ion \$ 15 07 ** | 0.26 |
| McKinley, mora, Quay, Ur Rio Arriba, San Miguel | | 0.26 1.54 |
| Taos | | 0.26 |
| ELECTRICIAN (Including | | |
| Traffic Signal Installation). | \$ 24.66 | 8.56 |
| HIGHWAY/PARKING LOT STRIPING: Includes Highway Line/Parking Lot Line Striping and Line | | |
| Striping Truck Driver | . | |
| Cibola Colfax, Guadalupe, | \$ 13.66 ** | 0.35 |
| Harding, Los Alamos, Mor | `a. | |
| Rio Arriba, Taos, Union. | | 0.35 |
| McKinley | | 0.35 |
| Quay | \$ 16.37 | 0.26 |
| San Miguel | \$ 15.31 ** | 0.35 |
| INSTALLER: (Guardrails, Handrails and Signs) | | |
| Cibola | | 0.35 |
| Colfax | \$ 11.68 ** | 0.35 |
| Guadalupe, Harding, Los | | |
| Alamos, McKinley, Mora, Rio Arriba, San Miguel, | | |
| Taos, Union | \$ 12.37 ** | 0.35 |
| Quay | | 0.35 |
| | | |
| IRONWORKER, REINFORCING Cibola | \$ 23 05 | 1.54 |
| Colfax, Guadalupe, | ψ 23.03 | 1.54 |
| Harding, Los Alamos, Mor | a, | |
| Quay, San Miguel, Taos, | | |
| Union | | 4.80 |
| McKinley Rio Arriba | | 5.85 6.03 |
| RIO AITIDA | 21.90 | 0.03 |
| IRONWORKER, STRUCTURAL | \$ 21.77 | 6.03 |
| LABORER | | |
| Asphalt Raker | \$ 14.39 ** | 0.35 |
| Common or General | \$ 12 27 ** | 0.35 |
| Cibola Colfax | 12.27 | 0.35 0.35 |
| Guadalupe, Los Alamos | · · · · · · · · · · · · · · · · · · · | 0.35 |
| Harding | | 0.35 |
| McKinley | \$ 11.22 ** | 0.35 |
| Mora | \$ 11.34 ** | 0.35 |
| Quay | | 0.35 |
| Rio Arriba | | 0.35 |
| San Miguel Taos | | 0.35 0.35 |
| Union | | 0.35 |
| Flagger/Cone Setter | 20.00 | 0.55 |
| Cibola | \$ 13.14 ** | 0.35 |
| Colfx, Guadalupe, | | |
| | | |

| 1/24/23, | 12:54 PM | | | |
|----------|--|--------|------------|--------------|
| | Harding, Los Alamos, | | | |
| | Mora, Rio Arriba, San | | | |
| | Miguel, Taos, Union\$ | | | 0.99 |
| | McKinley\$ | | | 0.35 |
| | Quay\$ | 12.21 | ** | 0.26 1.60 |
| | Grade Checker\$ MasonTender- | 14.67 | *** | 1.60 |
| | Brick/Cement/Concrete | | | |
| | Cibola, Colfax, | | | |
| | Guadalupe, Harding, Los | | | |
| | Alamos, McKinley, Mora, | | | |
| | Quay, San Miguel, Taos, | | | |
| | Union\$ | | | 1.78 |
| | Rio Arriba\$ Pipelayer\$ | | ጥ ጥ | 1.97 0.35 |
| | riperayer | 10.55 | | 0.55 |
| PAINT | TER (Brush, Roller and | | | |
| Spray | <i>(</i>) | | | |
| | Cibola, Colfax, Guadalupe, | | | |
| | Harding, Los Alamos, | | | |
| | McKinley, Mora, Quay, Rio | | | |
| | Arriba, San Miguel, Taos, Union\$ | 15 06 | ** | 0.44 |
| | McKinley\$ | 14.15 | ** | 0.44 |
| | TickInitey | 14.13 | | 0.44 |
| POWER | R EQUIPMENT OPERATOR: | | | |
| | Asphalt/Concrete Paver, | | | |
| | Laydown Machine, and Plant\$ | 16.43 | | 1.51 |
| | Backhoe/Excavator/Trackhoe | | | |
| | Cibola, Colfax, Guadalupe, Los Alamos, | | | |
| | Mora, Rio Arriba, San | | | |
| | Miguel, Taos, Union\$ | 16.80 | | 0.26 |
| | Harding\$ | | | 0.26 |
| | McKinley\$ | | | 0.26 |
| | Quay\$ | 16.27 | | 0.26 |
| | Bobcat/Skid Loader\$ | | 4 4 | 0.26 |
| | Broom Operator\$ Bulldozer | 15./2 | ጥ ጥ | 0.26 |
| | Cibola, Colfax, | | | |
| | Guadalupe, Harding, Los | | | |
| | Alamos, McKinley, Mora, | | | |
| | Rio Arriba, San Miguel, | | | |
| | Taos, Union\$ | | | 0.26 |
| | Quay\$ | | ** | 0.26 |
| | Crusher\$ Distributor\$ | | ** | 0.26 0.26 |
| | Forklift\$ | | | 0.26 |
| | Grader/Blade | | | |
| | Cibola, Colfax, | | | |
| | Guadalupe, Harding, Los | | | |
| | Alamos, McKinley, Mora, | | | |
| | Rio Arriba, San Miguel, Taos, Union\$ | 17 /19 | | 0.26 |
| | Quay\$ | | | 0.26 |
| | Loader (Front End) | 13.30 | | 0.20 |
| | Cibola, Guadalupe, Los | | | |
| | Alamos, Rio Arriba, San | | | |
| | Miguel, Taos, Union\$ | | | 0.26 |
| | Colfax\$ | | ** | 0.26 |
| | Harding\$ McKinley\$ | | ** | 0.26 0.26 |
| | Mora\$ | | 10.00 | 0.26 |
| | Quay\$ | | ** | 0.26 |
| | Mechanic\$ | | | 0.26 |
| | Milling Machine\$ | 16.89 | | 0.26 |
| | Oiler\$ | 14.29 | ** | 0.26 |
| | Piledriver | | | |
| | Cibola, Colfax, | | | |
| | Guadalupe, Harding, Los Alamos, Mora, Rio Arriba, | | | |
| | San Miguel, Taos, Union\$ | 15.87 | ** | 0.26 |
| | | | | 0 |

| McKinley | 0.26 0.26 |
|---|--------------|
| Taos, Union\$ 14.39 ** | 0.98 |
| McKinley\$ 16.49 | 0.26 |
| Quay\$ 14.74 ** | 0.26 |
| Rotomill\$ 15.80 ** | 0.26 |
| Scraper\$ 15.91 ** | 0.26 |
| Screed\$ 15.96 ** | 0.26 |
| Tractor\$ 16.84 | 0.26 |
| Trencher\$ 16.26 | 0.26 |
| TRUCK DRIVER Distributor\$ 13.56 ** Dump Truck Cibola, Guadalupe, | 0.26 |
| Harding, Los Alamós, | |
| Mora, Rio Arriba, Taos, | |
| Union\$ 14.75 ** | 0.26 |
| Colfax, San Miguel\$ 13.24 ** | 0.26 |
| McKinley\$ 13.15 ** | 0.26 |
| Quay\$ 15.20 ** | 0.26 |
| Flatbed Truck | |
| Cibola\$ 12.71 ** | 0.26 |
| Colfax, Guadalupe, | |
| Harding, Los Alamos, | |
| Mora, Taos, Union\$ 13.27 ** | 0.26 |
| McKinley\$ 13.55 ** | 0.26 |
| Quay, San Miguel \$ 13.30 ** Rio Arriba | 0.26 |
| NIO AITIDO 12.33 | 0.26 |
| 1 1 CKup ullu 1 110 Cul | 0.26 |
| Semi-Trailer Truck\$ 16.58 | 0.26 |
| Tractor Haul Truck\$ 14.00 ** Water Truck\$ 13.13 ** | 0.26 |
| water muck | |

WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

** Workers in this classification may be entitled to a higher minimum wage under Executive Order 14026 (\$16.20) or 13658 (\$12.15). Please see the Note at the top of the wage determination for more information.

Note: Executive Order (EO) 13706, Establishing Paid Sick Leave for Federal Contractors applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2017. If this contract is covered by the EO, the contractor must provide employees with 1 hour of paid sick leave for every 30 hours they work, up to 56 hours of paid sick leave each year. Employees must be permitted to use paid sick leave for their own illness, injury or other health-related needs, including preventive care; to assist a family member (or person who is like family to the employee) who is ill, injured, or has other health-related needs, including preventive care; or for reasons resulting from, or to assist a family member (or person who is like family to the employee) who is a victim of, domestic violence, sexual assault, or stalking. Additional information on contractor requirements and worker protections under the EO is available at

https://www.dol.gov/agencies/whd/government-contracts.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (ii)).

The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of ""identifiers"" that indicate whether the particular rate is a union rate (current union negotiated rate for local), a survey rate (weighted average rate) or a union average rate (weighted union average rate).

Union Rate Identifiers

A four letter classification abbreviation identifier enclosed in dotted lines beginning with characters other than ""SU"" or ""UAVG"" denotes that the union classification and rate were prevailing for that classification in the survey. Example: PLUM0198-005 07/01/2014. PLUM is an abbreviation identifier of the union which prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. 07/01/2014 is the effective date of the most current negotiated rate, which in this example is July 1, 2014.

Union prevailing wage rates are updated to reflect all rate changes in the collective bargaining agreement (CBA) governing this classification and rate.

Survey Rate Identifiers

Classifications listed under the ""SU"" identifier indicate that no one rate prevailed for this classification in the survey and the published rate is derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As this weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: SULA2012-007 5/13/2014. SU indicates the rates are survey rates based on a weighted average calculation of rates and are not majority rates. LA indicates the State of Louisiana. 2012 is the year of survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. 5/13/2014 indicates the survey completion date for the classifications and rates under that identifier.

Survey wage rates are not updated and remain in effect until a new survey is conducted.

Union Average Rate Identifiers

Classification(s) listed under the UAVG identifier indicate that no single majority rate prevailed for those classifications; however, 100% of the data reported for the classifications was union data. EXAMPLE: UAVG-OH-0010 08/29/2014. UAVG indicates that the rate is a weighted union average rate. OH indicates the state. The next number, 0010 in the example, is an internal number used in producing the wage determination. 08/29/2014 indicates the survey completion date for the classifications and rates under that identifier.

A UAVG rate will be updated once a year, usually in January of each year, to reflect a weighted average of the current negotiated/CBA rate of the union locals from which the rate is based.

WAGE DETERMINATION APPEALS PROCESS

- 1.) Has there been an initial decision in the matter? This can be:
- * an existing published wage determination
- * a survey underlying a wage determination
- * a Wage and Hour Division letter setting forth a position on
 - a wage determination matter
- * a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour National Office because National Office has responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations Wage and Hour Division U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

END OF GENERAL DECISIO"

STATE OF NEW MEXICO LABOR STANDARD PROVISIONS (STATE WAGE RATES)



STATE OF NEW MEXICO NEW MEXICO DEPARTMENT OF WORKFORCE SOLUTIONS Labor Relations Division 121 Tijeras Ave NE, Suite 3000 Albuquerque, NM 87102 www.dws.state.nm.us

Approved Wage Decision Number: SM-23-1211-A

PUBLIC WORKS PROJECT REQUIREMENTS

As a participant in a Public Works project valued at more than \$60,000 in the State of New Mexico, the following list addresses many of the responsibilities that are defined by statute or regulation to each project stakeholder.

Contracting Agency

- Ensure that all Contractors wishing to bid on a Public Works project when the project is \$60,000 or more are actively registered with the Public Works and Apprenticeship Application (PWAA) website: http://www.dws.state.nm.us/pwaa (Contractor Registration) prior to bidding.
- Please submit Notice of Award (NOA) and Subcontractor List(s) to the PWAA website promptly after the project is awarded.
- Please update the Subcontractor List(s) on the PWAA website whenever changes occur.
- All Sub-Contractors and tiers (excluding professional services) regardless of contract amount must be listed on the Subcontractor List and must adhere to the Public Works Minimum Wage Act.
- Ninety days after project completion please go into the PWAA system and close the project.
 Only Contracting Agencies are allowed to close the project. Agents or Contractors are not allowed to close projects.

General Contractor

- Provide a complete Subcontractor List and Statements of Intent (SOI) to Pay Prevailing
 Wages for all Contractors, regardless of amount of work, to the Contracting Agency within 3 (three) days of award.
- Ensure that all Subcontractors wishing to bid on a Public Works project have an active Contractor Registration with the Public Works and Apprenticeship Application (PWAA) website: http://www.dws.state.nm.us/pwaa prior to bidding when their bid will exceed \$60,000.
- Submit weekly certified payroll bi-weekly to the Contracting Agency.
- Make certain the Public Works Apprentice and Training Act contributions are paid either to an approved Apprenticeship Program or to the Public Works Apprentice and Training Fund.
- Confirm the Wage Rate poster, provided in PWAA, is displayed at the job site in an easily accessible place.
- Make sure, when a project has been completed, the Affidavits of Wages Paid (AWP) are sent to the Contracting Agency.



STATE OF NEW MEXICO NEW MEXICO DEPARTMENT OF WORKFORCE SOLUTIONS Labor Relations Division 121 Tijeras Ave NE, Suite 3000 Albuquerque, NM 87102 www.dws.state.nm.us

 All Subcontractors and tiers (excluding professional services) regardless of contract amount must be listed on the Subcontractor List and must adhere to the Public Works Minimum Wage Act.

Subcontractor

- Ensure that all Subcontractors wishing to bid on a Public Works project have an active
 Contractor Registration with the Public Works and Apprenticeship Application (PWAA)
 website: http://www.dws.state.nm.us/pwaa prior to bidding when their bid will exceed
 \$60,000.
- Submit weekly certified payroll bi-weekly to the General Contractor(s).
- Make certain the Public Works Apprentice and Training Act contributions are paid either to an approved Apprenticeship Program or to the Public Works Apprentice and Training Fund.
- All Subcontractors and tiers (excluding professional services) regardless of contract amount must be listed on the Subcontractor List and must adhere to the Public Works Minimum Wage Act.

Additional Information

Reference material and forms may be found at New Mexico Department of Workforce Solutions Public Works web pages at: https://www.dws.state.nm.us/Labor-Relations/Labor-Information/Public-Works.

CONTACT INFORMATION

Contact the Labor Relations Division for any questions relating to Public Works projects by email at public.works@dws.nm.gov or call (505) 841-4400.



2023 SUBSISTENCE, ZONE AND INCENTIVE PAY RATES

All contractors are required to pay subsistence, zone, and incentive pay according to the particular trade

Asbestos workers or heat and frost insulators

- (1) Zone 1 shall consist of the area lying within the city limits of a circle whose radius is 66 miles from the city hall in Albuquerque or the city hall in El Paso \$0.00 per day.
- Zone 2 shall consist of Los Alamos county \$40.00 per day if not furnished a company owned vehicle.
- (3) Zone 3 shall consist of the area lying beyond a circle whose radius is over 66 miles from the city hall in Albuquerque or the city hall in El Paso \$85.00 per day.

Boilermakers/Blacksmiths

- (1) Per diem is calculated from city hall of the dispatch city or the employee's home address, whichever is closer to the job location,
- (2) Per diem is \$55.00 per day for travel between 70 and 120 miles and \$85.00 per day for travel over 120 miles.

Bricklayers

- (1) Between 70 and 120 miles, \$55.00 per day
- (2) 121 or more miles, \$70.00 per day

Cement Masons

- (1) For employees who travel to Santa Fe from Albuquerque or vice versa, \$20.00 per day.
- In all other work performed more than 50 miles from the employer's main office, \$50.00 per day.
- (3) Mutually agreed-upon lodging or transportation paid for by the employer will substitute for subsistence pay.

Drywall Finishers and Tapers

- (1) \$40.00 per day (\$5.00 per hour for eight hours work) for over 60 miles over the most typically traveled route, or other mutually agreed upon suitable lodging or transportation.
- If an employee has worked the full week on four 10-hour days, the employee shall be paid the full week of per diem of \$200.00.
- (3) Special provision for Santa Fe and Albuquerque: Employees who travel between Santa Fe and Albuquerque will be paid \$15.00 per day or other mutually agreed upon lodging or transportation.



Electricians (inside classifications)

- (1) For Albuquerque only:
 - (a) Zone 1 is classified as being within 40 miles from the main post office.
 - (b) Zone 2 shall extend up to 10 miles beyond zone 1. Work performed within zone 2 shall be compensated nine percent above the journeyman rate for zone 1.
 - (c) Zone 3 shall extend up to 20 miles beyond zone 1. Work performed within zone 3 shall be compensated fifteen percent above the journeyman rate for zone 1.
 - (d) Zone 4 shall extend 20 miles or more beyond zone 1. Work performed within zone 4 shall be compensated twenty six percent above the journeyman rate for zone 1.
- (2) For Los Alamos County only: work performed within the county shall be compensated fifteen percent above the zone 1 journeyman rate.
- (3) For all other counties:
 - (a) Zone 1 is:
 - (i) within six miles from the main post office for Raton, Tucumcari, and Farmington.
 - (ii) within eight miles from the main post office for Las Vegas.
 - (iii) within ten miles from the main post office for Santa Fe and Gallup.
 - (iv) within twelve miles from the main post office for Belen, Carrizozo, Clovis, Los Lunas, Portales, Roswell, Ruidoso, Artesia, Carlsbad, Hobbs, and Lovington.
 - (v) within fourteen miles from the main post office for Espanola.
 - (b) Zone 2 shall extend up to 20 miles beyond zone 1. Work performed within zone 2 shall be compensated nine percent above the journeyman rate for zone 1.
 - (c) Zone 3 shall extend up to 30 miles from zone 1. Work performed within zone 3 shall be compensated fifteen percent above the journeyman rate for zone 1.
 - (d) Zone 4 shall extend beyond 30 miles from zone 1. Work performed within zone 4 shall be compensated twenty six percent above the journeyman rate for zone 1.
- (4) When workers are ordered to report to the shop and then to the job and from job to job, and return to the shop, they shall be paid for the time spent traveling and shall be furnished transportation by the Employer. Under these conditions the Zone 1 rate and any applicable overtime will be paid.

Electricians (outside classification)

Zone 2: \$50.00 per diem to be paid for work 30 miles outside of Santa Fe and 60 miles outside of Albuquerque. No per diem in Los Alamos county.



Glaziers

- (1) When out-of-town travel is required, the employer shall pay the employee for suitable lodging with no more than two people per room and \$20.00 per night for food.
- Employees required to use a personal vehicle for travel to a jobsite beyond a 30 mile radius of the main post office in town where the employer's shop is located shall be compensated at the current Internal Revenue Service (IRS) rate for actual mileage incurred beyond the 30 mile radius, plus their regular rate of pay for travel time.

Ironworkers

- (1) Travel more than 50 miles from the interchange of Interstate 40 and Interstate 25 or from the employee's home should be paid at \$9.00 per hour.
- (2) If travel is within Santa Fe county, travel time shall be paid at \$3.00 per hour.

Laborers

- (1) Type A:
 - (a) Work travel between 50 and 85 miles from the employer's primary address should be compensated at \$3.50 per hour.
 - (b) Work travel 86 miles or greater from the employer's primary address should be compensated at \$5.00 per hour.
- (2) Types B and C:
 - (a) Work travel under 50 miles is a "free zone";
 - **(b)** The municipal limit of the city of Santa Fe is \$30.00 per day;
 - (c) Work travel between 50 and 75 miles from the union hall to include the municipal limits of Estancia, Grants, and Socorro is \$40.00 per day.
 - (d) All work over 75 miles from the union hall is \$50.00 per day.
- (3) Type H no zone subsistence pay:
- (4) If an employer provides the employee transportation and mutually agreeable, suitable lodging in areas where overnight stays are necessary, subsistence rates do not apply.

Millwrights

- (1) Work travel between 76 and 150 miles should be compensated at \$50.00 per day.
- (2) Work travel greater than 150 miles should be compensated at \$75.00 per day.



Operating Engineers

- (1) Type A operators should be compensated for zone and subsistence as follows:
 - (a) Work travel between 50 and 85 miles from the interchange of Interstate 25 and Interstate 40 in Albuquerque, or from the Farmington City Hall in Farmington, should be compensated at \$2.50 per hour.
 - (b) Work travel 86 miles or more from the interchange of Interstate 25 and Interstate 40 in Albuquerque or from the Farmington City Hall in Farmington, should be compensated at \$4.00 per hour.
- (2) Type B and C operators:
 - (a) Base points for operators are 30 miles and beyond:
 - (i) Bernalillo county courthouse in Albuquerque;
 - (ii) State capital building in Santa Fe;
 - (iii) City hall in Farmington.
 - **(b)** Zone and subsistence for Albuquerque and Santa Fe are as follows:
 - (i) work travel between 30 and 50 miles from the base point compensated at \$20.00 per day;
 - (ii) work travel between 51 and 100 miles from the base point compensated at \$45.00 per day;
 - (iii) work travel over 100 miles from the base point that involves an overnight stay compensated at \$75.00 per day.
 - (c) Zone and subsistence for Los Alamos county, \$50.00 per day.
 - (d) Zone and subsistence for Farmington is as follows:
 - (i) work travel between 35 and 75 miles from the base point compensated at \$45. 00 per day,
 - (ii) work travel over 100 miles from the base point compensated at \$75.00 per day.
 - (e) If an employer provides the employee transportation and mutually agreeable, suitable lodging in areas where overnight stays are necessary, subsistence rates do not apply.
- (3) Type H operators are not eligible for zone and subsistence pay.

Painters

- (1) Zone 1: Base pay for an area within a 30 mile radius from the main post office in the city or town where the employee permanently resides. Albuquerque, Santa Fe, and Belen shall be considered Zone I.
- (2) Zone 2: Work travel between 30 and 75 miles from the main post office in the town where an employee permanently resides shall be compensated at \$1.00 per hour above base pay.
- (3) Zone 3: Work travel 75 miles or more from the main post office in the town where an employee permanently resides shall be compensated at \$2.50 per hour above base pay.



- When the employee is required to stay overnight, the employer should provide and pay for suitable lodging.
- (5) Employer will furnish transportation or gasoline for all work performed beyond the 30 mile radius that encompasses the free cities of Albuquerque, Santa Fe or Belen.

Paper hangers

- (1) Zone 1: Base pay for an area within a 30 mile radius from the main post office in the city or town where the employee permanently resides. Albuquerque, Santa Fe, and Belen shall be considered Zone I.
- (2) Zone 2: Work travel between 30 and 75 miles from the main post office in the town where an employee permanently resides shall be compensated at \$1.00 per hour above base pay.
- (3) Zone 3: Work travel 75 miles or more from the main post office in the town where an employee permanently resides shall be compensated at \$2.50 per hour above base pay.
- (4) When the employee is required to stay overnight, the employer should provide and pay for suitable lodging.
- (5) Employer will furnish transportation or gasoline for all work performed beyond the 30 mile radius that encompasses the free cities of Albuquerque, Santa Fe or Belen.

Plasterers

- (1) Employees who travel from Albuquerque to Santa Fe should be compensated at \$20.00 per day.
- (2) Except for employees who travel from Santa Fe to Albuquerque, work travel 75 miles or more from the employer's office over the most typically traveled route should be compensated at \$5.00 per hour and capped at \$40.00 per day.

Plumbers and pipefitters

- (1) Work travel for 90 or more miles from an employee's primary residence, and involving an overnight stay, should be compensated at \$80.00 per day.
- (2) No zone or subsistence pay is required should the employer elect to cover the room cost.
- (3) Los Alamos county workers receive \$0.80 per hour incentive pay plus base and fringe.

Roofers

Work travel requiring an overnight stay should be compensated at \$35.00 per day for food. Employer should provide and pay for a suitable hotel. When employees are assigned to jobs located 60 or more miles from the employer's place of business, transportation to and from the job site must be provided.



Sheet metal workers

- (1) Work travel 90 miles or more from contractor's home base and employee's home, should be paid at \$80.00 per day subsistence pay plus base and fringe, regardless of county.
- (2) Los Alamos county: \$2.00 per hour incentive pay plus base and fringe.
- Workers living 60 or more miles from a San Juan county job site receive \$3.00 per hour subsistence pay plus base and fringe.

Soft floor layer

- (1) Zone 1: Base pay for an area within a 30 mile radius from the main post office in the city or town where the employee permanently resides. Albuquerque, Santa Fe, and Belen shall be considered Zone I.
- Zone 2: Work travel between 30 and 75 miles from the main post office in the town where an employee permanently resides shall be compensated at \$1.00 per hour above base pay.
- (3) Zone 3: Work travel 75 miles or more from the main post office in the town where an employee permanently resides shall be compensated at \$3.13 per hour above base pay.
- (4) Employer will furnish transportation or gasoline for all work performed beyond the 30 mile radius that encompasses the free cities of Albuquerque, Santa Fe or Belen.
- (5) When the employee is directed to report to a job site and the distance to the job site requires the employee to stay out of town overnight, the employer shall provide housing arrangements for the affected employees.

Sprinkler fitters

- (1) Work travel between 60 and 80 miles from the employee's primary residence should be compensated at \$22.00 per day.
- Work travel between 81 and 100 miles from the employee's primary residence should be compensated at \$32.00 per day.
- Work travel of 101 miles or more from the employee's primary residence should be compensated at \$120.00 per day.
- (4) No zone or subsistence pay shall be paid when the employer provides daily transportation and the employee elects to travel back and forth from home.



TYPE "A" – STREET, HIGHWAY, UTILITY & LIGHT ENGINEERING Effective January 1, 2023

| Trade Classification | Base Rate | Fringe Rate |
|---|-----------|-------------|
| Bricklayer/Block layer/Stonemason | 24.46 | 8.81 |
| Carpenter/Lather | 27.73 | 12.14 |
| Carpenter- Los Alamos County | 33.18 | 13.58 |
| Cement Mason | 18.24 | 7.61 |
| Drywall Finisher/Taper | 25.82 | 8.40 |
| Glazier | | |
| Glazier/Fabricator | 21.25 | 6.70 |
| Delivery Driver | 12.00 | 6.70 |
| Ironworker | 28.05 | 18.30 |
| Painter- Commercial | 18.25 | 8.50 |
| Paper Hanger | 18.25 | 8.50 |
| Plumber/Pipefitter | 38.63 | 14.55 |
| Electricians- Outside Classifications: Zone 1 | | |
| Ground man | 25.43 | 11.76 |
| Equipment Operator | 36.48 | 16.09 |
| Lineman | 46.09 | 18.52 |
| Journeyman technician | 42.92 | 17.73 |
| Cable Splicer | 47.22 | 18.81 |
| Electricians-Outside Classifications: Zone 2 | | |
| Ground man | 25.43 | 11.76 |
| Equipment Operator | 36.48 | 16.09 |
| Lineman | 46.09 | 18.52 |
| Journeyman technician | 42.92 | 17.73 |
| Cable Splicer | 47.22 | 18.81 |
| Electricians-Outside Classifications: Los Alamos county | | |
| Ground man | 26.15 | 11.78 |
| Equipment Operator | 37.54 | 16.13 |

| Lineman | 47.29 | 18.82 |
|------------------------|-------|-------|
| Journeyman technician | 44.15 | 18.04 |
| Cable Splicer | 51.93 | 19.98 |
| Laborers | | |
| Group I – unskilled | 15.99 | 7.11 |
| Group II – semiskilled | 15.99 | 7.11 |
| Group III – skilled | 17.49 | 7.11 |
| Group IV – specialty | 17.99 | 7.11 |
| Operators | | |
| Group I | 21.35 | 6.74 |
| Group II | 22.38 | 6.74 |
| Group III | 22.49 | 6.74 |
| Group IV | 22.62 | 6.74 |
| Group V | 22.73 | 6.74 |
| Group VI | 22.94 | 6.74 |
| Group VII | 23.12 | 6.74 |
| Group VIII | 23.45 | 6.74 |
| Group IX | 31.96 | 6.74 |
| Group X | 35.65 | 6.74 |
| Soft Floor Layers | 21.00 | 8.45 |
| Truck Drivers | | |
| Group I-IX | 19.00 | 9.10 |

For more information about the Subsistence, Zone, and Incentive Pay rates, or to file a wage claim, contact the Labor Relations Division at (505) 841-4400 or visit us online at www.dws.state.nm.us.

TECHNICAL SPECIFICATIONS

TECHNICAL SPECIFICATIONS

NEW MEXICO DEPARTMENT OF TRANSPORTATION 2019 EDITION (CURRENT EDITION) OF THE STANDARD SPECIFICATIONS FOR HIGHWAY AND BRIDGE CONSTRUCTION SHALL GOVERN THIS PROJECT.

THE FOLLOWING NOTICE TO CONTRACTORS, NMDOT 2019 EDITION STANDARD SPECIFICATIONS FOR HIGHWAYS AND BRIDGE CONSTRUCT/ON AND OWNERS SPECIAL PROVISIONS SHALL SUPPLEMENT THE ABOVE SPECIFICATION. WHERE BOTH NMDOT AND OWNERS SPECIAL PROVISIONS ARE USED AND ARE IN CONFLICT WITH EACH OTHER, THE OWNERS SPECIAL PROVISIONS SHALL GOVERN.

OWNERS SPECIAL PROVISIONS MODIFYING SECTIONS:

| Section 416 | 01/21/2021 | Minor Paving | |
|-------------|------------|--------------------------------------|--|
| Section 423 | 05/28/2019 | Hot Mix Asphalt (HMA) (MAJOR PAVING) | |
| Section 701 | 05/10/2021 | Traffic Signs and Sign Structures | |
| Section 702 | 12/01/2022 | Construction Traffic Control Devices | |
| Section 704 | 08/07/2020 | Pavement Markings | |
| Section 902 | 01/11/2019 | Quality Control | |
| Section 905 | 01/21/2021 | Quality Assurance for Minor Paving | |
| Section 906 | 11/05/2019 | Minimum Testing Requirements (MTR'S) | |

SPECIAL PROVISIONS MODIFYING SECTION 416: MINOR PAVING

The 2019 Edition of the New Mexico Department of Transportation Standard Specifications for Highway and Bridge Construction shall apply in addition to the following:

Delete **Section 416: MINOR PAVING** in its entirety and replace with the following:

416.1 DESCRIPTION

This Work consists of constructing one (1) or more pavement courses of Hot Mix Asphalt (HMA) or Warm Mix Asphalt (WMA) on a prepared subgrade, aggregate base course or milled surface.

416.2 MATERIALS

The Contractor shall use Materials for Minor Paving in accordance with Section 423.2, "Materials".

Minor Paving shall be classified as one of the following:

1. Minor Paving Type I. Consists of Minor Paving that can be placed within the Roadway Prism that is of sufficient size or area to reasonably allow the Material to be placed with Equipment in accordance with Sections 423.3.4.3, "Pavers" and 423.3.4.4, "Compaction Equipment".

Minor Paving Type I includes the following:

- 1.1 Mainline paving;
- 1.2 Auxiliary lanes;
- 1.3 Holding lanes; and
- 1.4 Shoulders.
- 2. Minor Paving Type II. Consists of Minor Paving placed outside the Roadway Prism or in areas within the Roadway Prism that would not allow for the Material to be placed with Equipment in accordance with Sections 423.3.4.3, "Pavers" and 423.3.4.4, "Compaction Equipment".

Examples of Minor Paving Type II include the following:

- 2.1 Driveways:
- 2.2 Turnouts;
- 2.3 Official use crossings;
- 2.4 Widenings less than ten (10) feet in width;
- 2.5 Utility crossings;
- 2.6 ADA Improvements; and
- 2.7 All other items not listed in Minor Paving Type I.

416.3 CONSTRUCTION REQUIREMENTS

The Contractor shall perform Minor Paving in accordance with the following 423 Sections or the correlating 424 Sections:

Section 416: Minor Paving 416-1

- 1. Section 423.3.1 or Section 424.3.1, "General";
- 2. Section 423.3.2 or Section 424.3.2, "Mix and Laydown Temperature Requirements" or "Mix Temperature Requirements", respectively;
- 3. Section 423.3.3 or Section 424.3.3, "Addition of Mineral Admixtures";
- 4. Section 423.3.4 or Section 424.3.4, "Equipment"; and
- 5. Section 423.3.5 or Section 424.3.5, "Placement Operations" excluding 423.3.5.7, "Test Strip & Shakedown Period".

No referee testing will be required for Minor Paving, but may be used if both parties agree in writing at the Pre-Pave Conference. If used, referee testing will be done in accordance with Section 423.3.7, "Dispute Resolution" or Section 424.3.7, "Dispute Resolution".

416.3.1 Sampling and Testing

416.3.1.1 Contractor Quality Control

The Contractor shall provide quality control measures in accordance with Section 902, "Quality Control".

The Contractor shall identify the proposed lot size in the Quality Control Plan for approval by the Project Manager.

416.3.1.2 Department Quality Assurance

The Department will provide quality assurance measures in accordance with Section 905, "Quality Assurance for Minor Paving".

416.3.1.2.1 Acceptance

The Department will Accept Materials in accordance with Section 905.1.3., "Acceptance".

416.3.1.3 Independent Assurance Testing

The Department will perform Independent Assurance sampling and testing in accordance with Section 906, "Minimum Testing Requirements (MTR's)".

416.4 METHOD OF MEASUREMENT

If the Department measures by the square yard, the Department will measure Minor Paving using the dimensions shown in the Contract or approved field measurements.

416.5 BASIS OF PAYMENT

Day Itam

The Department will adjust payment for Minor Paving in accordance with Section 905, "Quality Assurance for Minor Paving".

Pay Unit

| i ay oint |
|--------------------|
| Ton or Square Yard |
| |

Section 416: Minor Paving 416-2

416.5.1 Price Adjustments

The Department will pay for Accepted quantities of Minor Paving at the Bid Item Unit Price, adjusted in accordance with Section 905.1.4, "Pay Factor Determination".

416.5.2 Work Included in Payment

The Department will consider as included in the payment for the pay item(s) listed in this section and will not measure or pay separately for the following Work:

- 1. Asphalt binder, anti-strip, aggregate, blending sand, mineral filler, mineral admixture, and WMA additive or process as appropriate;
- 2. Mixing, hauling, placement, and compaction of HMA or WMA;
- 3. Providing Mix Design in accordance with Section 423.2.8 or Section 424.2.8, "Mix Design";
- 4. Quality Control in accordance with Section 902, "Quality Control;"
- 5. Providing and transporting all cores; and
- 6. Providing storage container for samples and cores if referee testing is used.

Section 416: Minor Paving 416-3

SPECIAL PROVISIONS MODIFYING SECTION 423: HOT MIX ASPHALT (HMA) (MAJOR PAVING)

The 2019 Edition of the New Mexico Department of Transportation Standard Specifications for Highway and Bridge Construction shall apply in addition to the following:

Delete **Section 423.2.7: Reclaimed Asphalt Pavement (RAP)** in its entirety and replace with the following:

Unless otherwise specified in the Contract, the Contractor may use RAP removed under the Contract consisting of salvaged, milled, pulverized, broken, or crushed asphalt pavement. The Contractor may use RAP produced from outside sources provided the following is met: after the Contractor obtains sufficient quantities of RAP aggregate samples in accordance with AASHTO T 308; the Department will Accept RAP for which the coarse aggregate has a percent wear of 40.0 or less, at 500 revolutions, when tested in accordance with AASHTO T 96. The Contractor shall provide plus No. 4 RAP Material with a minimum of 75% Fractured Faces content (one (1) face). The Department will make no additional payment for the asphalt binder in the RAP or asphalt binder due to asphalt binder grade adjustment.

In the event the Contractor elects to use up to 15% RAP (by weight) or is specified as a maximum of 15% RAP by the Contract (by weight) in the production of HMA mixtures, the Contractor shall use the PG grade asphalt binder specified in the Contract.

For quantities greater than 15% and up to 25% RAP, the Contractor shall:

- 1. Either lower the asphalt binder's high and low temperature grades by one (1) grade (e.g. lower a PG 76-22 to a PG 70-28); or
- 2. Extract, recover, and combine the RAP's asphalt binder with a virgin asphalt binder per AASHTO M 323, Appendix A, ensuring the resultant binder meets the entire AASHTO M 320 (excluding direct tension) required Project PG asphalt binder properties indicated on the approved mix design.

For quantities greater than 25% and up to 35% RAP, the Contractor shall:

- 1. Extract, recover, and combine the RAP's asphalt binder with a virgin asphalt binder per AASH-TO M 323, Appendix A; and
- 2. Ensure the resultant binder meets the entire AASHTO M 320 (excluding direct tension) required Project PG asphalt binder properties indicated on the approved mix design.

The Department will not allow the Contractor to use more than 35% RAP in the production of HMA mixtures.

For Projects of entirely new construction, the Contractor shall:

- 1. Limit the RAP to 15% in the top mat or extract, recover and combine the RAP's asphalt binder with a virgin asphalt binder per AASHTO M323, Appendix A; and
- 2. Ensure the resultant binder meets the entire AASHTO M320 (excluding direct tension) required Project PG asphalt binder properties indicated on the approved mix design.

If Plus Grades of PG asphalt binder is specified on the project, for quantities greater than 15% RAP, the Contractor shall extract, recover, and combine the RAP's asphalt binder with a virgin asphalt binder per AASHTO M 323, Appendix A. The Contactor shall ensure the resultant binder meets the entire AASHTO M 320 required Project PG asphalt binder properties indicated on the approved mix design including the additional Plus Grade requirements for Elastic Recovery and Solubility.

The Contractor shall:

- 1. Process RAP so that 100% passes a 1-1/2-inch sieve;
- 2. Maintain adequate stockpile management (i.e. sufficient quantities and shaping of the stockpiles);
- 3. Address in the Quality Control Plan how RAP will be controlled, such as which screen will be used to split into two (2) stockpiles, or by what method the RAP will be controlled to keep the resultant mix within Acceptable limits;
- 4. Account for the weight of the binder in the RAP when batching aggregates;
- 5. Provide RAP that is free of Deleterious Materials: and
- 6. Perform process control testing in accordance with Section 902, "Quality Control" requirements as RAP is produced and prepared for inclusion in the HMA.

If problems with HMA consistency or compliance with Project Specifications occur, additional efforts taken to achieve Acceptable levels of consistency and compliance with Contract Specifications, at the Contractor's discretion (at no additional cost to the Department), include, but are not limited to:

- 1. Reduce the top size of the RAP from 1-1/2 inch to one (1) inch;
- 2. Fractionate the aggregates on a second screen, such as the 3/8 inch or ¼ inch Screen so that the RAP is maintained in three (3) stockpiles, one being RAP larger than 1-1/2 inch to two (2) inches, Coarse RAP and the third being Fine RAP;
- 3. Ensure that the RAP used in the HMA mix design is representative of the RAP available on the Project;
- 4. Cover the RAP pile(s) so that ambient moisture is not absorbed; and
- 5. Process and maintain the stockpiles so that the RAP Material is equally and uniformly distributed throughout the entire stockpile(s) and is withdrawn such that uniform, non-segregated RAP is delivered to the hoppers.

SPECIAL PROVISIONS MODIFYING SECTION 701: TRAFFIC SIGNS AND SIGN STRUCTURES

The 2019 Edition of the New Mexico Department of Transportation Standard Specifications for Highway and Bridge Construction shall apply in addition to the following:

Delete the first paragraph of **Section 701.2.4.6**: **Inspection** and replace with the following:

Prior to fabrication, the Contractor shall provide the Project Manager all signing information, including text, for review and approval. The Department will inspect Material and finished signs before and after installation at the Project site.

Add the following to Section 701.3.5 Removing and Resetting Traffic Signs:

701.3.5.2 Removing and Resetting Overhead Sign Structures

The Contractor shall remove existing overhead sign structures, I-beam posts and footings. The Contractor shall stockpile removed overhead sign structures and I-beam posts at locations specified in the Contract. The Contractor shall reset removed overhead sign structures on new I-beam posts with new hardware and drilled shaft foundations. The Contractor shall design new hardware for existing conditions in accordance with the manufacturer's recommendations and as specified in the Contract. The Contractor shall dispose of footing Material in an environmentally Acceptable manner.

The Contractor shall backfill holes left by the removal of overhead sign structure foundations and compact in accordance with Section 203, "Excavation, Borrow and Embankment".

Polyurethane Material shall be injected into the soils beneath the roadway structure through drilled holes at locations and depths as directed by the Project Manager.

Add the following Pay Item to **Section 701.5 Basis of Payment:**

Pay ItemPay UnitRemove and Reset Overhead Sign StructureEach

Add the following to **Section 701.5.1 Work Included in Payment**:

8. Polyurethane Material as displayed by the certified flow meters and dynamic cone penetration (DCP) testing.

Section 701: Traffic Signs and Sign Structures

SPECIAL PROVISIONS MODIFYING SECTION 702: CONSTRUCTION TRAFFIC CONTROL DEVICES

The 2019 Edition of the New Mexico Department of Transportation Standard Specifications for Highway and Bridge Construction shall apply in addition to the following:

Add the following to **Section 702.2: MATERIALS:**

702.2.6 Temporary Portable Rumble Strips

Temporary Portable Rumble Strips (TPRS) shall be composed of three (3) segments that when interlocked provide an 11-foot width. The Contractor shall provide TPRS that meet the following:

- 1. The entire TPRS shall be black, white, or orange in color, but shall not be used in combination within an array. An array consists of three (3) TPRS;
- 2. Constructed from a polymer or other similar, durable Material;
- 3. Requires no adhesives or anchors for installation;
- 4. Each TPRS shall weigh at least 100 lbs;
- 5. The height of each TPRS will be no greater than 3/4 inch;
- 6. The TPRS shall be a minimum of ten (10) inches wide;
- 7. No assembly shall be required prior to or during deployment;
- 8. Performance will be rated to withstand surface temperatures of 0 180°F and shall maintain integrity while being deployed, used and removed;
- 9. Integrated, ergonomic handles on both ends of the TPRS:
- 10. Grooved designs on the bottom to prevent hydroplaning;
- 11. Raised designs on the top, with leading and trailing beveled edges to facilitate the safe traversing of motorcycles;
- 12. Durable orientation indicators to prevent improper deployment;
- 13. Flexible along the length of the TPRS to conform to the road surface;
- 14. Hinged at the midpoint of the TPRS for ease of installation:
- 15. Withstand vehicles with a maximum weight of 80,000 pounds and retain original placement with minimal movement such that performance is not compromised;
- 16. Function on roads with posted speed limits up to 80 mph; and resist movement such that performance is not compromised; and
- 17. Manufacturer's warranty of at least three (3) years.

Add the following to **Section 702.3.1: General**

702.3.1.3 Temporary Portable Rumble Strips

The Contractor shall install TPRS in accordance with the manufacturer's recommendations.

The Contractor shall clean the roadway to ensure that there is no dust, sand or any other materials that may cause slippage prior to placement of the TPRS.

The Contractor shall install the TPRS arranged in an array, as indicated on the Plans or as directed by the Project Manager. The TPRS array shall consist of three (3) TPRS placed perpendicular to the centerline and parallel to one another. The spacing of TPRS in each array shall be in accordance with Table 702.3.1.3:1, "TPRS Array Spacing". The Contractor shall regularly monitor and maintain TPRS to ensure proper placement under traffic.

Table 702.3.1.3:1
TPRS Array Spacing

| Posted Speed | Spacing |
|--------------|----------|
| Up to 40 mph | 10 feet |
| 41-55 mph | 15 feet |
| 56-64 mph | 20 feet |
| 65+ mph | 35 feet+ |

The Contractor shall install the TPRS array using the manufacturer designed TPRS Deployment and Transport Device (DTD) or TPRS Rapid Deployment and Transport Device (RDTD).

702.3.1.3.1 Deployment Transport Device and Rapid Deployment and Transport Device

The Contractor shall use a TPRS DTD that meets the following requirements:

- 1. Carry and support at least six (6) folded TPRS or 600 lbs;
- 2. Weigh no more than 150 lbs. when empty;
- 3. Designed with roller bearings to facilitate ease of deployment and retrieval;
- 4. Designed with capability of being attached/detached from the vehicle using a forklift; and
- 5. Designed with collapsible guide markers for visual conspicuity.

The Contractor shall use a TPRS RDTD that meets the following requirements:

1. Allow deployment, realignment, and retrieval of strips without workers leaving the vehicle.

The TPRS DTD and RDTD shall be designed to transport TPRS in alignment with deployment orientation and to facilitate deployment of TPRS directly onto the road surface.

The Contractor shall install the TPRS using methods that maximize the efficiency of installation, relocation, or removal without impacting the safety of the traveling public or the workers.

The Contractor shall install the TPRS at the beginning of operations in accordance with the following guidelines:

- 1. The TPRS shall be installed perpendicular to the longitudinal pavement markings;
- 2. The TPRS shall be positioned across the entire travel lane but not intrude into the opposing travel lane. It may be necessary to extend the TPRS onto the shoulder;
- 3. TPRS shall be installed in accordance with the permanent orientation indicators on the TPRS, to ensure proper deployment;
- 4. Unless otherwise indicated on the plans, TPRS shall remain for Work duration; and
- 5. Removal of TPRS shall coincide with the removal of the Rumble Strips advanced warning sign(s) using the TPRS DTD or RDTD.

The Contractor shall install TPRS as follows:

- 1. On intermediate and long-term stationary operations when crews are present; and
- 2. In advance of horizontal curves.

The Contactor may use TPRS in accordance with the following conditions:

- 1. Short-term stationary, intermediate-term stationary, and long-term stationary Work consisting of the following:
 - 1.1 Lane closures, lane shifts, shoulder Work;
 - 1.2 Shoulder Work with minor encroachments, flagging operations, or one-lane two-way applications;
- 2. Work duration occupies a location for one (1) or more hours; and
- 3. Posted speed limit is 25 mph or greater.

Temporary Portable Rumble Strips will be measured by each 11-foot width.

Add the following to Section 702.5: BASIS OF PAYMENT

Pay ItemPay UnitTemporary Portable Rumble StripsEach

SPECIAL PROVISIONS MODIFYING SECTION 704: PAVEMENT MARKINGS

The 2019 Edition of the New Mexico Department of Transportation Standard Specifications for Highway and Bridge Construction shall apply in addition to the following:

Delete the first paragraph of **Section 704.3.5**: **Rates and Tolerances for Painted Markings** and replace with the following:

The Contractor shall apply paint at a rate of 22 to 25 wet mills, (25.15 gal per mile of paint for a solid four (4) inch line and 6.31 gal per mile for a broken four (4) inch line) for permanent markings. The Contractor shall apply other permanent striping widths at appropriate multiples of these gal per mile rates, achieving 22 to 25 wet mills for solid and broken stripes.

Add the following to **Section 704.3.6: Dimension Tolerances**:

The Contractor shall contrast striping and symbols on concrete pavement with a black outline a minimum of two (2) inches in all directions.

Delete the second paragraph of **Section 704.4: Method of Measurement** and replace with the following:

The Department will measure permanent and temporary Retroreflectorized Painted Markings for four (4) inch, six (6) inch, eight (8) inch, 12 inch, and 24 inch widths using inch width to calculate a total length. Legends, symbols and specialty markings will be paid by each.

Delete the Pay Items from **Section 704.5: Basis of Payment** and replace with the following:

| Pay Item | Unit |
|---|------|
| Retroreflectorized Painted Markingsinch | Foot |
| Temporary Retroreflectorized Painted Markingsinch | Foot |
| Retroreflectorized Painted Arrow, Type | Each |
| Retroreflectorized Painted Word () | Each |
| Retroreflectorized Painted Symbol, Type | Each |
| Retroreflectorized Painted Railroad Crossing | Each |

SPECIAL PROVISIONS MODIFYING SECTION 902: QUALITY CONTROL

The 2019 Edition of the New Mexico Department of Transportation Standard Specifications for Highway and Bridge Construction shall apply in addition to the following:

Delete Section 902.10: Basis of Payment in its entirety.

SPECIAL PROVISIONS MODIFYING SECTION 905: QUALITY ASSURANCE FOR MINOR PAVING

The 2019 Edition of the New Mexico Department of Transportation Standard Specifications for Highway and Bridge Construction shall apply in addition to the following:

Delete **Section 905: QUALITY ASSURANCE FOR MINOR PAVING** in its entirety and replace with the following:

905.1 DESCRIPTION

The Department will sample and test Materials for Acceptance unless otherwise specified in the Contract. Department testing is not for Quality Control.

905.1.1 Department Sampling and Testing for Acceptance

Acceptance sampling and testing will be performed by Department representatives, certified by the Department through TTCP in the applicable test procedures. The testing procedures utilized will be in accordance with test methods and modifications as found in the current TTCP Manual(s), AASHTO or Department methods.

The Department will sample and test in accordance with Section 906, "Minimum Testing Requirements (MTR'S)" or at a lesser sublot size for Assurance purposes as determined by the Project Manager before production of Material begins. If Material appears defective, or if the Project Manager determines that a change in the process or product has occurred, additional sampling and testing may occur.

If the Department performs additional informational sampling and testing, the results will be used only to determine if corrective action needs to be taken by the Contractor and will not be used for Pay Factor Determination.

The Department will provide test results to the Contractor within two (2) Working Days after sampling. Any additional testing by the Department will be provided to the Contractor upon written request.

905.1.2 Independent Assurance Testing

TTCP certified independent personnel will perform Independent Assurance testing on split samples from Quality Control and Assurance programs to ensure that the Contractor and Department field personnel are using correct and accurate procedures and the proper Equipment. These personnel will not have direct responsibility for Quality Control or Assurance testing. Independent Assurance Test results will not be used for Acceptance.

905.1.3 Acceptance

The Department will Accept the constructed product based on inspection and Laboratory testing.

The Department will test samples of Minor Paving Type I, HMA/WMA before compaction and on cut pavement samples (cores).

The Department will Accept Minor Paving Type I, the constructed product based on the following criteria:

Minor Paving Type I:

- 1. Laboratory air voids as determined in accordance with AASHTO T312, AASHTO T166 and AASHTO T209:
- 2. Asphalt content as determined by the tank strap method or plant asphalt metering system defined in the Contractor's Quality Control Plan (binder ignition oven calibration samples will not be required);
- 3. Final thickness of the compacted Material as measured from cores in accordance with ASTM D 3549, or other method as approved by the Project Manager; and
- 4. Density of the compacted HMA/WMA as determined in accordance with AASHTO T355 Standard Method of Test for In-Place Density of Asphalt Mixtures by Nuclear Methods. Percent compaction will be calculated using the current average Gmm representing that Day.

The Department will test samples of Minor Paving Type II, HMA/WMA before compaction and on cut pavement samples (cores).

The Department will Accept Minor Paving Type II, the constructed product based on the following criteria:

Minor Paving Type II:

- 1. Final thickness of the compacted Material as measured from cores in accordance with ASTM D 3549, or other method as approved by the Project Manager; and
- Density of the compacted HMA/WMA as determined in accordance with AASHTO T355 Standard Method of Test for In-Place Density of Asphalt Mixtures by Nuclear Methods. Percent compaction will be calculated using the current average Gmm for the lot.

For Minor Paving Type I and Type II, in order to establish a densometer correlation factor, the Contractor shall provide cores from three (3) locations designated by the Project Manager. A new correlation factor can be requested if a change in Materials, conditions, or densometer has occurred or if the accuracy of the established correlation factor is in question.

For Minor Paving Type I and Type II, the Project Manager may reject Material that appears to be defective based on visual inspection.

905.1.4 Pay Factor Determination

The Department will determine component pay factors in accordance with:

- 1. Table 905.1.4:1, "Single Test Pay Factor for Correlated In-Place Density";
- 2. Table 905.1.4:2, "Single Test Pay Factor for Laboratory Air Voids";
- 3. Table 905.1.4:3, "Single Test Pay Factor for Asphalt Content";
- 4. Table 905.1.4:4, "Single Test Pay Factor for Thickness"; and
- 5. Table 905.1.4:5, "HMA/WMA Lift Thickness Requirements."

Table 905.1.4:1
Single Test Pay Factor for Correlated In-Place Density ^a

| Percent Compaction | Pay factor (%) |
|--------------------|----------------|
| > 97.99 | Reject |
| 97.0 – 97.99 | 90 |
| 96.01 – 96.99 | 95 |
| 92.00 – 96.00 | 105 |
| 91.50 – 91.99 | 95 |
| 90.50 – 91.49 | 90 |
| 90.00 - 90.49 | 80 |
| < 90.00 | Reject |

^a Minimum of ten (10) density tests per lot is required.

For Projects consisting of single lift overlays or mill and inlay with a single lift of two and a half inches or less, the Project Manager may grant an exception to the mean density target requirement of at least 94.5% of the theoretical maximum density if the Contractor can demonstrate that a minimum of 92.0% cannot be reasonably obtained because of the existing conditions of the Pavement Structure or Subgrade Materials. The Contractor shall demonstrate this by providing non-destructive density results obtained during paving operations witnessed by a State Inspector at the location in question. If the Project Manager grants this exemption, the Contractor shall construct a Roadway test strip and develop an HMA/WMA compaction process to get the highest possible density based on an approved roller's density gain per pass, in accordance with Section 423.3.4.4, "Compaction Equipment". The Project Manager will approve the process, establish a new target value for density and establish a new Acceptance lot only for the portion of the Project addressed herein before paving begins or continues. Regardless of the number of lifts, a minimum density of 91.0% shall be achieved on all NHS routes.

Table 905.1.4:2
Single Test Pay Factor for Laboratory Air Voids a, b

| Deviation from TV shown on approved JMF | Pay factor (%) |
|---|----------------|
| < ±1.4 | 105 |
| ±1.5 to ±1.6 | 95 |
| ±1.7 to ±1.8 | 85 |
| ±1.9 to ±2.0 | 75 |
| ≥ ±2.0 | Reject |

^aMinimum of three (3) laboratory air void tests per lot is required.

bLaboratory air voids obtained on Minor Paving Type II shall not be used for pay determination.

Table 905.1.4:3
Single Test Pay Factor for Asphalt Content ^a

| Deviation from TV shown on approved JMF | Pay factor (%) |
|---|----------------|
| < ±0.35 | 100 |
| ± 0.36 to ± 0.55 | 90 |
| ≥±0.56 | Reject |

^aMinimum of three (3) asphalt contents per lot is required.

Table 905.1.4:4
Single Test Pay Factor for Thickness

| Negative Deviation from Minimum | Plan |
|-----------------------------------|--------------------------------|
| Thickness | Pay factor (%) |
| Plan Minimum Thickness or Thicker | 100 |
| ≤1/4 inch | 100 |
| > 1/4 inch to 1/2 inch | 90 |
| > 1/2 inch to 3/4 inch | 75 |
| > 3/4 inch to 1.0 inch | 50 |
| > 1.0 inch | Corrective Action ¹ |

¹Corrective action includes removal and replacement, overlay, or other corrective actions approved by the Project Manager. Thin or feathered edge surface patching is not Acceptable. If the Contractor elects to overlay the deficient area(s) the overlay lift thicknesses shall meet the requirements of Table 905.1.4:5, "HMA/WMA Lift Thickness Requirements".

Table 905.1.4:5
HMA/WMA Lift Thickness Requirements

| | THE THE THE THE | 1 to quii o i i o i i o | |
|------------------|-----------------------|-------------------------|--|
| LIMA (MAIMA Trus | Lift Thickness (Inche | s) | |
| HMA/WMA Type | Minimum | Maximum | |
| SP-III | 2.5 | 3.5 | |
| SP-IV | 1.5 | 3.0 | |
| SP-V | 0.75 | 1.5 | |

The Contractor shall remove and replace rejected Material identified as per Table 905.1.4:1, "Single Test Pay Factor for Correlated In-Place Density", Table 905.1.4:2, "Single Test Pay Factor for Laboratory Air Voids" and Table 905.1.4:3, "Single Test Pay Factor for Asphalt Content".

In lieu of removing and replacing rejected Material, if in the best interest of the Department, the Project Manager may allow the Material to remain in place at 50% of the Bid Item Unit Price.

905.1.5 Price Adjustments

The Department will pay for Accepted quantities of Minor Paving by multiplying the Bid Item Unit Price by the composite pay factor determined on a lot by lot basis by:

Determining each component single test pay factor average as determined by:

- 1. Table 905.1.4:1, "Single Test Pay Factor for Correlated In-Place Density";
- 2. Table 905.1.4:2, "Single Test Pay Factor for Laboratory Air Voids";
- 3. Table 905.1.4:3, "Single Test Pay Factor for Asphalt Content";

- 4. Table 905.1.4:4, "Single Test Pay Factor for Thickness"; and
- 5. Table 905.1.4:5, "HMA/WMA Lift Thickness Requirements."

The composite pay factor for each lot will be determined by multiplying the average of each component single test property pay factor and the weighting factors in Table 905.1.5:1, "Weighting Factors Minor Paving Type II".

The maximum pay factor per lot is one (1.0). If the composite pay factor for a lot is greater than one (1.0), the pay factor will be set at one (1.0).

Table 905.1.5:1
Weighting Factors
Minor Paving Type I

| Characteristic | "f" Factor (%) |
|-----------------------------|----------------|
| Correlated In-place Density | 35 |
| Laboratory Air Voids | 35 |
| Asphalt Content | 20 |
| Thickness | 10 |

Table 905.1.5:2 Weighting Factors Minor Paving Type II

| Characteristic | "f" Factor (%) |
|-----------------------------|----------------|
| Correlated In-place Density | 50 |
| Thickness | 50 |

SPECIAL PROVISIONS MODIFYING SECTION 906: MINIMUM TESTING REQUIREMENTS (MTR'S)

The 2019 Edition of the New Mexico Department of Transportation Standard Specifications for Highway and Bridge Construction shall apply in addition to the following:

Delete **Section 906: Minimum Testing Requirements (MTR'S)** in its entirety and replace with the following:

906.1 DESCRIPTION

906.1.1 **General**

This Work consists of Minimum Testing Requirement's (MTR's) for the Department and Contractor which includes construction sampling, tests, and testing frequencies of Materials incorporated into the Work for Acceptance and Quality Control.

906.1.2 Minimum Testing Requirements

Table 906.1.2:1 Earthwork

| Item | | Sampling/ | Agonov | Contractor | Independent Assurance | | State |
|---|---|-------------------------|--|--|------------------------------------|-----------------------|---|
| | Test Required | si Reduired i Testino i | Agency Testing | Testing | Project Approach | System Approach | Materials Bureau |
| Embankment, | In-Place Density and Moisture | Roadway | 1 per 2,000 cy | | 1 per 20,000 | 1 every 3 months with | |
| Unclassified Excavation (Section 203) | Moisture / Density Tests (Proctor), Soils Classification | Stockpile / Roadway | each sampling/ testing technician | N/A | | | |
| Borrow (Section 203.2.1.3) | AASHTO T-190 R-Value, Soils Classification | Borrow Pit | N/A | 1 per 10,000 cy and 1 per material type | N/A | per year to be | domly selected tested by the rials Bureau |
| Road Bed | In-Place Density and Moisture | | 1 per 2,500 sq yd | | 1 per 25,000 sq yd ¹ | 1 overv 3 | |
| Embankment (Section 203.3.5.1) | Moisture/ Density Tests (Proctor), Soils Classification | Roadway | 1 per material type N/A 1 per project ² | 1 every 3 months with each sampling/ testing | N/A | | |
| Foundations / Backfill for | In-Place Density and Moisture | Structure | See Table 906.1.2:2 | N/A | 1 per project | technician | N/A |

Table 906.1.2:1 Earthwork

| | | Sampling/ | Earthwork | Independent | Assurance | State | | |
|---|--|---------------------|------------------------|------------------------|--|--|----------------------------------|--|
| Item | Test Required | Testing Location | Agency Testing | Contractor Testing | Project Approach | System Approach | Materials Bureau | |
| Culverts and Minor Structures (Section 206) | Moisture/ Density Tests (Proctor), Soils Classification | Stockpile | 1 per material type | | | | | |
| | Electrochemical, when specified. | | N/A | 1 per material type | N/A | N/A | Upon Request | |
| Outronde | In-Place Density and Moisture | | 1 per 2,000 sq yd | | 1 per 20,000 sq yd ¹ | | | |
| Subgrade Preparation (Section 207) | Moisture/ Density Tests (Proctor), Soils Classification | Roadway | 1 per material type | N/A | 1 per project ² | | N/A | |
| Linear Grading and Blading | In-Place Density and Moisture | | One per half mile | | 1 per 5 miles | | | |
| and Re- shaping (Sections 208, 209) | Moisture/ Density Tests (Proctor), Soils Classification | Roadway | 1 per material type | N/A | 1 per project ² | 1 every 3 | N/A | |
| | In-Place Density and Moisture | Structure | See Table 906.1.2:2 | N/A | | 1 per 5,000 cy ¹ | months with each sampling/ | |
| Backfill for Major | Gradation, PI | | 1 per 300 cy | | 1 per project ² | testing technician | NI/A | |
| Structures (Section 210) | Moisture/ Density Tests (Proctor), Soils Classification | Stockpile | 1 per material type | | | | N/A | |
| | In-Place Density and Moisture | Dooduus | 1 per 3,000 sq yd | NI/A | 1 per 30,000 sq yd, minimum 1 per project | | NIA | |
| Treated Subgrade (Section 306) | Moisture/ Density Tests (Proctor), Soils Classification | Roadway | 1 per material type | N/A | 1 per project | | N/A | |
| | AASHTO T 290 Sulfate Testing | Borrow Pit | N/A | 1 per 10,000 cy | N/A | N/A | Upon Request | |
| Backfill for Mechanical Stabilized Earth (MSE) Retaining Structures (Section 506) | In-Place Density and Moisture | Structure | See Table 906.1.2:2 | N/A | 1 per 3,000 cy, minimum 1 per project | 1 every 3 months with | | |
| | Moisture/ Density Tests (Proctor) Soils Classification | Stockpile | 1 per material type | 1 per material type | 1 per project | each sampling/ testing technician | N/A | |

Table 906.1.2:1 Earthwork

| | | | Lattiiw | OTK | | | | |
|--|---|------------------------------------|--|-----------------------|---|---|---|-----|
| ltem | Test Required | Sampling/ Testing Location | Agency Testing | Contractor Testing | Independent Project Approach | System Approach | State Materials Bureau | |
| | Gradation, PI | | 1 per 300 cy | | 1 per 3,000 cy, minimum 1 per project | | | |
| | Direct Shear, Electro Chemical | | N/A | | N/A | N/A | Upon Request | |
| Foundations for Slope and Erosion | In-Place Density and Moisture | Structure | 1 per structure | | 1 per project, not required | | | |
| Protection Structures (Section 602) | Moisture / Density Tests (Proctor), Soils Classification | Foundation material location | 1 per material type | N/A | for projects with 4 or less structures ¹ | | N/A | |
| Backfill for Soil | In-Place Density and Moisture | Roadway | 1 per lift | N/A 1 per project | | | | |
| and Drainage Geotextiles (Section 604) | Moisture/ Density Tests (Proctor), Soils Classification | Stockpile | 1 per material type | | 1 per project | | N/A | |
| Backfill for | In-Place Density and Moisture | Roadway | 1 per 1,000 lf | N/A | 1 per 5,000 lf, minimum 1 per project | 1 every 3 months with each sampling/ | | |
| Drains (section 605.3.4) | Moisture/ Density Tests (Proctor), Soils Classification | Stockpile | 1 per material type | | N/A | 1 per project ² | testing technician 1 every 3 months with each | N/A |
| Foundations for Sidewalks, | In-Place Density and Moisture | Roadway | 1 per 150 sq yd | N/A | 1 per 1,500 sq yd ¹ | sampling/ testing technician | | |
| Drive Pads and Concrete Median Paving (Section 608) | Moisture/ Density Tests (Proctor), Soils Classification | Foundation material location | 1 per material type | | N/A | 1 per project ² | tecrinician | N/A |
| Bed Course Material for | In-Place Density and Moisture | Roadway | 1 per 150 sq yd | | 1 per 1,500 sq yd ¹ | | | |
| Sidewalks, Drive Pads and Concrete Median Paving (Section 608) | Moisture/ Density Tests (Proctor), Soils Classification | Stockpile | 1 per material type | N/A | 1 per project ² | | N/A | |
| Foundations for Curb and Gutter (Section 609) | In-Place Density and Moisture | Roadway | 1 per 500 lf or as site locations require | N/A | 1 per 5,000 lf ¹ | | N/A | |

Table 906.1.2:1 Earthwork

| | | Sampling/ | Laitiiw | | Independent | Assurance | State | | |
|--|--|------------------------------------|--|--|---|------------------------------------|--|----------------------------|-----|
| ltem | Test Required | Sampling/ Testing Location | Agency Testing | Contractor Testing | Project Approach | System Approach | Materials Bureau | | |
| | Moisture/ Density Tests (Proctor), Soils Classification | Foundation material location | 1 per material type | | 1 per project ² | | | | |
| Bed Course Material for | In-Place Density and Moisture | Roadway | 1 per 500 lf or as site locations require | NIA | 1 per 5,000 lf ¹ | | N/A | | |
| 609) Tests (| Moisture/ Density Tests (Proctor), Soils Classification | Stockpile | 1 per material type | N/A - | 1 per project ² | | N/A | | |
| Foundations / | In-Place Density and Moisture | Structure | See Table 906.1.2:2 | N/A 1 per project, not required for projects with 4 or less structures N/A 1 per project, mon e sar | | | | | |
| Backfill for Cattle Guards (Section 610) | Moisture/ Density Tests (Proctor), Soils Classification | Stockpile | 1 per material type | | N/A | N/A | not required | 1 every 3 months with each | N/A |
| Bedding | In-Place Density and Moisture | Structure | 1 per structure | | with 4 or less structures ¹ | sampling/ testing technician | | | |
| Material for Cattle Guards (Section 610) | Moisture/ Density Tests (Proctor), Soils Classification | Stockpile | 1 per material type | | | months wit each sampling, | 1 every 3 months with each sampling/ testing | N/A | |
| Foundations / | In-Place Density and Moisture | Structure | See Table A | | | technician | | | |
| Backfill for Drop Inlets and Junction Boxes (Section 623) | Moisture/ Density Tests (Proctor), Soils Classification | Foundation material location | 1 per material type | N/A | | | | N/A | |
| Foundations / | In-Place Density and Moisture | Structure | See Table 906.1.2:2 | | 1 per project | | | | |
| Backfill for Utilities (Section 660) | Moisture/ Density Tests (Proctor), Soils Classification | Foundation material location | 1 per material type | N/A | | | N/A | | |

- 1. IA testing is not required when the Project estimated quantity is half or less than the Acceptance frequency quantity. When an Agency testing frequency is not provided this table will indicate the IA requirement.
- 2. Required if in-place moisture / density IA testing is required.
- 3. In instances where an IA test is not require the PM may request IA test at their discretion.

Table 906.1.2:2 Structure Definitions, Foundation and Backfill Requirements

1) Transverse or skewed culvert or concrete box culvert (CBC), not connected to an underground drainage network, including end sections, wing walls if backfilled simultaneously, structural plate pipe, storm drains

and sewer lines (Note 1):

Foundation: One density per 100 linear feet. For pipe in a battery, up to 4 pipe

may be considered as a unit for purposes of foundation density.

Backfill Density: 1 per 2 foot of fill per side* and to top of trench per 100 linear feet (Note 2).

* For a battery of pipes, the number of backfill densities required will be as follows:

One-Half (1/2) of the required densities for up to 4 pipes. One-Third (1/3) of the required densities for more than 4 pipes.

2) End section or CBC wing wall if backfilled separately from culvert pipe or CBC (Note 2):

Backfill Density: 1 per 2 foot of fill per side.

3) Drop inlet (D.I.), junction box, cattle guard, light and signal base, manhole, etc.:

Foundation: 1 per structure.

Backfill Density: 1 per 2 foot of fill.

4) Underground drainage network including interruptions such as D.I., manhole, junction box, plug service connection, slotted drain, etc., if backfilled simultaneously:

Foundation: One per 100 linear feet.

Backfill Density: 1 per 2 foot of fill per side and to top of trench per 100 linear feet (Note 2).

5) Retaining wall / MSE wall:

Foundation: One foundations density per 100 linear feet.

Backfill Density: 1 per 2 foot of fill per 100 linear feet.

6) Bridge abutment back wall, wing wall or approach slab:

Backfill Density: 1 per 6 inches of fill.

7) Pier footing:

Foundation: 1 per footing.

Backfill Density: 1 per 6 inches of fill.

8) Waterlines, electrical conduit, telephone cable or gas line, etc., within roadway prism (traveled area and shoulder) if trench width sufficient for density testing:

Foundation: One per 100 linear feet.

Backfill Density: 1 per 2 foot of fill per 100 linear feet.

9) Waterline, electrical conduit, telephone cable or gas line, etc., outside the roadway prism (traveled area and shoulders) if trench width sufficient for density testing:

Foundation: 1 per 300 linear feet.

Backfill Density: 1 per 2 foot of fill per 300 linear foot.

Table 906.1.2:2 Structure Definitions, Foundation and Backfill Requirements (Continued)

Notes:

- 1. All extensions will be considered increments and as such structure units.
- 2. Determination of Backfill Depths Governing Minimum Testing Criteria Requirements:
 - A. When backfill construction is performed in trench conditions, the depth of compacted backfill to be tested shall be measured from the foundation to the top of the trench.
 - B. When backfill construction is performed in non-trench conditions, the depth of compacted backfill to be tested shall be determined through the use of the appropriate NMDOT Standard Drawings.

Table 906.1.2:3 Base Course

| | | Sampling/ | A | Comtractor | Independe | nt Assurance | State Materials Bureau |
|------------------------------|--------------------------------------|--------------------------|---------------------------|-----------------------|-----------------------------------|-------------------------------------|------------------------------|
| Item Test Required | Test Required | Testing Location | Agency Testing | Contractor Testing | Project Approach | System Approach | |
| | In-Place Density and Moisture | Roadway after compaction | 1 per 1,000 tons | | 1 per 20,000 tons ¹ | | |
| | Moisture/ Density Tests (Proctor) | Stockpile | 1 per material type | | 1 per project ¹ | 1 every 3 months with each project | |
| Base Course (Section 303) | Gradations | Processed | 1 per 2,000 tons | N/A | 1 per 20,000 tons ¹ | sampling / testing technician | N/A |
| | FF, LL, PI | material | 1 per 4,000 tons | | | | |
| | Thickness | Roadway after compaction | 1 per 1,000 tons | | NA | NA | |

- 1. IA testing is not required on Projects with estimated quantity of 500 tons or less.
- 2. In instances where an IA test is not require the PM may request IA test at their discretion.

Table 906.1.2:4 Aggregates

| | | Sampling/ | Amanay | Camtuaatau | Independe | State | | |
|---|--|---------------------|----------------------|--------------------------|-----------------------|--|---------------------|--|
| Item | Test Required | Testing Location | Agency Testing | Contractor Testing | Project Approach | System Approach | Materials Bureau | |
| Processing, Placing and | In-Place Density | Roadway | 1 per 1,000 sq yd | | 1 per 50,000 sq yd | 1 every 3 months with | | |
| Compacting Existing Pavement (Section 302) | Gradation (Dry field sieve verification per TTCP) | Stockpile | 1 per 5,000 sq yd | N/A | 1 per project | each project sampling/ testing technician | N/A | |
| Rip Rap Material (Section 602) | LA Wear (AASHTO T 96) & Soundness (AASHTO T 104) | Source | N/A | 1 per year per source | N/A | N/A | N/A | |

Table 906.1.2:5
Minor Paving - Hot Mix Asphalt (HMA) Warm Mix Asphalt (WMA)

| | | Sampling/ | A | Camtuaatau | Independe | nt Assurance | State |
|-------------------------------|--|---------------------|--|--|-----------------------------------|--|---|
| Item | Test Required | Testing Location | Agency Testing | Contractor Testing | Project Approach | System Approach | Materials Bureau |
| | Asphalt Content (Strap Method or Meter) | Asphalt Plant | N/A | 1 per day | N/A | | |
| HMA/WMA | Air Voids | | 3 per lot | N/A | 1 per project ¹ | 1 every 3 months with | |
| Minor Paving (Section 416) | Roadway Compaction Nuclear Densometer | Roadway | 10 per lot | Contractor to provide three (3) cores for Correlation of Densometer | 1 per lot ¹ | each project sampling/ testing technician | N/A |
| | Thickness | | 3 per lot | Obtain Cores | N/A | | |
| | Gradation, FF | Cold Feed | 1 per 3,000 tons with a minimum of 1 per day | 1 per 250 tons 1st 2,000 tons then 1 per 500 tons after 2,000 tons | 1 per 15,000 tons ¹ | 1 every 3 months with each project sampling/ testing | N/A |
| On an Oradad | | Crushing | N/A | 1 per 1,000 tons | N/A | technician | |
| Friction Course (Section 403) | Course | | 1 sample consisting of three separate 1-quart increments per Project | Samples will be obtained by contractor personnel and observed by Department personnel | N/A | N/A | Material will be accepted in accordance with contract documents. A min. of one sample per project will be tested. |

- 1. IA testing is not required on Projects with estimated quantity 1,000 tons or less.
- 2. In instances where an IA test is not require the PM may request IA test at their discretion.

Table 906.1.2:6
Asphalt Recycling

| Asphalt Recycling | | | | | | | |
|--|---|--|--|--|--|--------------------------------------|--|
| | Test | Sampling/ | | Contractor | Independer | nt Assurance | State |
| Item | Required | Testing Location | Agency Testing | Testing | Project Approach | System Approach | Materials Bureau |
| Hot In-Place Recycling (Remixing Method) (Section 412) | Roadway Density (Nuclear Densometer) | Roadway | 1 per 3,000 sq yd | | 1 per 30,000 sq yd | | N/A |
| Single- Machine Hot In-Place Surface | Roadway Density (Nuclear Densometer) | Roadway | 1 per 3,000 sq yd | N/A | 1 per 30,000 sq yd | | N/A |
| Repaving (Section 413) | HMA/WMA | Windrow / Hopper | See Section 416 Minor Paving | | N/A | | |
| Pavement Surface | Roadway Density | Desdoor | 1 per 3,000 sq yd | NIA | 1 per 30,000 | 1 every 3 months with | NI/A |
| Restoration (In-Situ) (Section 415) | Bulk Specific Gravity | Roadway | 2 sets/day | N/A | sq yd | each project sampling/ testing | N/A |
| Performance Graded Asphalt Binder (Section 402) | As required in the Contract Documents | From storage tank or delivery truck | Minimum 2 samples consisting of three separate 1 quart increments per Project. One from the Test Strip and one randomly selected from the project | Samples will be obtained by contractor personnel and observed by Department personnel. One sample will be obtained from the Test Strip, and one sample will be obtained for each 5000 tons asphalt mix | Random samples will be selected by District Laboratory Personnel and submitted to the SMB. | technician | Material will be accepted in accordance with the Contract Requirements. A minimum of two samples per project will be tested. |

Table 906.1.2:7
Asphalt Mineral Admixtures Materials

| | | Sampling/ | A | Contractor | Independen | t Assurance | Ctata Mataviala |
|--|---|---------------------|-------------------|-----------------------|---------------------|--------------------|---|
| Item | Test Required | Testing Location | Agency Testing | Contractor Testing | Project Approach | System Approach | State Materials Bureau |
| Asphalt Emulsion (Section 402) | Manufactures certificate of compliance will suffice for testing credits | N/A | N/A | N/A | N/A | N/A | Material shall be provided by approved suppliers, in accordance with SMB procedures for Certification of Asphalt Suppliers. |
| Mineral Admixtures (Section 402) | Manufactures certificate of compliance will suffice for testing credits | N/A | N/A | N/A | N/A | N/A | N/A |

Table 906.1.2:8
Major Paving (Sections 423/424/900s) - Hot Mix Asphalt (HMA), Warm Mix Asphalt (WMA)

| • | aving (Section | Sampling/ | , | • | | t Assurance | State |
|--|---|---|---|--|--|--|---|
| Item | Test Required | Testing Location | Agency Testing | Contractor Testing | Project Approach | System Approach | Materials Bureau |
| | Asphalt Content, Gradation, Gmm, Gmb, Air Voids, VMA, VFA, DP | | 1 per 3000 tons Gmm will be determined at | 1 per 1000 tons. Gmm will be determined at | 1 per 15,000 tons | | |
| HMA/WMA | Roadway Compaction (Cores) | Roadway | least once per day. | least twice per day. | | 1 every 3 months with | Deferee |
| Superpave (Section 423/424) | Roadway Compaction (nuclear/non- destructive) | | | | | each project sampling/ testing technician | Referee Testing |
| | FF, SE, F&E, FAA, Moisture | Cold Feed before addition of Mineral Admixtures | N/A | As needed | N/A | | |
| Performance Graded Asphalt Binder (Section 402) | As required in the Contract Documents | From storage tank or delivery truck | Minimum 2 samples each consisting of three separate one quart increments per Project. One from the Test Strip and one randomly selected from the project | Samples will be obtained by contractor personnel and observed by Department personnel. One sample will be obtained from the Test Strip, and one sample will be obtained for each 5000 tons asphalt mix | Random samples will be selected by District Laboratory Personnel and submitted to the SMB. | NA | Material will be accepted in accordance with contract requirements A minimum of two samples per project will be tested. |
| Asphalt Emulsion (Section 402) | Manufactures certificate of compliance will suffice for testing credits | N/A | N/A | N/A | N/A | Na | N/A |
| Mineral Admixtures (Section 402) | Manufactures certificate of compliance will suffice for testing credits | N/A | N/A | N/A | N/A | N/A | N/A |

Table 906.1.2:9 Portland Cement Concrete

Minor Structures, Curb & Gutter, Side Walks, etc. (Sections 509, 510, 511, 521)

| | T4 | Sampling/ | | Contractor | Independe | nt Assurance | State | |
|----------------------------------|--|-------------------------|--|--|-------------------------------|--|---------------------|--|
| Item | Test Required | Testing Location | Agency Testing | Agency Testing Testing | | System Approach | Materials Bureau | |
| Fine Aggregates | Gradation | Stocknile | 1 per 2 weeks during concrete | 1 per week during concrete production | One IA test per 10 | 1 every 3 months with each project sampling/ testing technician | N/A | |
| Course Aggregates | F.F., F&E, Specific Gravity | Stockpile | production | | Agency Tests ¹ | | IV/A | |
| Non-Shrink Grout Aggregate | Manufacture certificate of compliance will suffice for testing credits | N/A | N/A | NA | N/A | N/A | N/A | |
| Project Acceptance Test | Compressive Strength Cylinders, and Plastic Properties | See Table 906.1.2:14 | Each mix design per day of placement. Test the first three loads, with one randomly sampled for one set of cylinders. Sample for testing and cylinders, one random load from each subsequent 6 load sublot | Each mix design per day of placement. Test the first three loads and one load from each 6 load sublot for plastic properties | 1 per project ¹ | 1 every 3 months with each project sampling/ testing technician | N/A | |

- 1. IA testing is not required on Projects with estimated quantities of all concrete classes combined less than 100 CY.
- 2. In instances where an IA test is not require the PM may request IA test at their discretion.

Table 906.1.2:10 Portland Cement Concrete

Major Structures, Substructures, Drilled Shafts (Sections 502, 509, 510, 511, 521)

| | Tool | Sampling/ | | Controltor | Independe | nt Assurance | State | |
|----------------------------------|--|-------------------------|--|---|--|--|---------------------|--|
| Item | Test Required | Testing Location | Agency Testing | Contractor Testing | Project Approach | System Approach | Materials Bureau | |
| Fine Aggregates | Gradation | | 1 per 2 weeks | 1 per week | One IA test | 1 every 3 months with | | |
| Course Aggregates | F.F., F&E, Specific Gravity | Stockpile | during concrete production | during concrete production | per 10 Agency Tests ¹ | each project sampling/ testing technician | N/A | |
| Non-Shrink Grout Aggregate | Manufacture certificate of compliance will suffice for testing credits | N/A | N/A | NA | N/A | N/A | N/A | |
| Project Acceptance Test | Compressive Strength Cylinders, and Plastic Properties | See Table 906.1.2:14 | Each mix design per day of placement. Test the first three loads, with one randomly sampled for one set of cylinders. Sample for testing and cylinders, one random load from each subsequent 6 load sublot | Each mix design per day of placement. Test the first three loads and one load from each 6 load sublot for plastic properties | 1 per project ¹ | 1 every 3 months with each project sampling/ testing technician | N/A | |

- 1. IA testing is not required on Projects with estimated quantities of all concrete classes combined less than 100 CY.
- 2. In instances where an IA test is not require the PM may request IA test at their discretion.

Table 906.1.2:11
Portland Cement Concrete Pavement (Sections 509, 451)

| | Total | Sampling/ | A | 0 | Independe | nt Assurance | State |
|-------------------------------|--|---------------------|--|---|---------------------------------|--------------------|---------------------|
| ITAM I | Test Required | Testing Location | Agency Testing | Contractor Testing | Project Approach | System Approach | Materials Bureau |
| Fine Aggregates | Gradation | 0 | 1 per 2 weeks | 1 per week during | One IA test | | |
| Coarse | | Stockpile | during concrete | concrete | per 10 | N/A | N/A |
| Aggregates | F.F, F&E, Specific Gravity | | production | production | Agency Tests ¹ | | N/A |
| | | | Per day of placement. | Per day of | | | |
| Project Acceptance Test | Compressive Strength Cylinders, and Plastic Properties | See Table B | Test the first three loads, with one randomly sampled for one set of cylinders. Sample one random load from each subsequent 6 load sublot | Test the first three loads and one load from each subsequent 6 load sublot for plastic properties | 1 per 10,000 sy ¹ | N/A | N/A |
| | Thickness | Roadway | 1 per 300 cy | N/A | N/A | | |
| Environmental Conditions | Evaporation Rate | Placement Site | N/A | Evaporation Potential determined at intervals not greater than 5 minutes until final curing system in place | N/A | N/A | N/A |

- 1. IA testing is not required on Projects with estimated quantities of all concrete classes combined less than 100 CY.
- 2. In instances where an IA test is not require the PM may request IA test at their discretion.

Table 906.1.2:12
Portland Cement Concrete Superstructures (509, 510, 512)

| | T 4 | Sampling/ | A | 011 | Independe | nt Assurance | State |
|-------------------------------|--|---------------------|---|--|------------------------------|--------------------|---------------------|
| Item | Test Required | Testing Location | Agency Testing | Contractor Testing | Project Approach | System Approach | Materials Bureau |
| Fine Aggregates Coarse | Gradation | Stockpile | 1 per 2 weeks during concrete | 1 per week during concrete | One IA test per 10 | N/A | N/A |
| Aggregates | F.F, F&E, Specific Gravity | | production | production | Agency Tests ¹ | 1477 | N/A |
| Project Acceptance Test | Compressive Strength Cylinders, and Plastic Properties | See Table B | Each mix design per day of placement. Test the first three loads, with one randomly sampled for one set of cylinders. Sample one random load from each subsequent 6 load sublot | Each mix design per day of placement. Test the first three loads and one load from each subsequent 6 load sublot for plastic properties | 1 per 300 cy ¹ | N/A | N/A |
| Environmental Conditions | Evaporation Rate | Placement Site | N/A | Evaporation Potential determined at intervals not greater than 5 minutes until final curing system in place | N/A | N/A | N/A |

- 1. IA testing is not required on projects with estimated quantities of all concrete classes combined less than 100 CY.
- 2. In instances where an IA test is not require the PM may request IA test at their discretion.

Table 906.1.2:13
QLA Portland Cement Concrete Pavement (Sections 450, 509)

| | | Sampling/ | | ravement (Se | | nt Assurance | State |
|-------------------------------|--|---------------------|------------------------|--|---------------------|--|---------------------|
| ltem | Test Required | Testing Location | Agency Testing | Contractor Testing | Project Approach | System Approach | Materials Bureau |
| Fine Aggregates | Gradation | | 1 per week during | 4 | | | |
| Coarse Aggregates | F.F., F&E, Specific Gravity | Stockpile | concrete production | 1 per day per day of production | Minimum 2 | | NA |
| Project Acceptance Test | Compressive Strength Cylinders, and Plastic Properties | See Table B | 1 per 500 cy | One set of cylinders from one of the first three trucks. 1 set per 125 cy thereafter For each of the first three trucks. 1 set per 125 cy from the trucks selected for compressive strength testing thereafter. | 1 per 2,000 cy | 1 every 3 months with each project sampling/ testing technician | Referee Testing |
| | Thickness | Roadway | 1 per 5,000 sq yd | 1 per 2,500 sq yd | N/A | N/A | |
| Environmental Conditions | Evaporation Rate | Placement Site | N/A | Evaporation Potential determined at intervals not greater than 5 minutes until final curing system in place | N/A | N/A | N/A |

Table 906.1.2:14

| Method of Placement | Sample Location |
|---|---|
| Pumped | Point of discharge from pump into structure |
| Direct Discharge from Truck | At end of discharge chute of truck |
| Crane and Bucket | From discharge chute of bucket |
| Conveyor Belt | From Material on roadway after being discharged from conveyor |
| Slip Form (Curb and Gutter/Barrier Walls) | Point of discharge into extrusion machine |
| Sip Form (PCCP) | From grade in front of paving machine |

Table 906.1.2:15

Tolerances for Comparison of Independent Assurance Sample Tests to Acceptance and Process Control Tests

| CHARACTERISTICS | TOLERANCES |
|---|---|
| Moisture/Density Test (Proctor) | ± 3.0 PCF*, ± 2 Units for Moisture |
| In Place Moisture/Density (Roadway) | ± 3.0 PCF, ± 2 Units for Moisture |
| Plasticity Index (P.I.) | ± 3 Units |
| *Only if proctors are run by both District and Project. If procto | ors are not run by both District and Project ± 5.0 PCF |
| GRADATION | |
| 1 1/2" to 3/4" | ± 6 Units |
| 1/2" to No. 4 | ± 5 Units |
| No. 8 through No. 100 | ± 4 Units |
| No. 200 | ± 2 Units** |
| Fractured Faces | \pm 5 Units the allowable tolerance shall be \pm 5 units |
| Flat & Elongated | ± 5 Units |
| Fine Aggregate Angularity | ± 3 Units |
| Sand Equivalent | ± 4 Units |
| Aggregate Specific Gravity | ± 0.020 |
| CONCRETE | |
| Slump | ± 0.5 Inch |
| Unit Weight | ± 2.0 PCF |
| Compressive Strength | Within-test coefficient of variation less than 5 % |
| | 23 |
| HOT MIX ASPHALT (HMA) | |
| Roadway Density (Cores from Project, | ± 0.025 Units |
| retained by Agency and Contractor Personnel) | |
| Density (Nuclear) | ± 4 Units |
| VMA | ± 1.0 Units |
| DP | ± 0.3 Units |
| Asphalt Content (Ignition Burn Oven) | \pm 0.30 Units (Mixes with Virgin Material and RAP less than equal to15%), \pm 0.40 (With greater than 15% RAP) |
| Bulk Specific Gravity at Ndes | ± 0.025 Units |
| Maximum Specific Gravity | ± 0.020 Units |